

# Public Document Pack

Kirklees Council



Tuesday 11 May 2021

Dear Member

**A Meeting of Annual Council will meet on Wednesday 19 May 2021 at 12.30 pm in the Main Hall, Huddersfield Town Hall.**

**Agenda Items 1 to 3 will be considered at 12.30pm. The meeting will then adjourn until 4.30pm when the remaining items of business will be considered.**

This meeting will be webcast live and will be available to view via the Council's website.

The following matters will be debated:

## Pages

**1: To elect the Mayor for the ensuing year**

To elect the Mayor for the 2021/2022 Municipal Year.

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**2: To appoint a Deputy Mayor for the ensuing year**

To appoint a Deputy Mayor for the ensuing Municipal Year.

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**3: Minutes of the Ordinary Meeting of the Council held on 17 March 2021** 1 - 4

To agree and authorise the Mayor to sign as a correct record.

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**4: Interests** 5 - 6

The Councillors will be asked to say if there are any items on the Agenda in which they have a Disclosable Pecuniary Interest, which would prevent them from participating in any discussion or vote on an item, or any other interests.

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**5: Announcements by the Mayor and Chief Executive** 7 - 8

To receive any announcements and to note the results of the Local Council Elections held on 6 May 2021, and the acceptance of the Office of Councillors elected.

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**6: Apologies for absence**

To receive any apologies for absence.

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**7: Election of the Leader of the Council**

To elect the Leader of the Council, in accordance with Article 7 of the Constitution.

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**8: Proposed amendments to Contract Procedure Rules (Reference from Corporate Governance and audit Committee)** 9 - 54

To consider and determine amendments to the Council's Contract Procedure Rules.

(Report attached)

Contact: Jane Lockwood, Head of Procurement

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<b>9:</b>	<b>Proposed amendments to Financial Procedure Rules (Reference from Corporate Governance and Audit Committee)</b>	55 - 104
	To consider and determine amendments to Financial Procedure Rules.	
	(Report attached)	
	Contact: Martin Dearnley, Head of Risk	
<hr/>		
<b>10:</b>	<b>Proposed amendments to the Council's Constitution (Reference from Corporate Governance and Audit Committee)</b>	105 - 158
	To consider proposed changes to the Council's constitution and receive a summary of changes made by the Monitoring Officer using delegated powers.	
	(Report attached)	
	Contact: Julie Muscroft – Service Director (Legal, Governance and Commissioning)	
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<b>11:</b>	<b>Proposed revisions to the Terms of Reference of Health and Wellbeing Board (Reference from Corporate Governance and Audit Committee)</b>	159 - 166
	To consider the proposed revisions to the Terms of Reference for the Health and Wellbeing Board.	
	(Report attached)	
	Contact: Phil Longworth – Service Manager (Integrated Support)	
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<b>12:</b>	<b>Meetings of Council 2021/2022 (Reference from Corporate Governance and Audit Committee)</b>	167 - 170
	To determine the schedule of meetings of Council for the 2021/2022 Municipal Year.	
	(Report attached)	
	Contact: Samantha Lawton, Head of Governance	

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### **13: Appointment of Committees, Boards and Panels**

171 -  
174

To determine the Committees, Boards and Panels of Council for the 2021/2022 municipal year.

(Report attached)

Contact: Samantha Lawton, Head of Governance

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### **14: Allocation of Seats**

To determine for the Municipal Year 2021/22 the allocation of seats on Committees and to any Political Groups formed under the Local Government (Committees and Political Groups) Regulation 1990 or any substituted regulations and the allocation of seats to any Members of the Council who are not Members of any such Political Groups if there are any outstanding unallocated places.

(Schedule to follow)

Members are informed that it is proposed that arrangements for representation of Political Groups on the Committees and Panel listed below should NOT be in accordance with the requirements of Section 15 and 16 of the Local Government and Housing Act 1989 but should be as set out in the report to be circulated at this item:

Corporate Governance and Audit Committee  
Health and Wellbeing Board  
Overview and Scrutiny Management Committee

This notice is given in accordance with Section 17 of the 1989 Act and Regulation 20 of the Local Government (Committee and Political Groups) Regulations 1990. Each proposal will require approval with no Members of the Council voting against.

Contact: Samantha Lawton, Head of Governance

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**15: Membership of Committees, Boards and Panels, and Ratio of Substitutes Panel**

To determine for the Municipal Year 2021/22 the Membership of the Committees, Boards and Panel in accordance with nominations from Group Business Managers, and the ratio of the Panel of Substitute Members for each Political Group.

(Schedule to follow)

Contact: Samantha Lawton, Head of Governance

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**16: Dates/Times of Committees, Boards and Panels** 175 - 184

To determine the date/time of meetings of Committees, Boards and Panels for the 2021/2022 Municipal Year.

(Schedule attached)

Contact: Samantha Lawton, Head of Governance

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**17: Appointment of Members to Joint Authorities** 185 - 190

To determine for the arrangements for the allocation of seats on Joint Authorities for the 2021/2022 Municipal Year.

(Report attached)

Contact: Samantha Lawton, Head of Governance

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**18: Appointments to Outside Bodies and Other Committees** 191 - 198

To determine, for the Municipal Year 2021/22, the appointment of representatives to other Committees, Outside Bodies etc. except where appointment to those bodies has been delegated by the Council or is exercisable only by the Leader.

(Report attached)

Contact: Samantha Lawton, Head of Governance

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## **19: Spokespersons of Joint Committees and External Bodies**

199 -  
202

To determine, for the 2021/2022 Municipal Year, the Council's Spokespersons nominated to reply to oral questions at Council meetings upon any service provided by various organisations.

(Report attached)

Contact: Samantha Lawton, Head of Governance

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## **20: Appointment of Chairs of Committees and Lead Councillors - Place Partnership**

To appoint Chairs of the following Committees, and Lead Councillors (Place Partnership) for the 2021/22 Municipal Year;

This schedule is based upon the approval of the proposed as set out at (Agenda Item 13).

- (a) Appeals Panel
- (b) Corporate Governance and Audit Committee
- (c) Health and Wellbeing Board
- (d) Licensing and Safety Committee
- (e) Overview and Scrutiny Management Committee
- (f) Personnel Committee
- (g) Standards Committee
- (h) Strategic Planning Committee
- (i) Statutory Officer Dismissal Committee
- (j) Scrutiny Panel – Childrens
- (k) Scrutiny Panel – Corporate
- (l) Scrutiny Panel – Economy & Neighbourhood
- (m) Scrutiny Panel – Health & Adult Social Care
- (n) Place Partnership – Batley, Birstall and Birkenshaw
- (o) Place Partnership – Colne Valley

- (p) Place Partnership - Dewsbury
  - (q) Place Partnership – Huddersfield Central
  - (r) Place Partnership – Huddersfield North
  - (s) Place Partnership – Huddersfield Rural
  - (t) Place Partnership – Spen Valley
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By Order of the Council

A handwritten signature in cursive script, appearing to read 'J. Geelman'.

Chief Executive

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Contact Officer: Andrea Woodside

## **COUNCIL**

### **KIRKLEES COUNCIL**

**At the (Virtual) Meeting of the Council of the Borough of Kirklees held  
on Wednesday 17 March 2021**

## **PRESENT**

**The Mayor (Councillor Mumtaz Hussain) in the Chair**

## **COUNCILLORS**

Councillor Masood Ahmed	Councillor Mahmood Akhtar
Councillor Karen Allison	Councillor Bill Armer
Councillor Gulfam Asif	Councillor Donna Bellamy
Councillor Martyn Bolt	Councillor Cahal Burke
Councillor Aafaq Butt	Councillor Andrew Cooper
Councillor Nosheen Dad	Councillor Paola Antonia Davies
Councillor Paul Davies	Councillor Richard Eastwood
Councillor Donald Firth	Councillor Eric Firth
Councillor Michelle Grainger-Mead	Councillor Charles Greaves
Councillor David Hall	Councillor Steve Hall
Councillor Lisa Holmes	Councillor Erin Hill
Councillor James Homewood	Councillor Yusra Hussain
Councillor Christine Iredale	Councillor Manisha Roma Kaushik
Councillor Viv Kendrick	Councillor Musarrat Khan
Councillor John Lawson	Councillor Vivien Lees-Hamilton
Councillor Susan Lee-Richards	Councillor Fazila Loonat
Councillor Gwen Lowe	Councillor Aleks Lukic
Councillor Terry Lyons	Councillor Andrew Marchington
Councillor Naheed Mather	Councillor Peter McBride
Councillor Bernard McGuin	Councillor Alison Munro
Councillor Richard Murgatroyd	Councillor Darren O'Donovan
Councillor Shabir Pandor	Councillor Nigel Patrick
Councillor Carole Pattison	Councillor Mussarat Pervaiz
Councillor Amanda Pinnock	Councillor Andrew Pinnock
Councillor Kath Pinnock	Councillor Mohammad Sarwar
Councillor Cathy Scott	Councillor Will Simpson
Councillor Elizabeth Smaje	Councillor Anthony Smith
Councillor Richard Smith	Councillor Mohan Sokhal
Councillor John Taylor	Councillor Kath Taylor
Councillor Mark Thompson	Councillor Graham Turner
Councillor Sheikh Ullah	Councillor Harpreet Uppal
Councillor Rob Walker	Councillor Lesley Warner
Councillor Michael Watson	Councillor Paul White
Councillor Habiban Zaman	

**1 Announcements by the Mayor and Chief Executive**

Council received tributes to (i) Councillor Richard Murgatroyd, who had recently resigned from Office (ii) Councillors Asif, Grainger-Mead, Iredale and Walker, who would not be seeking re-election at the forthcoming local elections and (iii) former Mayor and Councillor David Wright OBE, who had recently passed away.

Thanks were also conveyed to Councillor Mumtaz Hussain for undertaking the role and duties of Mayoral Office since May 2019.

**2 Apologies for absence**

No apologies for absence were received.

**3 Minutes of Previous Meeting**

**RESOLVED** – That the Minutes of the Meeting held on 10 February 2021 be approved as a correct record.

**4 Declaration of Interests**

No interests were declared.

**5 Report of Members' Allowances Independent Review Panel (Reference from Corporate Governance and Audit Committee)**

It was moved by Councillor Simpson, seconded by Councillor J Taylor, and

**RESOLVED** –

- 1) That Council conveys thanks to the Members Allowances Independent Review Panel for their work and notes the outcomes of the Panel, as attached at Appendix A of the report.
- 2) That Council resolves not to apply an increase to the Members Basic Allowance for the 2021/2022 municipal year.
- 3) That it be noted that, should changes subsequently be applied to Special Responsibility Allowances, following the review of Members Allowances Scheme scheduled to take place in summer 2021, the Panel had recommended that any such adjustments to payments be backdated if applicable.
- 4) That it be noted that the outcome of the review of the Members Allowances Scheme, scheduled to take place in summer 2021, will be considered by Corporate Governance and Audit Committee before any further recommendations are made to Council regarding any proposed changes to Member Special Responsibility Allowances.

**6 Pay Policy Statement 2021/2022 (Reference from Personnel Committee)**

It was moved by Councillor Pandor, seconded by Councillor Turner and

**RESOLVED** - That the annual Pay Policy Statement be approved, in accordance with the Localism Act 2011.

**7      Key Discussion - Kirklees Council's Use of Technology : Responding to the Challenges of the Covid-19 Pandemic**

Council held a key discussion on the use of information technology in the response to the challenges of the covid-19 pandemic.

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KIRKLEES COUNCIL			
COUNCIL/CABINET/COMMITTEE MEETINGS ETC			
DECLARATION OF INTERESTS			
Name of Councillor			
Item in which you have an interest	Type of interest (eg a disclosable pecuniary interest or an "Other Interest")	Does the nature of the interest require you to withdraw from the meeting while the item in which you have an interest is under consideration? [Y/N]	Brief description of your interest

Signed: ..... Dated: .....

## NOTES

### Disclosable Pecuniary Interests

If you have any of the following pecuniary interests, they are your disclosable pecuniary interests under the new national rules. Any reference to spouse or civil partner includes any person with whom you are living as husband or wife, or as if they were your civil partner.

Any employment, office, trade, profession or vocation carried on for profit or gain, which you, or your spouse or civil partner, undertakes.

Any payment or provision of any other financial benefit (other than from your council or authority) made or provided within the relevant period in respect of any expenses incurred by you in carrying out duties as a member, or towards your election expenses.

Any contract which is made between you, or your spouse or your civil partner (or a body in which you, or your spouse or your civil partner, has a beneficial interest) and your council or authority -

- under which goods or services are to be provided or works are to be executed; and
- which has not been fully discharged.

Any beneficial interest in land which you, or your spouse or your civil partner, have and which is within the area of your council or authority.

Any licence (alone or jointly with others) which you, or your spouse or your civil partner, holds to occupy land in the area of your council or authority for a month or longer.

Any tenancy where (to your knowledge) - the landlord is your council or authority; and the tenant is a body in which you, or your spouse or your civil partner, has a beneficial interest.

Any beneficial interest which you, or your spouse or your civil partner has in securities of a body where -

- (a) that body (to your knowledge) has a place of business or land in the area of your council or authority; and  
(b) either -

the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body; or  
if the share capital of that body is of more than one class, the total nominal value of the shares of any one class in which you, or your spouse or your civil partner, has a beneficial interest exceeds one hundredth of the total issued share capital of that class.

## DISTRICT COUNCIL ELECTIONS THURSDAY 6 MAY 2021 RESULTS

<b>Ward: Almondbury</b> <b>Party: Conservative</b>	Bernard McGuin
<b>Ward: Ashbrow</b> <b>Party: Labour</b>	James Homewood
<b>Ward: Batley East</b> <b>Party: Labour</b>	Fazila Loonat
<b>Ward: Batley West</b> <b>Party: Labour &amp; Co-operative</b>	Shabir Pandor
<b>Ward: Birstall &amp; Birkenshaw (x2)</b> <b>Party: Conservative</b> <b>Party: Conservative</b>	Mark Stephen Thompson Joshua Connor Sheard
<b>Ward: Cleckheaton</b> <b>Party: Liberal Democrat</b>	Kath Pinnock
<b>Ward: Colne Valley</b> <b>Party: Labour</b>	Matthew Paul McCloughlin
<b>Ward: Crosland Moor &amp; Netherton</b> <b>Party: Labour</b>	Manisha Roma Kaushik
<b>Ward: Dalton</b> <b>Party: Labour</b>	Naheed Mather
<b>Ward: Denby Dale</b> <b>Party: Conservative</b>	Timothy Vincent Bamforth
<b>Ward: Dewsbury East</b> <b>Party: Labour &amp; Co-operative</b>	Eric Firth
<b>Ward: Dewsbury South</b> <b>Party: Labour</b>	Jackie Ramsay
<b>Ward: Dewsbury West</b> <b>Party: Labour</b>	Mussarat Pervaiz
<b>Ward: Golcar (x2)</b> <b>Party: Labour</b> <b>Party: Liberal Democrat</b>	Elizabeth Dawn Reynolds Robert Christopher Iredale
<b>Ward: Greenhead</b> <b>Party: Labour</b>	Sheikh Ullah

<b>Ward: Heckmondwike</b> <b>Party: Labour</b>	Steve Hall
<b>Ward: Holme Valley North</b> <b>Party: Independent</b>	Charles Greaves
<b>Ward: Holme Valley South</b> <b>Party: Conservative</b>	Donald Firth
<b>Ward: Kirkburton</b> <b>Party: Conservative</b>	James Richard Smith
<b>Ward: Lindley</b> <b>Party: Conservative</b>	Adam Fenton Gregg
<b>Ward: Liversedge &amp; Gomersal</b> <b>Party: Conservative</b>	Melanie Ann Stephen
<b>Ward: Mirfield</b> <b>Party: Conservative</b>	Martyn Bolt
<b>Ward: Newsome</b> <b>Party: Green</b>	Andrew Cooper



**Name of meeting:** Annual Council (Reference from Corporate Governance and Audit Committee)

**Date:** 19<sup>th</sup> May 2021

**Title of report:** Proposed Revisions to Contract Procedure Rules

**Purpose of report:** To provide information on proposed changes to Contract Procedure Rules for the municipal year 2021/22

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not Applicable
Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)</u> ?	Not applicable
The Decision - Is it eligible for call in by Scrutiny?	Not applicable
Date signed off by Director & name	J Muscroft Service Director - Legal Governance and Commissioning
Is it also signed off by the Service Director for Finance?	Yes
Is it also signed off by the Service Director for Legal Governance and Commissioning?	Yes
Cabinet member <a href="#">portfolio</a>	Not applicable

**Electoral wards affected:** All

**Ward councillors consulted:** Not applicable

## 1. Summary

- 1.1. This report sets out information on proposed changes to Contract Procedure Rules for the year 2021/22.
- 1.2. These changes were considered, and recommended, by Corporate Governance & Audit Committee on 22<sup>nd</sup> April 2021.
- 1.3. These changes reflect further changes following the UK's departure from the EU. The Government transferred the EU procurement Directives (2014/24/EU) into UK law in the form of the Public Contracts Regulations 2015 and others.
- 1.4. Public Procurement Thresholds for 2021 remain the same as 2020.
- 1.5. The UK's new e-notification service where notices for new procurements are required to be published "Find a Tender" service went live on 1 January 2021, replacing the Official Journal of the European Union.
- 1.6. A more fundamental review of the Contract Procedure Rules will be undertaken at an appropriate time (either 2022 and/or 2023) in line with any legislative changes relating to the outcome of Green Paper; Transforming Public Procurement. The government's goal is to speed up and simplify our procurement processes, place value for money at their heart, and unleash opportunities for small businesses, charities and social enterprises to innovate in public service delivery.

## **2. Information required to take a decision**

- 2.1. The contract procedure rules have been updated and reformatted.
- 2.2. The detail highlighting changes is contained within a summary schedule, Appendix 1
- 2.3. The proposed changes relate mainly to reflect the exit from the EU, a new section relating to recording of decisions in relation to procurements (this does not change what should happen in practice about decision making. It makes it clearer however by also including it in the Contract Procedure Rules), a refreshed introduction, considering and encouraging social value in procurement, encouraging local suppliers to bid for contracts, further clarity about when bonds are required, updated section on use of presentations, additional wording to emphasis demonstrating value for money when undertaking a direct award, updated procurement thresholds and a new appendix which is a guide on considering grants or procurements.
- 2.4. All the text changes are marked in Appendix 2 by track changes. There are a few smaller changes and additions to improve clarity of existing arrangements or to simplify and improve existing arrangements.

## **3. Implications for the Council**

- 3.1. **Working with People** – see below
- 3.2. **Working with Partners** – see below
- 3.3. **Place Based Working** – see below
- 3.4. **Climate Change and Air Quality** – see below
- 3.5. **Improving outcomes for Children** – see below
- 3.6. **Other (e.g. Legal/Financial or Human Resources)** Although each of the sub categorisations above suggest no direct implications, these Contract Procedure Rules covers all aspects of the council's operations. These updated Contract Procedure Rules reflect good procurement practice which in turn supports the Council Plan by using procurement activity to help achieve the Council's wider objectives and outcomes. The legal obligation to have Contract Procedure Rules is to comply with Section 135 Local Government Act 1972 and the Public Contracts Regulations 2015

## **4. Consultees and their opinions**

Consultation and proposed amendments have been carried out with the Head of Audit and Risk, Head of Corporate-Legal and officers in Procurement and Legal Services. All Heads of Service and Directors have had the opportunity to comment via a short survey.

Corporate Governance and Audit Committee – 22 April 2021.

## **5. Next steps and timelines**

If approved, these changes will be implemented from 1st June 2021.

## **6. Officer recommendations and reasons**

Council is asked to approve the changes, as recommended by the Corporate Governance & Audit Committee

## **7. Cabinet Portfolio Holder's recommendations**

Not applicable

## **8. Contact officer**

Jane Lockwood, Head of Procurement (01484 221000)

## **9. Background Papers and History of Decisions**

The attached document includes track changes. The 2019 version of Contract Procedure Rules is available as a part of the Council's Constitution.

## **10. Service Director responsible**

Julie Muscroft, Service Director- Legal, Governance and Commissioning  
Eamonn Croston, Service Director-Finance



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Paragraph	Proposed Changes
General	<p>A tracked version of the reformatted document has been submitted. Reformatting included;</p> <ul style="list-style-type: none"> <li>• Fixed autonumbering so adding / removing clauses is now easier</li> <li>• Linked internal cross referencing so relevant clauses can be easily read together</li> <li>• Removed multiple references to EU and OJEU and replaced with the relevant UK references</li> <li>• Checked and amended as appropriate references to code of conduct for employees, constitution and FPR's</li> <li>• Updated language to gender neutral i.e. changed 'his or her' to 'their'</li> <li>• Deleted some unnecessary repetition in places</li> <li>• Amended some wording to make easier reading</li> <li>• Linked footnotes so they remain on the page of their associated clauses</li> <li>• Moved definitions to the end of the document</li> </ul>
Introduction	<p>Word changes (deletions to improve clarity). Deletion includes; Paragraph 1&amp;2; sentence deletion to improve clarity Paragraph relating to EU procurement deleted Text updated in relation to grants Text addition in last paragraph (procurement principles) to focus on the use of social value considerations in procurement processes.</p>
1.4.3	Text addition to include responsibility for nomination of a suitable contract manager
1.5	A new section has been added to strengthen recording of decision making in relation to procurement activity
2.1.14	Text deletion after this sentence as the appendix has been removed, making the sentence superfluous
2.1.15	Updated to make clearer when bonds will be required
2.7	Text removed as relating to 2011
2.9	Text simplified
2.10	Text simplified
3.1	Text simplified to be clearer about inviting local suppliers to quote for contracts
3.4	Text addition to reinforce the requirement that suitable suppliers must be invited
4.14-4.16	Word changes to simplify conditions for use of presentations and interviews as part of a procurement process
5.9 & 5.10	Text simplified
5.14.7	<p>Removal of 5 year limit for Dynamic Purchasing Systems (DPS) to increase flexibility and ability to run for a longer period if required. (new entrants are accepted throughout the life of a DPS and able to compete for</p>

	contracts) Addition of due diligence assessments of suppliers on the DPS
5.18	Text addition to ensure value for money consideration
5.18.4	Text removed as a central list does not exist and due diligence is observed at 5.18.1 & 2
6.2	Text addition to ensure value for money is evidenced and recorded when exercising a direct award through an approved framework
6.9	Text removed relating to EU
8.6.1	Test simplified
10.8	Text removed relating to KNH
11.1	Additional text to cover modifications during contract term
Appendices	Removal of appendix 1 (links to existing council policies), remaining appendices updated accordingly
	Revised Appendix 1 - Updated to include most up to date relevant thresholds
	Revised Appendix 2 - Updated to include information that is required for the contracts register
	Addition of new Appendix 4 – guide for considering grant or procurement

**KIRKLEES COUNCIL**  
**CONTRACT PROCEDURE RULES**

**JUNE 2021**

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## **INTRODUCTION**

These Contract Procedure Rules (CPRs) aim to promote the highest standard of probity, integrity, and impartiality in making a clear, understandable and fair selection of Suppliers and Supplies to the Council. Equally important are the delivery of best value through competitive procedures and the avoidance of practices which may restrict, prevent or distort competition. ~~To that end procurers shall follow the 'Procurement Principles' referred to below.~~

These Contract Procedure Rules cover the Procurement of all Supplies (goods, works and services) and Income Contracts. ~~Service Directors should recognise the Council's view of the difference between Commissioning and Procurement (see Appendix 4).~~

These Contract Procedure Rules must be complied with strictly. They are minimum requirements. A more thorough procedure may be appropriate for particular Supplies. However, when designing the Procurement within the parameters of these CPRs, the process and the Specification should be clearly proportionate and relative to the need which the Supply fulfils and should appropriately balance the value of, and risks associated with, any proposed action.

~~EU Procurement Rules, which are often more onerous, also apply to the procurement of all works, goods and services exceeding the EU Threshold, and where there is a conflict between these Contract Procedure Rules and the EU Procurement Rules, the EU Procurement Rules prevail. The GDPR principles of data protection by design and by default and by minimisation should also be taken into consideration in the context of contracts and their award procedures that involve the transfer of personal data.~~

~~The Council's Financial Procedure Rules must also be complied with. In particular if you are giving a Grant then FPR 20 will apply.~~

Where the council wishes to obtain the delivery of goods, works, or services, the use of procurement is the usual method. Grants should only be used to assist or support objectives of another organisation. In some instances, CPRs will apply to grants- (see Appendix 4 Appendix 4).

### **Procurement Principles:**

The aim of every procurement exercise should be value for money. In pursuit of that aim, procurers shall endeavour to treat market operators equally and without discrimination, and to act in a transparent and proportionate manner. Without detracting from those principles, procurers should take every opportunity to consider how improvements to the economic, social and environmental wellbeing of the district (social value) can/might be generated and enhanced in contracts and procurement processes, including encouraging the participation of local businesses in Council tenders.

## RULE 1 – CONDUCT AND COMPLIANCE AND WAIVER

- 1.1 All Council employees, and any person or organisation working on behalf of the Council in Procuring or managing a Supply, must comply with these CPRs.
- 1.2 Cabinet may waive any parts of these CPRs on a case by case basis following consideration of a detailed report setting out in particular: -
  - 1.2.1 the legality of the proposed non-compliant process or action; and
  - 1.2.2 the reputational and financial risks associated with the proposed non-compliant processor action.
- 1.3 Failure to comply with these CPRs without a valid waiver may result in disciplinary action against the officers concerned and may in some cases constitute a criminal offence.
- 1.4 Each Service Director must ensure:-
  - 1.4.1 compliance with these CPRs and the FPRs, using training, instruction and internal control processes;
  - ~~1.4.2~~ appropriate supervision and performance management to ensure that decisions taken are subject to authorisation and quality control procedures.
  - ~~1.4.2~~ ~~1.4.3~~ a suitably experienced and trained officer is identified to adequately manage the Contract for every contractual relationship the Council enters into.
- 1.5 When authorising staff to procure Supplies on their behalf, each Service Director must set a financial (or other) limit on the authority vested in individual officers to procure the Supplies. Such limits must be recorded in the relevant Scheme of Officer delegations. An officer wishing to commence a procurement exercise and/or commit the council to contractual obligations must ensure that:-
  - 1.5.1 they have the Cabinet authority or a written approval of the delegated decision by an authorised officer under the Scheme of Officer Delegation to incur the expenditure and provide details to the [Head of Corporate Procurement].
  - 1.5.2 officer executive decisions are recorded accurately and promptly including the relevant information required, such as the context in which the decision was taken, the reasons for the decision and alternative options considered.
  - 1.5.3 all significant officer decisions taken relating to the procurement exercise (i.e. decisions which may be of interest to councillors and/or the public) are recorded and shared with the Governance Team, so that the information can be made available to members of the public via ModernGov and the Kirklees website.
  - ~~1.5.0~~ 1.5.4 where a Supply is a Key Decision (as defined in the Council's Constitution – Access to Information Procedure Rules), a Key Decision Notice (KDN) has been published in a Notice of Forthcoming Key Decisions.
- 1.6 The Head of Procurement may:-
  - 1.6.1 authorise officers who are not procurement officers under their managerial responsibility to act on their behalf in respect of any role assigned to the Head of Procurement in these CPRs;
  - 1.6.2 issue waivers in relation to the need to consult them under CPR 1.3;
  - 1.6.3 undertake a review of procurement arrangements and practices, and value for money within any service area, in consultation, as appropriate, with the Service Director, Solicitor to the Council, Chief Finance Officer and Head of Internal Audit.

~~attached to the procurement process (21.02.2021) for the purpose of the 2021-22 contract process (rules for 2021 draft v2)~~

- 1.7 The Head of Procurement must record the precise extent of such authorisations and the officer to which roles have been delegated and share these authorisations with the Solicitor to the Council and the Head of Internal Audit.
- 1.8 A Service Director has authority to commence any Procurement subject to:
- ~~1.7.11.8.1~~ compliance with these CPRs and FPRs; and
- ~~1.7.11.8.2~~ having appropriate delegated authority; and
- ~~1.8.11.8.3~~ compliance with management processes designed to ensure that proposed projects meet the Council's business needs; and
- ~~1.7.11.8.4~~ seeking Value for Money.
- 1.9 These CPRs are a minimum standard and a more prescriptive procurement regime must be followed where this is required by ~~European and~~ UK law and agreements with Grant funding organisations.
- ~~1.11.10~~ The Head of Procurement, the Solicitor to the Council and the Head of Internal Audit may each issue Guidance Notes to aid the interpretation of these CPRs, with the following leading responsibilities:
- ~~1.11.11.10.1~~ the Head of Procurement – Good Procurement Practice;
- ~~1.11.21.10.2~~ Solicitor to the Council – ~~UK The EU~~ Procurement Rules and other laws and Corporate Governance;
- ~~1.11.31.10.3~~ Head of Internal Audit – Procurement project related financial management, Best Value and Risk.
- ~~1.12.11~~ Any dispute concerning interpretation of these CPRs must be referred to the Head of Internal Audit who, in consultation with the Solicitor to the Council, may provide clarification and determination.
- ~~1.13.12~~ Subject to Part 3 of the Council's constitution and without prejudice to the role of the Monitoring Officer or the Chief Finance Officer, the Chief Executive may reassign specific duties delegated in these CPRs to the Head of Procurement, the Head of Internal Audit, and the Solicitor to the Council provided that:-
- ~~1.13.11.12.1~~ the post holders to whom these duties are assigned must hold general competencies as follows:-
- public sector procurement in respect of duties reassigned from the Head of Procurement;
  - finance in respect of duties reassigned from the Head of Internal Audit;
  - Law and Court procedure in respect of duties reassigned from Solicitor to the Council.
  - the same degree of separation of officer responsibility for the duties is maintained.

### Conflicts of Interest and Integrity<sup>1</sup>

<sup>1</sup> Officers should also familiarise themselves with the FPRs 17 and 18, Chapter 7 of the Employee Handbook and Part 5.6 of the Council's Constitution and Members should also refer to Part 5.1 of the Constitution.

~~1.151.13~~ Service Directors shall take appropriate measures to effectively prevent, identify and remedy conflicts of interest arising in the conduct of procurement procedures to avoid any distortion of competition and to ensure equal treatment of all economic operators.

~~1.161.14~~ Any Officer, Member or other person acting on the Council's behalf in procuring a Supply must declare any potential Conflict of Interest as soon as they become aware of a potential Conflict of Interest and update the declaration in the event of any changes.

~~1.171.15~~ Service Directors must record such declarations and in each case decide whether safeguards need to be put in place or the Officer or Member concerned should be removed from the Procurement or Disposal process.

~~1.181.16~~ In exercising the decisions delegated to them, the Head of Procurement, Head of Internal Audit and Solicitor to the Council (or any other persons undertaking their responsibilities by application of CPR 1.11 or CPR 1.6) must reach their decisions independently.



## RULE 2 – PREPARATION AND PROCESS

~~1.1.2.1.~~ Each Service Director must ensure:

~~1.1.1-2.1.1.~~ That Supplies of a similar type are procured together where it is sensible to do so. A Procurement must not be subdivided with the effect of preventing it from falling within the scope of these CPRs or the ~~UK EU~~ Procurement Rules, unless justified by objective reasons.

~~1.1.2-2.1.2.~~ Each Procurement complies with the following:-

- a. it is justified by a business case (that includes a risk assessment) approved by a Senior Manager with relevant authority to purchase; and
- b. a Whole Life Costing Approach underpins the specification of the Supply; and
- c. it aligns with the Procurement Strategy; and
- d. it complies with any requirements or agreements regarding the use of in-house Service suppliers (see CPR 6.14), consortia and other suppliers (Service Directors should, for example, consult the Head of Procurement about decisions and options available under CPRs 6.12 & 6.13).

~~1.1.4-2.1.3.~~ The Supply is likely to be within budgetary provision (see CPR 10.1).

~~1.1.5-2.1.4.~~ An estimate of the full cost of the Supply contract or Framework Agreement which is as accurate as possible is made. Valuations must:

- a. be estimated by reference to the gross value of the Supply contract (including installation, supplier maintenance, options, and any income gained by all Suppliers involved in the agreement);
- b. assess the gross value of a Framework Agreement to be the reasonably estimated value of all Supply contracts which might be made through it;
- c. where the Supply contract includes a fixed duration, cover the entire possible duration of the contract (i.e. including any options, such as for extension or renewal);
- d. where a maximum contract duration is not certain, treat the contract as if it lasts for 4 years;
- e. include any Grant funding;
- f. exclude VAT.

~~1.1.6-2.1.5.~~ Where the cost of the Supply is less than the ~~UK-EU relevant UK public procurement tT~~ Threshold and an approved Framework Agreement or Dynamic Purchasing System is not being used, there must be consultation with the Head of Procurement to see whether the value of the Supply needs to be aggregated with any other similar Supplies for the purposes of the ~~UK EU~~ Procurement Rules.

~~1.1.7-2.1.6.~~ Regard is given to Best Value and the Public Sector Equality Duty and consultations with the public have been carried out as required.

~~1.1.8-2.1.7.~~ The process can be adequately resourced.

~~1.1.9-2.1.8.~~ The preparation of appropriate Specifications, costs/pricing document(s), contract terms (other than Land Contracts and subject to CPR 2.1.10) and other procurement documentation. The documents by must be likely to be understandable by all reasonably well informed people in the relevant industry in the same way.

~~1.1.10-2.1.9.~~ For contracts up to the ~~UK public procurement EU~~ supplies and services threshold, other than Land Contracts, the Service Director shall wherever possible use appropriate standard contract terms. Otherwise every contract for Supplies or Income Contract must set out:

~~attached to the contract (see CPR 2.1.10) and other procurement documentation. The documents by must be likely to be understandable by all reasonably well informed people in the relevant industry in the same way.~~

- a. details of the Supply to be made or to be disposed of;
- b. the price or prices to be paid or received and/or the amounts and frequency or the method of calculation of contract payments with a statement of discounts or other deductions;
- c. the time(s) within which the contract is to be performed;
- d. termination provisions and break clauses, if appropriate;
- e. appropriate data protection clauses where personal data is involved; and
- f. such other matters as the Solicitor to the Council considers to be necessary (the Solicitor to the Council need not be consulted, but guidance must be followed).

~~1.1.11-2.1.10.~~ For contracts above the UK public procurement~~EU~~ supplies and services threshold, other than Land Contracts the Service Director must consult with the Solicitor to the Council who will prepare contract documentation appropriate for the contract.

~~1.1.12-2.1.11.~~ Where a competitive process is being carried out, a transparent, unambiguous and clearly set out schedule of Award Criteria, which are objectively verifiable and non- discriminatory and are appropriately prioritised, must be prepared and advertised. These criteria must be linked to the subject matter of the contract, must not include unlawful non-commercial considerations or Suitability Criteria (which should be identified separately and must follow CPR 3.5– 3.9) and must be proportional to the contract's main objectives.

~~1.1.13-2.1.12.~~ Consideration of whether it would be appropriate to divide large procurements into contract Lots<sup>2</sup> and must record the decision and reasoning.

~~1.1.14-2.1.13.~~ The Head of Internal Audit is satisfied regarding the financial standing of a proposed Supplier for any contract exceeding the UK EU supplies and services threshold.

~~1.1.15-2.1.14.~~ The appointment of an officer to carry out supervision of the resulting contract(s) in accordance with Financial Procedure Rule 21.8.

~~1.1.16. That (unless Cabinet authorises otherwise) the formal Council policies and/or guides referred to in Appendix 1 are followed.~~

~~1.1.17-2.1.15.~~ All supply contracts relating to;

- a. capital construction works in excess of £300,000
- b. any IT contracts that involve pre operational payments in excess of £300,000
- c. any contracts with a total consideration in excess of £3m

~~in excess of £300,000~~ will be bonded in the sum of 10% of the Tender value, except where the Solicitor to the Council and Head of Internal Audit agree either:-

- i. No bond is necessary; or
- ii. A different value (or percentage) is appropriate; and or
- iii. A parent company guarantee or other form of surety can be accepted instead.

~~1.1.20-2.1.16.~~ A risk log is maintained during the Procurement process.

~~1.2-2.2.~~ The Head of Procurement is consulted at the preparatory stage if conducting interviews or receiving presentations is considered to be beneficial to the process (also see CPRs 4.16-4.17).

<sup>2</sup> This is not the same thing as disaggregation. Please contact Corporate Procurement if there is any doubt about what this means.

~~attached to the v2.1.12 comments table, for New 2021-22 contract process rules for 2021 draft v2.~~

~~1.3.2.3.~~ Before commencing any process to obtain any Supply having an estimated cost exceeding £25,000, the Service Director must consult with the Head of Procurement. The Head of Procurement must consider if any issues relating to the procurement may create risks that require consultation with the Solicitor to the Council and/or the Head of Internal Audit, and undertake these consultations as necessary.

~~1.4.2.4.~~ The Service Director must provide to the Head of Procurement information necessary to enable the Council to comply with ~~UK~~ EU Procurement Rules. The Head of Procurement is responsible for ensuring the appropriate placing of notices in ~~the UK's e-notification service, the Official Journal of the European Union (OJEU) Find A Tender Service (FTS)~~ and Contract Finder. Such notices may only be placed by officers authorised to do so by the Head of Procurement.

~~1.5.2.5.~~ Consultation with suppliers in the relevant market is permitted but it must not prejudice any potential Supplier, and no technical advice may be sought or accepted from any supplier in relation to the preparation of any specification or contract documentation where this may distort competition, provide any unfair advantage or prejudice the equal treatment of all potential Suppliers.

~~1.6.2.6.~~ In preparing a Specification, the Service Director should consider how the procurement activity might meet the Council's wider policy and strategy but subject always to ensuring full compliance with ~~EU and UK~~ procurement legislation. ~~In respect of contracts for services, Service Directors must also consider (a) how what is proposed to be procured might improve the economic, social and environmental wellbeing of Kirklees, (b) which proportionate actions (which must comply with the EU Procurement Rules) might be taken in the Procurement with a view to securing that improvement, and (c) whether any consultation might be needed to inform them in relation to 2.6(b).~~

~~1.7.~~ Where any Supplier is given possession of, or access to, any personal data, the Service Director must have regard to the Council's obligations as regards the Data Protection Legislation, ~~any~~

~~1.8.2.7.~~ ~~Commissioner's Office directions to the Council and the undertaking which the Chief Executive gave to the Information Commissioner in July 2011.~~ The Service Director must follow the Council's Information Security Policy, in particular regarding contracting with data processors, sharing data and consulting with the Information Governance Team. The Service Director must ensure that:

~~1.8.1.2.7.1.~~ the Supplier is verified as suitable to be trusted with the personal data before allowing the Supplier access to the data;

~~1.8.2.2.7.2.~~ appropriate guarantees of the security of the personal data are included within a written contract;

~~1.0.0.2.7.3.~~ the performance of the contract is appropriately monitored;

~~1.8.3.2.7.4.~~ appropriate steps are taken to enforce the contract where the information security guarantees are not being met;

~~1.8.4.2.7.5.~~ appropriate steps are taken to minimise as far as possible the impact of a breach of data security;

~~1.8.5.2.7.6.~~ arrangements that appropriately deal with the transfer, return or deletion of the information at the end of the contract are established.

~~1.9.2.8.~~ All contracts that involve the processing or sharing of personal data must be reported to the Information Governance Team, who will keep a log of these contracts. ~~The log will be reviewed by the Information Governance Board on a six-monthly basis.~~





### RULE 3 - PROCUREMENT PROCESS

3.1. Subject to complying with the law, the process for procurement must comply with the following:-

Value of Supplies	Requirement		
	Procurement Process	Advertising	Min no. of Suppliers
£0 - £24,999.99	<p>Any Reasonable Means to select are permitted. Reasons to justify the decision taken must be recorded.</p> <p>—Where practicable, <u>suppliers from Kirklees (and/or West Yorkshire) area are encouraged and should be invited to submit quotes. Officers need to ensure that purchases achieve best value for the Council and an audit trail is maintained to demonstrate this.</u></p> <ul style="list-style-type: none"> <li>• <del>Supplies from a</del></li> <li>• All contracts awarded in excess of £5,000 must be reported to Corporate Procurement</li> </ul>	Any reasonable means	3
£25,000 – <del>£180,000 EU Threshold</del>	<p>One of the following:-</p> <ul style="list-style-type: none"> <li>• Quotations (CPRs 3-4)</li> <li>• Framework Suppliers, Standing Lists, etc. (CPR 5)</li> <li>• Exceptions (CPR 6)</li> <li>• Internal, Consortia &amp; Compulsory(CPR 6)</li> </ul>	<p><b>As the Head of Procurement determines</b></p> <ol style="list-style-type: none"> <li>1. From a standing list</li> <li>2. An approved Framework Agreement</li> <li>3. An approved Dynamic Purchasing System</li> <li>4. Advertise on eProcurement Portal, ContractsFinder PLUS other reasonable advertising means</li> </ol>	<p>Below £100,000 – 3</p> <p>Above £100,000 – 4</p>
Above £180,000	<p>One of the following:-</p> <ul style="list-style-type: none"> <li>• Tenders (CPRs 3 - 4)</li> <li>• Framework Suppliers, Standing Lists, etc. (CPR 5)</li> <li>• Exceptions (CPR 6)</li> <li>• Internal, Consortia &amp; Compulsory(CPR 6)</li> </ul>	<p>Advertise on eProcurement Portal, Contracts Finder, FTS and in other media if appropriate; or</p> <p>Use approved Framework Agreement; or</p> <p>Use approved Dynamic Purchasing System</p>	5

- 3.2. These CPRs also apply to the selection of any nominated or named sub-contractor, product or manufacturer whose use by a supplier is a requirement of a contract specification.
- 3.3. A Service Director must invite at least the number above of suppliers to submit a written Tender, unless:-
- ~~3.3.13.1.1~~ 3.3.13.1.1 an **approved**<sup>3</sup> Framework Agreement or Dynamic Purchasing System is being used (and in which case the rules of the Framework Agreement or the Dynamic Purchasing System must be followed); or
- ~~3.3.23.1.2~~ 3.3.23.1.2 it is otherwise agreed with the Head of Internal Audit in consultation with the Solicitor to the Council.
- ~~1.12.3.4.~~ 1.12.3.4. The Suppliers must be reasonably capable of, or have indicated that they are willing to, submit a Tender. If it is not possible to identify the number of suitable and/or willing prospective suppliers indicated above, the Service Director must retain a record of the efforts made and reasons why an appropriate number of suppliers could not be identified.

#### Supplier Selection

- ~~1.13.3.5.~~ 1.13.3.5. Service Directors must satisfy themselves that Suppliers have relevant and proportional minimum levels of Suitability.
- ~~1.14.3.6.~~ 1.14.3.6. Where the procurement process has an overall value of less than the supplies and services ~~UK EU~~ threshold, a qualification stage must not be used, although key, proportional, suitability questions linked to the subject matter of the contract should, as appropriate, be used to establish the suitability of the supplier. The questions (or absence of any) must be approved by the Head of Procurement.
- ~~1.15.3.7.~~ 1.15.3.7. When operating a procurement process with a value above the supplies and services ~~UK EU~~ threshold, the Service Director must use the Council's standard selection questionnaire to establish suitability and/or to establish a shortlist. Any variations to this must be agreed with the Head of Procurement who will notify relevant authorities as necessary.
- ~~3.5 — The European Single Procurement Document must be accepted where applicable.~~
- ~~3.6.3.8.~~ 3.6.3.8. The selection of any potential Supplier to submit a Tender must be on the basis of a consistent, fair, justifiable and rational method, approved by the Head of Procurement. Selection Criteria must be transparent and financial ratios to be used as part of the evaluation must be disclosed. When conducting a procurement process which is subject to the ~~UK EU~~ Procurement Rules, minimum standard and/or pass marks must be published in the relevant ~~OJEU-FTS~~ contract notice or invitation to confirm interest.
- ~~1.16.3.9.~~ 1.16.3.9. Before any self-employed supplier is awarded a contract, the supplier's details must be obtained and assessed through the HMRC Employment Status Indicator (ESI) Tool. The results of this should be reported to the Head of Procurement. The Service Director and the Head of Procurement must agree on the approach to procurement if the assessment suggests that the Council faces any risk. Any proposal to engage a self-employed person must be agreed with the Head of Procurement.

<sup>3</sup> See CPR 5.17

~~attached to the tender and BODs v21.02 comments table - for New Contract and for 2021-04-22 contract period rules are 2021 draft v2.~~

#### **RULE 4 –TENDER RECEIPT & EVALUATION**

- 4.1 For Procurements which are valued above £25,000, suppliers must be required to submit Tenders by electronic means of communication unless the Head of Procurement agrees otherwise. This must be through the eProcurement Portal unless the Head of Procurement agrees otherwise. (If the Head of Procurement approves another means, they will be required to agree and supervise a fair means of tender opening).
- 4.2 All invitations must state clearly the date and time of return. Electronic Tenders must be returned in accordance with the approved tendering system requirements.
- 4.3 All electronic Tenders received by the appointed time will be opened at the same time by the Head of Procurement.
- 4.4 At the Tendering opening the Head of Procurement will maintain a written record of the:
  - 4.5.14.4.1 nature of the Supply;
  - 4.5.24.4.2 name of each supplier submitting a Tender and the date/time of receipt;
  - 4.5.34.4.3 name of suppliers failing to submit prices/proposal;
  - 4.5.44.4.4 prices from each supplier;
  - 4.5.54.4.5 names of the persons present at the opening;
  - 4.5.64.4.6 date and time of opening of Tenders;
  - 4.5.74.4.7 any reason for rejecting any Tender.

Late Tenders must not be accepted unless the lateness is caused by the Council or other matters reasonably outside of the control of the tenderer AND no unfair advantage is given to the bidder



## Clarifications, Presentations and Interviews

4.17.14.15 Where information or documentation submitted by a bidder is or appears to be incomplete (including where specific documents are missing) or erroneous or unclear, Service Directors may request the bidder concerned to submit, supplement, clarify or complete the relevant information or documentation, provided that requests for clarification:

4.17.14.15.1 set an appropriate time limit for a reply; and

4.17.24.15.2 do not request changes or otherwise seek to influence the bidder; and

4.17.34.15.3 deal with all of the matters in the Tender which are incomplete or erroneous or unclear; and

4.17.44.15.4 treat all tenderers equally and fairly and so, for example, the request:-

a. must not occur before all of the bids have been subject to an initial evaluation;

a-b must not unduly favour or disadvantage the bidder to whom the request is addressed; and

b-c must be sent in the same way to all bidders unless there is an objectively verifiable ground justifying different treatment.

4.18.4.16 Clarity may also be facilitated through planned presentations designed to assist in understanding or verifying submitted bids. Clarification questions may be asked during such presentations and prior scoring may be appropriately moderated ~~(but the presentation itself must not be scored)~~. All key information given in the presentation must be recorded thoroughly and any clarifications must be confirmed in writing.

4.19.4.17 Interviews and/or presentations which form part of the bid ~~(rather than clarification of a submission) are discouraged and~~ must be authorised by the Head of Procurement in writing. They must also be:

4.20.14.17.1 Comprehensively recorded; and

4.20.24.17.2 assessed according to transparent and objectively verifiable criteria connected to the subject matter of the contract; and

4.20.34.17.3 supervised by the Head of Procurement.

~~4.21 Where interviews and / or presentations are to be used, unless the Head of Procurement agrees otherwise, all bidders must be invited to participate.~~

**RULE 5 –STANDING LISTS, DYNAMIC PURCHASING SYSTEMS AND FRAMEWORK AGREEMENTS: CREATION AND USAGE**

- 5.1 The Head of Procurement will maintain a list of approved central purchasing organisations, purchasing consortia and Council "trading services".

**Standing Lists**

- 5.2 The Head of Procurement will determine for which types of Supply Council-wide Standing Lists must be kept (see CPR 6.12). The Head of Procurement will notify the relevant Service Director of such decisions where the Standing List is Service specific and be responsible for creation and maintenance of Standing Lists which will be used Council-wide by any Service Director requiring Supplies of that type.

- 5.3 Standing Lists may be used for Supplies where the aggregated value (in compliance with the UKEU Procurement Rules) of the Supply in question does not exceed the relevant UKEU Threshold. A Framework Agreement or a Dynamic Purchasing System can be used where the aggregated value exceeds the relevant UKEU Threshold.
- 5.4 Standing Lists will be created by the selection of suppliers to be included from those responding to advertisements placed on at least the eProcurement Portal, Contracts Finder and other appropriate media as the Head of Procurement may determine. Standing Lists will remain valid for five years from creation. During that period the Standing List will remain open to the addition of further suppliers meeting the appropriate admission requirements and will remain advertised on the Council's web site for that time. Standing Lists must be renewed every 5 years.
- 5.5 Admission to a Standing List should be on the basis of a transparent, rational, justifiable evaluation of information submitted by prospective Suppliers relating to technical, financial and any other relevant matters determined by the Head of Procurement.
- 5.6 The Head of Procurement (in consultation with the Solicitor to the Council) may delete a supplier from a Standing List only where there is appropriate evidence and a written report justifying the action.
- 5.7 If there are insufficient suppliers on a Standing List, or too few are willing to submit Tenders, to meet the CPRs Tender requirements potential Suppliers must be sought as if a Standing List is not maintained.
- 5.8 Each Service Director must establish and advertise a set of fair, proportionate and transparent rules that reflect these CPRs which set out how Supplies will be procured through each Standing List which they are responsible for.

#### **Council Framework Agreements and Dynamic Purchasing Systems**

- 5.9 Framework Agreements and Dynamic Purchasing Systems may be used to source contracts for appropriate types of Supplies (subject to compliance with the UKEU Procurement Rules, as applicable). ~~However they must not be used to attempt to create further Framework Agreements or Dynamic Purchasing Systems.~~
- 5.10 Framework Suppliers will be chosen by a competitive process in accordance with these rules as if they were a Supply contract ~~(but must not be procured through a standing list, another framework agreement or a Dynamic purchasing system)~~ and in accordance with the UKEU Procurement Rules.
- 5.11 All Framework Agreements will be in the form of a written agreement detailing the method by which the Council will call off Supplies during the duration of the Framework Agreement and stating that there will be no obligation to order any Supplies of any type from a Framework Supplier.
- 5.12 Framework Agreements above the UKEU Threshold must be closed to new entrants and must not last longer than 4 years without this being justified in a written assessment of the exceptional factors present and the approval of the Head of Procurement.
- 5.13 Contracts created through Framework Agreements must not be greater than 4 years in duration without being justified in a written assessment of the exceptional factors present



and the approval of the Head of Procurement.

5.14 Dynamic Purchasing Systems must:

- ~~5.15.15.14.1~~ be set up by an advertised competitive process which is approved by the Head of Procurement; and
- ~~5.15.25.14.2~~ remain advertised; and
- ~~5.15.35.14.3~~ not limit the number of suppliers admitted to the system (but the system may be split into categories); and
- ~~5.15.45.14.4~~ be set up with clear operative rules which involve obtaining Tenders from all suppliers on the system, or on the relevant category on the system, as appropriate; and
- ~~5.15.55.14.5~~ be operated wholly electronically; and
- ~~5.15.65.14.6~~ be open to new entrants; and
- ~~5.15.7 not last longer than 5 years.~~
- 5.14.7 be subject to consistent due diligence assessments of DPS participants

~~5.165.15~~ When using Framework Agreements or Dynamic Purchasing Systems, the Council must follow the procurement rules set out in the Framework Agreement or the Dynamic Purchasing System.

~~5.175.16~~ The Head of Procurement will ensure that the use of Framework Suppliers and Dynamic Purchasing Systems provide value for money, considering all procurement costs and alternative approaches.

~~5.185.17~~ The Head of Procurement will maintain a list of all approved Framework Agreements and Dynamic Purchasing Systems (~~noting which of these comply with the EU Procurement Rules~~) which Service Directors are permitted to use.

**Use of Third Party Procurement Facilities**

~~5.195.18~~ Supplies may be obtained through third party Frameworks Agreements (provided that the Head of Procurement is satisfied that using such a method is demonstrated to represent value for money) that:

- ~~5.19.15.18.1~~ are created by a public body or a private sector party as agent of a public sector body which is approved by the Head of Procurement (see also CPR 5.1);
- ~~5.19.25.18.2~~ have valid mechanisms that exist to enable the Council to use the Framework Agreement (including appropriate transparent referencing in the procurement documents and inclusion in the framework call of conditions);
- ~~5.19.35.18.3~~ comply with the Council's Contract Procedure Rules, or in the opinion of the Head of Internal Audit, rules which are broadly comparable;
- ~~5.19.4 are included in the CPR 5.17 approved list (and, if the Supply which is to be procured is above the EU Threshold, is noted in the list as being compliant with the EU Procurement Rules);~~
- ~~5.19.5~~
- ~~5.19.65.18.4~~ where the UK EU procurement Rules apply, the procurement will not take the use of the framework more than 10% over the framework's advertised value.

## RULE 6 – EXCEPTIONS FROM COMPETITION

- 6.1 Subject to compliance with the ~~EU-UK~~ Procurement Rules the following are exempted from the competitive requirements of these CPRs<sup>4</sup>:
- 6.1.1 where there is genuinely only one potential Supplier, such as for works of art and copyrighted material or unique technology, where no reasonable alternative or substitute exists and the absence of competition is not the result of an artificial narrowing down of the parameters of the procurement;
  - 6.1.2 items purchased or sold by public auction (in accordance with arrangements agreed by the Head of Internal Audit);
  - 6.1.3 the selection of a supplier whose usage is a condition of a Grant funding approval;
  - 6.1.4 the selection of a supplier on the instruction of a third party, providing the whole of the funding is met by the third party;
  - 6.1.5 where CPR 6.12 applies;
  - 6.1.6 counsel or other external legal advice, provided that the Solicitor to the Council takes steps to ensure that value for money is being obtained;
  - 6.1.7 a necessary Supply required extremely urgently, not due to any action or inaction of the Council, with the prior agreement of the Head of Internal Audit;
  - 6.1.8 direct award from an approved Framework Agreement (see CPR 5.18) which has validly been set up to be called off on a non-competitive basis and which was set up in accordance with the requirements of these CPR and the ~~UKEU~~ Procurement Rules;
- 6.2 The Service Director must make a written record of the justification for the selection of the Supplier. The Service Director must obtain the approval of the Head of Procurement before exercising the decision to apply an exception in respect of a supply valued in excess of £100,000. Value for money must be evidenced and recorded.
- 6.3 Trial Purchases**
- ~~6-56.4~~ Service Directors may purchase a trial of a Supply which is new to the Council up to £100,000 without competition in order to ascertain if the Supply is of interest to the Council. Where an exception to competition in CPR 6.1 does not apply, a full competition compliant with the CPR must be held following the trial if the Service Director wishes to continue with the type of Supply.
- ~~6-66.5~~ Arrangements must be made to ensure that the Supplier involved in the trial has not acquired any advantage through that involvement when compared to any alternative suppliers of a similar product. The Head of Procurement must approve any proposed trial arrangement exceeding £25,000.
- ~~6-76.6~~ The procuring Service Director and the Head of Procurement may decide that;
- ~~6-7-16.6.1~~ 6.7-16.6.1 An alternative means of selection of Suppliers to those required by these CPRs is appropriate (e.g. a Supplier shortlist other than described in these CPRs, negotiation with a single supplier not otherwise permitted, etc.) but they must record the reason for their decision and obtain the approval of the Head of Internal Audit.
  - ~~6-7-26.6.2~~ 6.7-26.6.2 Following receipt of Tenders for the Supply, it is appropriate to seek to reduce the overall cost, or change other terms of the Supply by negotiation with one or more suppliers which have submitted Tenders.
  - ~~6-7-36.6.3~~ 6.7-36.6.3 It is appropriate to negotiate a repeat, continuation or serial contract with an

<sup>4</sup> When the UK Procurement Rules apply, these exemptions may not be permitted (Directors must check this)

~~attached to the rule book 2021-22 comments based on the new draft for 2021-22 contract award rules for 2021-22 draft v2.~~

existingSupplier, by reference to the original Tender for the Supply.

6.7.46.6.4 The use or another local authority as a supplier of services by its own labour or as a procurement agent acting on behalf of the council without competition is appropriate(although subject to UKEU Procurement Rules).

**Note in the above,** in order to achieve internal check, the Head of Procurement alone cannot reach such decisions. Where the Head of Procurement is making a decision in relation to a Procurement exercise by their own Service Director, there must be consultation with the Head of Internal Audit.



## RULE 7 - RECORD KEEPING AND REPORTING

Service Directors must keep detailed written records of the progress of all procurement or disposal procedures (including negotiation). To that end, Service Directors must ensure that they keep sufficient documentation to justify decisions taken in all stages of the procedure, such as documentation on:-

- 7.1.1 communications with economic operators and internal deliberations;
- 7.1.2 preparation of the procurement or sale documents;
- 7.1.3 any interviews, other dialogue or negotiation;
- 7.1.4 supplier vetting; and
- 7.1.5 reasons for award of the contract.

The documentation must be kept for a period as defined within the Councils relevant retention schedule.

- 7.2 A full trail of electronic Tenders received must be recorded on the eProcurement Portal or retained in a database approved by the Head of Internal Audit.
- 7.3 All contracts over £5,000 must be reported to the Head of Procurement who will arrange to publish these transactions on the statutory contracts register (also see [Appendix 2Appendix 2](#)).
- 7.4 The relevant Service Director must complete the Council's standard 'Regulation 84 Report' template by the end of each procurement process which is subject the [UK EU](#) Procurement Rules.
- 7.5 Each Service Director must promptly provide to the Head of Procurement the information specified in [Appendix 2Appendix 2](#).
- 7.6 The Head of Procurement must ensure that the appropriate publications are made to comply with the Local Government Transparency Requirements (and each Service Director will notify the Head of Procurement of any expenditure above £500; also see CPR 7.3 above).
- 7.7 The Service Director must keep a written record of the reasons for using a negotiated procedure

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## **RULE 8 - INCOME CONTRACTS & CONCESSIONS**

- 8.1 CPR 8 Includes nil value and disposal contracts but excludes Land.
- 8.2 CPRs 8.2to 8.8 apply when the Council intends to derive income from:-
- 8.2.1 the disposal of property (other than Land);
  - 8.2.2 the sale of a right to exploit a business opportunity;
  - 8.2.3 the operation of business activity.
- 8.3 Where the Council is proposing to enter into an Income Contract at manifestly less than market value where the market value is estimated at £1,000 or more, the Head of Internal Audit must be consulted and they will decide whether this amounts to a Grant (and so FPR 4 applies instead of CPR 8).

### **The disposal of an asset**

- 8.4 The procedure for the disposal of assets is:-
- 8.4.1 assets valued up to £25,000 must be disposed of by a method chosen by the Service Director and a written justification of the choice retained;
  - 8.4.2 assets valued above £25,000 must be disposed of following public notice either by open Tender process, closed Tender process involving at least 3 prospective purchasers or public auction. The use of the Council web site is permissible for this purpose.

~~8-48.5~~ Leased assets must be disposed of only in accordance with the instruction of the lessor.

### **The sale of a right to exploit a business opportunity**

~~8-58.6~~ The letting of rights to exploit a business opportunity for the contractor's own benefit (for example, advertisement space on Council Land) (a 'business opportunity contract') must be subject to a written contract and must only take place following a competitive selection process asset out below or written approval of other means from the Head of Procurement based on a detailed business case which, where appropriate, includes consideration of matters such as state aid.

~~8-5-18.6.1~~ A business opportunity contract that will not generate income in excess of £25,000 over the duration of the contract may be sourced by any reasonable means and should be arranged and undertaken by the Service Director responsible for the activity.

~~8-5-28.6.2~~ The Head of Procurement must direct and supervise the tendering of any arrangement expected to generate income in excess of £25,000.

### **The operation of business activity, beyond that normally undertaken by a local authority.**

~~8-68.7~~ If an Income Contract is intended to be or become profitable or be commercial in nature, advice must be obtained from the Solicitor to the Council.

~~8-78.8~~ If an Income Contract has any potential to distort the relevant market advice must be obtained from the Solicitor to the Council.

### **Concession Contracts**

~~8-88.9~~ Concession contracts for works or services are a type of Supply contract and the procurement of all Concessions shall follow the competitive and contracting requirements in these CPRs for Supplies.

~~attached to the council's 2021-22 contract award framework for 2021-22 contract award framework 2021 draft v2.~~

~~8.98.10~~ 8.98.10 Concession contracts for works or services with a value of £4,104,000 or more are subject to the Public Concessions Regulations 2016 and will be subject to such additional procurement process requirement(s) as the Head of Procurement feels are necessary to comply with these Regulations.

#### Valuation

~~8.108.11~~ 8.108.11 The value of a Concession contract shall be the total turnover of the concessionaire generated over the duration of the contract, net of VAT, in consideration for the Supplies which are the object of the Concession contract and for any ancillary Supplies.

8.12 The value of an Income Contracts is the gross income generated by the Council as a result of the rights granted, or goods, works or services supplied by the Council.

8.13 When calculating the estimated value of a Concession contract or Income Contract, Service Directors shall, where applicable, take into account:-

- 8.13.1 the value of any form of option and any extension of the duration of the contract;
- 8.13.2 revenue from the payment of fees and fines by the users of the works or services or public other than those collected on behalf of the Council;
- 8.13.3 payments or any other financial advantages, in any form, from the Council or any other public authority to the contractor;
- 8.13.4 the value of grants or any other financial advantages, in any form, from third parties for the performance of the contract;
- 8.13.5 revenue from sales of any assets which are part of the contract;
- 8.13.6 the value of all the supplies and services that are made available to the contractor by the Council, provided that they are necessary for executing the works or providing the services;
- 8.13.7 any prizes or payments to candidates or tenderers.

## **RULE 9 - LAND**

- 9.1 Procurement of Land will generally be by the means described in this CPR 9. The Head of Corporate Property Management must be consulted in respect of all Land transactions of any value except where the Solicitor to the Council authorises other nominated officers to deal with tenancies or licences for specific purposes.
- 9.2 The Head of Corporate Property Management (and any other Director authorised so to do) will arrange the acquisition or disposal of estates or interests in land (including any buildings erected on it) either pursuant to the authority delegated to him by a Service Director in accordance with Part 3 (Section F) of the Constitution or, in the case of a decision made by Cabinet then in accordance with the authority delegated to him from the Cabinet.
- 9.3 Where any proposed land transaction cannot be executed within the terms established in this rule, arrangements must be agreed between the Head of Corporate Property Management and the Solicitor to the Council, and details of the process leading to the transaction must be recorded, and the circumstances reported to Cabinet either for information, if falling within the delegated authority of officers, or in order to secure the relevant authority to give effect to the transaction.
- 9.4 Where Land is sold at a public auction, the Head of Corporate Property Management must submit a sealed reserve price (prepared by a qualified valuer on a professional basis) for consideration alongside the bids submitted or made. If a successful bid is less than the reserve price then the Head of Corporate Property Management may accept a lower bid provided that such lower bid is not less than 10% below the professional valuation of the reserve price.



## RULE 10 – EXECUTING CONTRACTS

### Supplies

10.1 A contract may only be awarded where the Service Director has sufficient approved budget to meet the first year costs and is satisfied that there is likely to be sufficient ongoing funding to meet the contractual cost through the anticipated life of the contract.

~~10.3~~10.2 Contracts for all Supplies (which includes call-offs from Framework Agreements and Dynamic Purchasing Systems), Concessions, Income Contracts and Framework Agreements up to the ~~UK~~EU supplies and services threshold must be in writing and can be made by the Service Director either:

- where appropriate, by issuing the order through the Council's electronic purchasing system (currently SAP) and incorporating the correct standard terms; or
- By issuing (electronically or on paper) contract terms which the Service Director has assessed as being appropriate both in terms of suitability and risk.

~~10.4~~10.3 If the Service Director and Solicitor to the Council decide that it is appropriate for the contract to be sealed (or if it is required by law), the contract will be executed by the Solicitor to the Council.

~~10.5~~10.4 The Head of Procurement must ensure that the Councils electronic procurement systems are setup so that the most appropriate Official Council Order are available to be attached to the supply being purchased.

~~10.6~~10.5 Contracts for all Supplies (which includes call-offs from Framework Agreements and Dynamic Purchasing Systems), Concessions, Income Contracts and every Framework Agreement with an estimated value above the ~~UK~~EU supplies and services threshold must be in writing and must (subject to CPR 10.6) be either:

- made under the corporate common seal of the Council, attested by one legal officer; or
- signed by two legal officers;

who have been nominated as contract signatories by the Solicitor to the Council under their Scheme of Officer Delegations.

~~10.7~~10.6 Notwithstanding CPR 10.5, the Solicitor to the Council may authorise officers who are not Legal Officers to sign specific or specialist contracts for Supplies above the ~~UK~~EU supplies and services threshold. Two authorised officers must sign each such contract.

~~10.8~~10.7 The Solicitor to the Council may, subject to including appropriate restrictions and/or instructionsigned to achieve valid execution of the relevant contracts and suitable record keeping, provide third parties with a power of attorney to sign Council contracts of values below the EU supplies and services threshold.

### Land

~~10.9~~10.8 The Solicitor to the Council will complete all land transactions, including acquisition or disposal by way of freehold or leasehold purchase or sale or the taking or granting of all short or long term leases or tenancies (~~with the exception of the granting of tenancies for housing and associated properties for rent which is delegated to Kirklees Neighbourhood Housing Ltd~~) and other deeds and documents associated with Land. The Solicitor to the Council may nominate other officers to enter into Land commitments and arrangements using documentation previously approved by the Solicitor to the Council.

~~attached to the contract. The Council will complete all land transactions, including acquisition or disposal by way of freehold or leasehold purchase or sale or the taking or granting of all short or long term leases or tenancies (with the exception of the granting of tenancies for housing and associated properties for rent which is delegated to Kirklees Neighbourhood Housing Ltd) and other deeds and documents associated with Land. The Solicitor to the Council may nominate other officers to enter into Land commitments and arrangements using documentation previously approved by the Solicitor to the Council.~~

10.12.10.10 The Solicitor to the Council is entitled to sign any agreement in any way related to Procurement, Supplies, Concessions or Income Contracts or any security instrument (regardless of whether another officer including the Chief Executive may sign such things), subject to CPR 10.5 and provided that this is not subject to any contrary direction from the Council or Cabinet.

11.1 It is recognised that during the term of a Contract, modifications may be proposed, which if adopted would result in additional Works, Goods and/or Services, which were not considered when the original procurement took place, being procured or otherwise would alter the overall nature of the Contract. There are significant limitations upon the Council being able to make such modifications, especially where the Regulations apply. When considering a variation, modification or the termination of an existing Contract, advice must be sought in advance from the Corporate Procurement Service and with the Solicitor to the Council.

~~41-211.3~~ A Service Director, in consultation with the Solicitor to the Council, has the power to terminate any contract in the event of any breach of contract justifying termination, where in the opinion of these officers no other remedy is appropriate. Where the financial implications of a decision to terminate a contract exceed, or may exceed £100,000, the reason for termination and any consequences must be reported to the next meeting of the Cabinet.

11.411.5 The Head of Internal Audit is entitled to negotiate with any bond issuer on sums of settlement proposed, and in consultation with the Solicitor to the Council accept such proposed sums.

~~11.6~~11.7 Any variation with a value above £25,000 to a contract which cannot be fulfilled by following CPR 11.6 must be subject to the approval of the Head of Procurement.

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## RULE 12 - MISCELLANEOUS

42-012.1 A Special Purpose Vehicle (as a limited liability company or otherwise) to be wholly or partially owned or controlled by the Council, will only be formed or joined on the approval of the Cabinet, following a detailed evaluation by the Solicitor to the Council and the Chief Finance Officer. This does not apply to any purchase of shares or similar for the purpose of investment.

~~Approved by Council~~  
~~Apply from 1 June 2019~~

## Appendix 1

### Examples of Policies which are relevant to CPR 2.1(15)

- **Kirklees Financial Procedure Rules**  
<http://www.kirklees.gov.uk/beta/your-council/pdf/constitution-part-46.pdf>
- **Social Value Policy Statement**  
<http://www.kirklees.gov.uk/beta/business-with-the-council/pdf/socialValuePolicyStatement.pdf>
- **General Data Protection Regulation (GDPR) Guidance**  
<http://www.kirklees.gov.uk/beta/information-and-data/general-data-protection-regulation.aspx>

## DEFINITIONS

<b>Award Criteria</b>	<u>Relate directly to the goods, services or works to be provided. Award criteria evaluate supplier's offers made in relation to fulfilling the Council's requirements for the Supply, in particular the Specification.</u>
<b>Chief Executive</b>	<u>The Chief Executive is the head of the Council's paid staff and its principal adviser on policy matters and leads the discharge of Council strategy and responsibilities.</u>
<b>Chief Finance Officer</b>	<u>Means the Service Director – Finance</u>
<b>Commissioning</b>	<u>The relationship between commissioning and procurement is described in the diagram in Appendix 3.</u>
<b>Concession</b>	<u>Is where a Supplier is remunerated mostly through being permitted to run and exploit the work or service and is exposed to a potential loss on its investment.</u>
<b>Conflict of Interest</b>	<u>Means any interest outside of the Council which may appear to an objective bystander to affect the fair judgment of an Officer or Member or any other person acting on the Council's behalf in the Procurement of a Supply or the disposal of property (including Land). The concept of conflict of interest shall at least cover any situation where the relevant person has, directly or indirectly, a financial, economic or other personal interest which might be perceived to compromise their impartiality and independence in the context of a Procurement or sale procedure.</u>
<b>Contract</b>	<u>Means any form of contract, agreement for the supply of any works, goods, or services that the Council enters into (whether by purchase, lease, hire or any other arrangement).</u>
<b>Contract Procedure Rules (CPRs)</b>	<u>Means these Contract Procedure Rules.</u>

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<b>Data Protection Legislation</b>	<a href="#">Means all applicable data protection and privacy legislation in force from time to time in the UK including the retained EU law version of the General Data Protection Regulation ((EU) 2016/679) (UK GDPR), the Data Protection Act 2018 (DPA 2018) (and regulations made thereunder), the Privacy and Electronic Communications Regulations 2003 (SI 2003/2426), the Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019/419 and the guidance and codes of practice issued by the Information Commissioner or other relevant regulatory authority <del>and applicable to a Party.</del></a>
<b>Dynamic Purchasing System (DPS)</b>	<a href="#">Is a procurement tool available for contracts for works, services and goods commonly available on the market. It has its own specific set of requirements(as set out in Regulation 34 of the Public Contracts Regulations 2015); for example, it must be run as a completely electronic process, must remain open to new entrants, all suppliers on the relevant category in the Dynamic Purchasing System must be invited to quote for all contracts and it should be set up using the restricted procedure.</a>
<b>eProcurement Portal (YORTender)</b>	<a href="#">The on-line Supplier and Contract Management System used by the Council to operate e-tenders and for the online management of suppliers and contracts and to advertise contracts. Currently available at <a href="http://www.yortender.co.uk">www.yortender.co.uk</a></a>
<b>Financial Ratio</b>	<a href="#">Is a pre-set method of determining a supplier's financial standing, such as turnover, net asset value, and profitability.</a>
<b>Find A Tender Service (FTS)</b>	"Find a Tender" means the UK e-notification service where notices for new procurements are required to be published;
<b>Financial Procedure Rules (FPRs)</b>	The Financial Procedure Rules.
<b>Framework Agreement</b>	Means an agreement between a Contracting Authority and one or more Suppliers which operates as a Procurement tool through which contracts for Supplies can be sourced. Framework Agreements which deal with Supplies that are above, or aggregate above, the UK Threshold are subject to the <del>Public</del> UK Procurement Rules. They set out the terms for the Supply (often including the price) and the method for calling off orders. 'Framework' and 'Framework Suppliers' shall be construed accordingly.
<b>Head of Corporate Property Management</b>	Means the officer appointed by the Service Director who is responsible for corporate property management functions.
<b>Head of Internal Audit</b>	Means the officer appointed by the Chief Executive who is responsible for internal audit.
<b>Head of Procurement</b>	Means the officer appointed by the Service Director – Legal, Governance & Commissioning who is responsible for Corporate Procurement.
<b>Income Contract</b>	An Income Contract is one where the main object of the contract is that the Council does something in relation to a Council asset <sup>5</sup> and includes situations where the Council does so at nil value (subject to this not being a Grant – see <del>Appendix 4</del> <a href="#">Appendix 4</a> ).
<b>Local Government</b>	Means the statutory codes and legislation requiring the Council to publish

<sup>5</sup> For contracts where the Council provides services to another body, please refer to FPRs 20.4-20.6

~~[attached to the public procurement rules for the Council's procurement of 2021-24 contract period principles and 2021 draft v2.](#)~~

<b>Transparency Requirements</b>	information, such as <sup>6</sup> the Local Government Transparency Code and certain Regulations within the Public Contracts Regulations 2015.
<b>Official Council Order</b>	A standard form of contract for a Supply for a value of less than the current UK supplies and services threshold approved by the Solicitor to the Council whether attached electronically or by paper to an order for Supplies.
<b>Personal Data</b>	Means data which relate to a living individual who can be identified— (a) from those data, or (b) from those data and other information which is in the possession of, or is likely to come into the possession of, the data controller, and includes any expression of opinion about the individual (e.g. references) and any indication of the intentions of the data controller or any other person in respect of the individual.
<b>Procurement</b>	The purchase, contract hire, lease, rental <sup>7</sup> or any other form of acquisition which results in a contract for Supplies where the Council is the buyer (therefore, in the context of the CPR, this does not include the Council providing the Supply to itself or gifts). Procurement also includes the establishment of Standing Lists, Framework Agreements and Dynamic Purchasing Systems. ‘Procurement’ and ‘Procured’ shall be construed accordingly.
<b>Public Procurement Rules</b>	The rules on procurement for Supplies above the UK Threshold are subject to the statutory requirements outlined in the Public Contracts Regulations 2015 and those described in the Public Procurement (Amendment etc.) (EU Exit) Regulations 2020 (EU Exit Regulations).
<b>Reasonable Means</b>	Methods of selection or advertising which reflect reasonable trade practice. This might include informal briefs, supplier written Tenders or proposals, verbal or telephone quotes (which are then written down), comparative pricing for suitable supplies over the internet.
<b>Senior Manager</b>	Means an officer who reports directly to a Head of Service.
<b>Service</b>	A grouping of departments or other sections of the Council which is under the overall responsibility of a Service Director.
<b>Service Director</b>	Means the most senior officer responsible for the day to day functions of each Service.
<b>Social Value</b>	Means The Public Services (Social Value) Act 2012 (‘Social Value Act’) that requires the Council to consider how a procurement over the relevant thresholds could improve the economic, social and environmental wellbeing of the district
<b>Solicitor to the Council</b>	Means the Service Director – Legal, Governance & Commissioning in the role as legal advisor to the Council.
<b>Special Purpose Vehicle</b>	Companies (limited by shares, or otherwise) or any other legal entity, established by or in which the Council participates whether alone or with others to provide specific Supplies to the Council.

<sup>6</sup> The words “including”, “include”, “for example”, “e.g.”, and “such as” in these CPRs indicate examples and are not intended to be limiting

<sup>7</sup> Note: Contract hire, lease and rental agreements require the specific advance approval of the Director of Resources or their delegate [See the FPR].

<https://www.biddot.co.uk/procurement/procurement-templates-for-new-councils-for-2021-22-contract-procurement-rules-for-2021-draft-v2>

<b>Specification</b>	A written document detailing the Council's requirements. This can include things such as definitions, acceptance test methods, material requirements or characteristics, drawings, plans, certifications of compliance with standards, workmanship, data security measures, quality control including performance testing and KPIs, completion, delivery, safety, timing, key personnel qualities, communication requirements, returns policies and tolerances.
<b>Standing List</b>	A list of suppliers who are assessed as suitable to provide Supplies to the Council prepared in accordance with CPR 5.
<b>Suitability Criteria</b>	Relate to the assessment or vetting of suppliers' general capability, fidelity, skill, competence, etc. to carry out the contract. 'Suitability' shall be interpreted accordingly.
<b>Supplier</b>	Any person, partnership, company, or other organisation, which provides or contractually offers to provide any Supply to the Council or on behalf of the Council.
<b>Supply / Supplies</b>	Means the supply of any works, goods, or services; being provided, or to be provided, to or on behalf of the Council (whether by purchase, lease, hire or any other arrangement).
<b>Tender</b>	A written offer in relation to a Supply or Disposal of Assets making reference to a price and (where applicable) other information.
<b>UK Threshold</b>	The financial threshold from time to time at which the UK Procurement Rules are applicable to a Supply. Current UK Thresholds are set out in <a href="#">Appendix 1</a> .
<b>Value for Money</b>	Securing the best mix of quality and effectiveness for the least outlay over the period of use of the goods or services bought.
<b>Whole Life Costing Approach</b>	Is an approach which addresses all the elements of a Supply over its life cycle such as:- <ul style="list-style-type: none"> <li>• costs relating to acquisition,</li> <li>• costs of use, such as consumption of energy and other resources,</li> <li>• maintenance costs,</li> <li>• end of life costs, such as collection and recycling costs</li> </ul> which can be used to produce a spend profile of the Supply over its anticipated lifespan.



## Appendix 1

### EU Thresholds 1 Jan 2018 – 31 Dec 2019 Relevant Thresholds in Public Contract Regulations

Supply and service contracts	£189,330 <del>£180,000</del> (£180,000*)
Light Touch Regime Contracts (Annex XIV)	£63,540 <del>£615,000</del> (£615,000*)
Works contracts	£4,733,252 <del>£4,550,000</del> (£4,550,000*)
Small lots – supplies & services	£65,630 <del>(£65,000*)</del>
Small lots – works	£820,370 <del>(£820,000*)</del>

- ◆ These are current the values for the purposes of these CPRs.
- ◆ The threshold values to be used will be those applicable at the commencement of the procurement process. ~~EU Procurement threshold values are revised every two years. The thresholds are updated every two years with the next update due on the 1 January 2022.~~

## Appendix 2

**Information to be Reported to the Head of Procurement (**

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## **RULE 7 - RECORD KEEPING AND REPORTING**

	Information	When
A.	Details of all contracts awarded for Supplies of £5,000 or above following a competitive process including the name of the Supplier, and amount of the Tender and if the chosen supplier was not the cheapest, then the same information in relation to unsuccessful Suppliers, and the reason why the successful Supplier was chosen.	When Requested
B.	Details of all contracts awarded for Supplies of £100,000 or above, which result from negotiation including the reason for negotiation and the name of the successful Supplier and value of the contract.	When Requested
C.	Details of all contracts awarded for supplies of £5,000 or above which a Service Director considered to be exempt from the competitive requirements by virtue, including the reason for the exemption.	When Requested
D.	Contracts with a value of £5,000 or more see CPR, with the following information: 1. reference number <del>1-2.</del> title of agreement <del>1-3.</del> Contract Manager; name of person responsible for managing the contract <del>1-4.</del> description of the goods and/or services being provided <del>1-5.</del> Supplier name and details <del>1-6.</del> sum to be paid over the length of the contract (or if unknown, the estimated annual spending or budget for the contract) <del>1-7.</del> start, end and review dates, including permitted extensions <del>1-8.</del> whether or not the contract was the result of an invitation to quote or a published invitation to tender, and <del>1-9.</del> whether or not the Supplier is a small or medium sized enterprise and/or a voluntary or community sector organisation and where it is, provide the relevant registration number <del>2-10.</del> whether or not the contract involves processing personal data	In All Cases on Contract Award
E.	All other information necessary to enable compliance with the Council's obligations under legislation and regulation to publish data about its contractual arrangements and payments.	In All Cases on Request
F.	Copies of Regulation 84 reports	All cases where the Public Procurement Rules apply on Contract Award

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Relationship between Commissioning and Procurement

Relationship between Commissioning and Procurement



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## Appendix 4

### How to decide if a procurement or grant is applicable

- The Council obtains supplies of goods, works, and services from external suppliers and normally uses competitive processes to do so in accordance with the Contract Procedure Rules (CPRs)
- It is always appropriate to use procurement to obtain **goods** and **works**, and this is the usual preference to obtain **services**.
- There may though be some occasions when a grant may be an appropriate way to achieve the priorities of the Council. The table below aims to provide a guide to support commissioners to think about whether a grant or procurement is the most appropriate method in obtaining and/or supporting a particular **service**.

<u>1</u>	<u>If you are seeking to obtain <b>goods</b> or <b>works</b> you should <b>procure as per CPRs</b></u>
<u>2</u>	<u>If you are seeking to obtain a <b>service</b> and can answer <b>YES</b> to <b>ANY</b> of the following, you should <b>procure as per CPRs</b></u> <ul style="list-style-type: none"><li>• <u>Is there an intention to specify service standards and outputs required?</u></li><li>• <u>Will payment be reduced/alterd if service standards are not met, or additional payment be made if there are claims for additional costs incurred?</u></li><li>• <u>Are there opportunities for change control?</u></li><li>• <u>Is there a contractual obligation on both parties?</u></li><li>• <u>Is there an intention to have active management of the provider?</u></li></ul>
<u>3</u>	<u>If you are seeking to use a supplier that is a commercial i.e. profitmaking organisation this would generally require procurement as per CPRs. If the organisation is a genuine “not for profit” organization or charity you should discuss further with the Procurement team.</u>
<u>4</u>	<u>If you are seeking a <b>service</b> and can answer <b>YES</b> to <b>ANY</b> of the following, you can consider use of a <b>Grant as per FPRs</b></u> <ul style="list-style-type: none"><li>• <u>Is there an intention to broadly support an activity, with expected outcomes, but no clear obligations on the provider?</u></li><li>• <u>Is the intention to support parts of an existing activity?</u></li><li>• <u>Is the intention to provide a subsidy to existing service users</u></li><li>• <u>Is the council’s only ultimate remedy to withhold payment of a next phase of grant, seek clawback or to refuse to fund future activity by the provider?</u></li><li>• <u>Is the intention to meet a stated set of costs, and an intention that the provider should not profit from the support?</u></li></ul>

### Important notes/advice

- Always remember to check with the Procurement team if unsure.
- A competition will generally be appropriate to select which parties are entitled to receive grants.
- Grants still require a grant agreement.
- Grants which involve procurement by a third party require use of competition in selection of their suppliers (broadly aligning with council CPRs)

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**Name of meeting: Annual Council (Reference from Corporate Governance and Audit Committee)**

**Date: 19<sup>th</sup> May 2021**

**Title of report: Proposed Revisions to Financial Procedure Rules**

**Purpose of report; To provide information on proposed changes to Financial Procedure Rules for the municipal year 2021/22**

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not applicable
Key Decision - Is it in the <a href="#">Council's Forward Plan (key decisions and private reports)?</a>	Not applicable
The Decision - Is it eligible for "call in" by Scrutiny?	Not applicable
Date signed off by Director & name	10.5.21 J Muscroft Service Director - Legal Governance and Commissioning
Is it also signed off by the Service Director for Finance, IT & Transactional Services	Yes
Is it also signed off by the Service Director - Legal Governance and Commissioning?	
Cabinet member portfolio	Not applicable

**Electoral wards affected: All**

**Ward councillors consulted: Not applicable**

**Have you considered GDPR; Yes**

**Public**

## 1. Summary

- 1.1 This report sets out information on proposed changes to Financial Procedure Rules for the year 2021/22
- 1.2 The Corporate Governance & Audit Committee considered the proposed changes at their meeting on 22<sup>nd</sup> April 2021.
- 1.3 The areas proposed for amendment relate principally to a consolidation of the currently separate parts (in the 2019 version of FPRs) that relate to grants receivable and payable, into a new combined section, and other changes regarding capital budget management, internal audit, and debt collection.

## 2. Information required to take a decision

- 2.1 The detail is contained within a summary schedule, Appendix 1.
- 2.2 The main change is the consolidation of the section relating to grants receivable- previously section 4.7 to 4.11 and grants and loans payable 20.7 to 20.14, into a new combined section 22, with some clarification and changes to the rules.

- 2.3 All the text changes are marked in Appendix 2 by track changes, but to clarify what are word changes rather than those of location, the original texts from 4.7 to 4.11 and 20.7 to 20.14 are included as the untracked text now at 22. New or changed text in section 22 is hence shown as the track changes. Corporate Governance and Audit Committee asked for minor revisions to the initial draft wording in relation to 2.18, 22.5 and 22.10(b)
- 2.4 Although there are some suggested changes, for clarification, to sections 2 and 3 that relates to budgets, the Director of Finance and Head of Risk are currently reviewing the concepts of controls required and achieved through FPR 2 (Revenue Budget Preparation and Management) and FPR 3 (Capital Investment Plan Preparation and Management) and anticipate reporting on this to the Committee later in 2021.
- 2.5 There are some other wording changes to clarify responsibilities and requirements, in relation to matters such as internal audit, payments and debt collection.

### **3. Implications for the Council**

- 3.1 **Working with People** – None directly
- 3.2 **Working with Partners** – None directly
- 3.3 **Place Based Working** – None directly
- 3.4 **Improving outcomes for children**– None directly
- 3.5 **Climate change and air quality**- None directly
- 3.6 **Other (eg Legal/Financial or Human Resources)**- Although each of the sub categorisations above suggest no direct implications, these Procedure Rules cover all aspects of the councils operations.

### **4. Consultees and their opinions**

- 4.1 The Service Director Finance (Chief Finance Officer), and relevant Heads of Service, have been involved in the drafting of these proposals. As noted above the Corporate Governance & Audit Committee considered the proposed changes at their meeting on 22nd April 2021 and recommended some minor changes now incorporated in this proposal.

### **5. Next steps & Timelines**

- 5.1 If approved by Annual council, these changes will be effected immediately.

### **6. Officer recommendations and reasons**

- 6.1 Council is asked to approve the proposed changes to Financial Procedure Rules.

### **7. Cabinet portfolio holder recommendation**

- 7.1 Not applicable.



**8. Contact officer**

Martin Dearnley, Head of Risk (01484 221000; x 73672)

**9. Background Papers and History of Decisions**

The attached document includes track changes.

The 2019 version of FPRs is available as a part of the Council's Constitution.

**10. Service Director responsible**

Service Directors of Legal Governance and Commissioning and Finance,

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FINANCIAL PROCEDURE RULES  
PROPOSED REVISIONS  
TABLE

APPENDIX 1

Paragraph	Proposed Changes
Definitions	Some new definitions added e.g Council provisions, earmarked reserves
1.6 (b)	Additional words to including requirement for adherence to the CIPFA Financial Management Code of Practice
2, and 2.3	"2 to 4 years" replaced by "up to 5 years"
2.5	Additional reference to "key stakeholder"
2.6	"Equality impact assessment" becomes "integrated impact assessments"
2.9	This is the former 2.35 relocated
2.18	Additional wording about the rights and responsibilities of the CFO when addressing earmarked reserves. Further words added post Corporate Governance & Audit committee(CGAC).
3.8 to 3.16	Some renumbering of paragraphs (not shown as track changes)- all text changes shown
3.12	The text is simplified to be clearer that Directors authority is to move up to £1m within a programme area in any year.
3.13	This test is also simplified to be clearer what authority can be delegated
3.14	Minor word changes to improve clarity
4.7- 4.11	Now form a part of section 22, a new section related to grants
5.3 e	Additional words designed to ensure an ability to operate an effective internal audit function in a decentralised operating environment
10.5	Additional words to clarify that necessary checks must verify counterparty bank account details.
11.15	Additional words that clarify responsibility when a debt collection arrangement is suppressed
15.3	Minor word changes
20.4	Additional words
20.7-20.14	Grants & Loans now forms a part of section 22
21.13	Additional words
22	New Grants section. Divided as grants receivable and grants and loans payable, formed from sections 4, and 20. All paragraphs are numbered in a new sequence but are not shown as changed. Note that the text retained from these sections is not marked as revised, only the changed text
22.1-3	Additional words to require that a grant application is only made where the project aligns with priorities and is fully affordable within existing budgets, or has additional approval from Cabinet, or where required Council
22.5	Establishes ability to accept WYMCA and government grants to unlimited value, with some restrictions. Minor further changes to wording post CGAC.
22.6	Establishes obligation of service directors to ensure grant expenditure aligns wit grant conditions
22.7	Establishes requirement to notify CFO/reporting
22.8	Additional words to ensure information provision to CFO and Head of Risk
22.9	Words to remind that Directors must ensure that what is proposed is not

	procurement
22.10	Additional words obliging a service director to carry out due diligence checking on potential grant recipients. Minor further changes to wording post CGAC.
22.12	Additional words to limit ability of officers to award grants of very large value under delegated clauses
22.13	Remove reference to District committees
22.16	A new section that makes clear the council can operate government (and other third party) funded grant schemes, if these follow the grant rules of the funders scheme
22.17	Adjusted words to reflect leaving EU

# **KIRKLEES COUNCIL**

## **FINANCIAL PROCEDURE RULES**

**May 2021**

## **Financial Procedure Rules**

### **Introduction**

These Financial Procedure Rules set out the framework which the Council will use in managing its financial affairs. They set out levels of responsibility and give authority to the Cabinet, Members and Officers and are intended to promote good practice in the use of public funds.

A guide to the Financial Procedure Rules will be published on the Intranet to help users understand and implement good financial management. Users should contact the Chief Finance Officer or their staff if assistance or clarification is needed.

### **Contents**

1. Financial Governance
2. Revenue Budget Preparation and Management Revenue Budget
3. Capital Investment Plan Preparation and Management
4. Financial Systems and Procedures
5. Internal Audit
6. Insurance
7. Assets
8. Security
9. Treasury Management
10. Banking Arrangements, Cheque Signing and Imprest Accounts
11. Income
12. Payment of Invoices
13. Payment Cards
14. Salaries, Wages and Pensions
15. Travel, Subsistence and other Allowances
16. Taxation
17. Gifts and Hospitality
18. Working with Clients and Contractors
19. Unofficial and Voluntary Funds
20. Financial Control of Partnerships, Joint Ventures, Associated Organisations and Similar Arrangements.
21. Purchasing of Works, Goods and Services
22. Grants to the Council and Grants and Loans from the Council

## **Financial Procedure Rules**

### **Definitions**

Activity	A standard sub division of a Strategic Director's budgets; normally equivalent to the service portfolio operationally managed at Service Director level;
Asset	An item belonging to the Council that has a value ; It can include buildings, equipment, vehicles, stocks, stores, money and items of intellectual value
Associated Organisation	An organisation in which the Council has a majority or substantial shareholding, or exercises substantial influence through its funding of the organisation.
Business Case	A written document, setting out the reasons for a project, including analysis of options, risks and resources needed.
Cabinet Member	A single member of the Cabinet.
Central Budget	A budget which is held centrally and for which statutory Chief Finance Officer is accountable (in accordance with any rules established in these procedures, or by Council, or Cabinet)
Chief Finance Officer (or "CFO")	The person so designated as the section 151 of the Local Government Act 1972 from time to time by the Chief Executive.
Controllable Budget	A budget which is allocated to a Director , and for which the Director is accountable, in accordance with any rules established in these procedures, or by the Chief Finance Officer, or by Council, or Cabinet
Directorate	The consolidated group of Service plans and activity budgets that fall within the responsibility of any one Strategic Director, including the Chief Executive
<u>Council Provisions</u>	<u>Sums of money set aside to pay for uncertain events</u>
<u>Earmarked Reserves</u>	<u>As council provisions, but with a more clearly stated</u>
Executive Team	The Council's most senior officer leadership team
Fixed Asset	Any physical item having a long term value –such as a vehicle, or property.
Head of Audit & Risk	The officer currently holding the role of Head of Internal Audit-, as required by Public Sector Internal Audit Standards.
His or her Nominees	Refers to the 'Head of Service level' to which Service Directors, including the Chief Finance Officer can nominate on their behalf, to enact Service Director delegated authorities as set out in these FPR's
<u>Internal Audit Strategy &amp; Charter</u>	<u>Arrangements agreed by the Corporate Governance &amp; Audit Committee on how the internal audit function will operate in line with Public Sector Internal Audit Standards</u>
Irregularity	An unexpected or inappropriate event or behaviour

Land Asset	Means the property of the Council that is freehold or leasehold property (including any buildings on it), rights of possession of land, easements and/or other estates or interests in land.
Medium Term Financial Plan (MTFP)	Revenue Budget Plan, normally between 2 and 4 years, and Capital Investment Plan, up to 5 years
Monitoring Officer	The person appointed under section 5 of the Local Government & Housing Act 1989, currently the Service Director, Legal, Governance & Commissioning
Named Project	A project (forming part of a Capital Programme) identified by Cabinet (or any other body or person so authorised by Cabinet) for inclusion in the Capital Plan.
Officer	An employee of the Council or other person contracted to carry out functions where these Financial Procedure Rules
Partnership	Any organisation with which the Council has a formal or informal agreement to act together.
Programme Area	A group of projects having a similar purpose in the Capital Investment Plan, or a major project listed separately within the Capital investment Plan
Project	A single scheme included separately in the Capital Investment Plan, or as a part of a programme area.
<u>Public Sector Internal Audit Standards</u>	<u>Rules based on the International Professional Practices Framework (IPPF), intended to promote improvement in the professionalism, quality, consistency and effectiveness of internal audit across the public sector</u>
Rollover	A mechanism for transferring budgets between financial years.
Service Director	The person responsible for the proper compliance with these procedures whose name is included on a schedule approved by the Chief Executive.
Service Plan	A statement of objectives plans, performance measures and the use of resources for a Service.
Specified Project	A project specified by Council for inclusion in the Capital Plan.
Strategic Director	The person responsible for the commissioning of activity at a Directorate level, and includes the Chief Executive
Virement	The transfer of resources from one budget to another.



## 1. **FINANCIAL GOVERNANCE**

- 1.1 The Council has a statutory duty to make arrangements for the proper administration of its financial affairs and to secure that one of its officers has responsibility for the administration of those affairs. The Council has resolved that the Chief Finance Officer is the responsible officer.
- 1.2 The Council will approve Financial Procedure Rules to be used by all members and officers.
- 1.3 The Corporate Governance & Audit Committee is responsible for approving the Council's Annual Statement of Accounts
- 1.4 The Cabinet is responsible for ensuring that the Financial Procedure Rules are followed across the Council. The Corporate Governance & Audit Committee is also responsible for reviewing the Financial Procedure Rules annually and for making recommendations for any changes to the Council.
- 1.5 The Corporate Governance & Audit Committee is responsible for approving the Council's risk management policy statement and strategy and for reviewing the effectiveness of risk management arrangements. The Cabinet is responsible for assessing the risk of activity undertaken by the Council.
- 1.6 The Chief Finance Officer is responsible for the proper administration of the Council's financial affairs, and particularly for:-
  - a) Providing financial advice and information to members and officers;
  - b) Setting standards for good financial management and monitoring compliance with those standards, including adherence to the CIPFA Financial Management Code of Practice;
  - c) Advising on the key financial controls necessary to secure sound financial management;
  - d) Ensuring that proper systems of internal control are operated;
  - e) Coordinating the preparation of the Revenue Budgets and the Capital Investment Plan;
  - f) Determining the accounting policies and financial procedures and records for the Council;
  - g) Preparing the annual financial statements in accordance with the relevant accounting standards and codes of practice;
  - h) Treasury management activities;
  - i) Preparing a risk management policy statement and promoting it throughout the Council;
  - j) Maintaining an effective internal audit function, jointly with the Chief Executive,
  - k) Ensuring proper professional practices are maintained within the finance function; and
  - l) Managing the Council's taxation affairs.

1.7 The Chief Finance Officer must report to the Council's Monitoring Officer and to the Council if:-

- a) A decision has been made, or is about to be made, which involves the incurring of expenditure which is unlawful; or
- b) There has been, or is about to be, an unlawful action resulting in a financial loss to the Council;

and the Council's Monitoring Officer will take all appropriate and necessary steps to deal with the matter.

1.8 The Chief Finance Officer may issue any instruction intended to fulfil these responsibilities and is entitled to any information or explanations as he/she may require. The Head of Audit & Risk, and the Service Director Legal, Governance & Commissioning may also issue instructions or guidance in relation to these financial procedure rules.

1.9 Service Directors must ensure that financial systems and procedures used in their service areas are adequate to record and control all transactions and to achieve adequate levels of internal check and control.

1.10 Service Directors must make arrangements to ensure that all officers involved in financial matters are aware of, and competent in the use of, these Financial Procedure Rules. The extent of delegated authority to officers must be recorded.

1.11 Strategic Directors must ensure that any report to Cabinet contains the financial implications of any proposals. These financial implications must be agreed with the Chief Finance Officer.

1.12 Where, as a result of legislation or decision of the Council, part of the Council's functions are delivered by an Associated Organisation including any partnerships of which the Council is a member or participant, funded partly or wholly by the Council, the organisation must agree with the Council its own arrangements for corporate governance, by way of an initial proposal. Subsequent authority to approve changes may be delegated to a strategic director. All partnership arrangements will incorporate the spirit of the Council's Financial Procedure Rules, and will include the Council's right of access to financial information about the associated organisation.

1.13 Schools with delegated budgets are subject to, and must comply with, the 'Kirklees Scheme for Financing Schools', which has tailored these Financial Procedure Rules for use in those Schools.

1.14 A reference in the Financial Procedure Rules:-

- a) To the Cabinet includes Cabinet Committees;

- b) To a Strategic Director includes where appropriate the Chief Executive.
- c) To a Service Director includes his or her nominees (except where otherwise specifically forbidden);
- d) To the Chief Finance Officer includes his or her nominees; and
- e) To an officer means any employee of the Council, or other persons contracted to carry out functions where these Financial Procedure Rules apply.

## 2. **REVENUE BUDGET PREPARATION AND MANAGEMENT**

### **Responsibilities**

The Council is responsible for determining the Council's Medium Term Financial Plan (MTFP) comprising a multi-year General Fund Revenue Budget Plan, which will normally cover a period of up to 5 years ~~between 2 and 4 years~~ (including the revenue consequences from the Capital Investment Plan), a multi-year capital budget plan of up to 5 years, and setting the level of Council Tax for the following financial year.

- 2.1 The Cabinet is responsible for recommending to the Council a Medium Term Financial Plan comprising a multi-year General Fund Revenue Budget Plan and Capital Investment Plan and for implementing it when determined.
- 2.2 The Cabinet is responsible for approving the setting of Council Tenant Rents, and other rents and service charges within the Housing Revenue Account (HRA), each year, within the scope of existing HRA rent and charging policies.

### **Budget Preparation**

- 2.3 The Cabinet will submit no later than the October meeting of the Council each year a provisional budget strategy, for a period of ~~between 2 years and 4~~ up to 5 years. The starting point will be the existing multi-year plans rolled forward from the previous year, including a high level review of Council funding and spend assumptions. Any resultant gap between funding and spend forecasts will inform target spending controls over the new MTFP period
- 2.4 The Chief Finance Officer is responsible for establishing budget procedures, including determination of the following:
  - i) target spending controls for the Council budget over the MTFP, and their allocation to Strategic Directors, as appropriate,
  - ii) the format for budget documentation,
  - iii) a corporate budget timetable, and
  - iv) to report on any amendments put forward as part of the Council's budget process
- 2.5 The provisional budget strategy will include arrangements for wider public and key stakeholder engagement on budget options being considered.

- 2.6 Strategic Directors will produce draft budget proposals to meet target spending controls for Council budgets over the MTFP, in accordance with budget procedures and the annual budget timetable as set out by the Chief Finance Officer. These draft budget proposals will show how resources are to be allocated across activities, taking into account any service changes, performance targets, and the specific requirements of any relevant legislation, including service equalities impacts integrated impact assessments, in formulating budget options for Cabinet consideration.
- 2.7 The Cabinet will consider these proposals in detail and make its recommendations to the Council at least 14 days before the date set for the meeting of the Council which will determine the Council's Budget for the next financial year.
- 2.8 The Chief Finance Officer will advise the Cabinet and the Council on the overall budget, the levels of Council Tax, the use of reserves and the need for contingency budgets, and on the risks involved in the forecasts of spending levels and income. The annual budget report must make reference to all matters required by legislation or appropriate professional guidance.

### **Budget Management**

- 2.9 The Cabinet is responsible for implementing the Corporate Plan within the resources allocated in the Revenue Budget. The Cabinet will provide summary revenue monitoring information to the Council at least twice per year.
- 2.10 The Chief Finance Officer will report to the Cabinet on the overall management of the Revenue Budget on a quarterly basis through the year. At least a summary of this information will be published on the council website.
- 2.11 The Chief Finance Officer will determine which budgets are "controllable" by Strategic Directors, and will allocate the specific budgets that each Strategic Director has responsibility to manage.

2.12 These budgets will normally align with the Directorate structure of the Council, but may also reflect the cross council (transformational) structure of the Council. The Chief Finance Officer will determine which budgets may be considered together for the purposes of delegated virement authority (per FPR 2.21 to 2.25 below).

2.13 Service Directors are responsible for managing controllable budgets within their delegated authority and should exercise proper budgetary control in order to achieve Corporate Plan and Service Plan objectives.

2.14 Service Directors are authorised to incur any expenditure included in the approved revenue budget, as amended by any virement including obtaining and accepting quotations and providing grants and loans, subject to other requirements of CPRs and FPRs.

2.142.15 Service Directors must report on budget management. They must take action to avoid overspending budgets and report any difficulties to the appropriate Strategic Director and the Chief Finance Officer. These reports must be in a format and to a timetable prescribed by the Chief Finance Officer.

2.152.16 Where a Service Director is reporting a projected overspending of more than £500,000 on an activity that cannot be addressed through budget virement, this will be highlighted by the Chief Finance Officer as part of the report to the Cabinet on the overall management of the Revenue Budget on a quarterly basis through the year, setting out the reasons for the overspending and management options for eliminating or reducing the overspending.

—The Cabinet is responsible for reviewing management options and for recommending such further steps as are necessary to align activity with resources.

2.162.17 The Chief Finance Officer is accountable for all central budgets, which will be managed in the same way as activity budgets.

2.172.18 The Chief Finance Officer has delegated authority to review the requirement for existing Council Provisions and earmarked Reserves, in-year, and to approve the proposed drawdown of earmarked reserves in-year in consultation with the relevant Strategic Director, ensuring that the proposed drawdowns are appropriate for the stated purpose of the earmarked reserve. Earmarked reserves drawdowns in-year will also form part of the overall report to Cabinet on a quarterly basis as set out at FPR 2.10 . The CFO will also ~~and to~~ report any new Reserves or Provisions requirements to Cabinet for approval.

2.182.19 Service Directors should delegate authority to commit budgets to the appropriate level of management, make arrangements to set out clearly the extent of authority of managers, and review the performance of managers in managing these budgets.

## **Virements**

2.192.20 Service Directors are authorised to transfer resources within an activity budget without limit providing the Service Plan for the activity is not changed in a material way.

2.21 Virement which is associated with a change in the level of service from that set out in the service plan must be approved by the Cabinet.

2.22 Virement is not permitted between HRA funded and General Fund funding streams

2.23 Strategic Directors, in consultation with the appropriate executive member(s), are authorised to transfer resources between controllable activity

budgets subject only to the cumulative Virements on any one activity not exceeding 10% of the original net controllable Directorate budget or £1,000,000, whichever is the smaller.

- 2. 24 The Cabinet is authorised to transfer resources (undertake a Virement) of more than £1,000,000 between activity budgets, subject only to the cumulative Virements on any one Directorate not exceeding 10% of the original gross budget, or £2m, whichever is the smaller. Cumulative Virements above these thresholds requires Council approval.
- 2.25 The transfer of revenue resources in any one financial year from the revenue budget to add additional resources to the capital plan, will only be allowed if:
  - (a) there is explicit prior year approval as part of the approved budget plans of the Council, for the following financial year; or
  - (b) Service Directors can identify, from the revenue budget (up to £500,000 cumulative virement in any one financial year) to add additional resources to the capital plan, in respect of approved programmes and projects, and subject to approval by the Executive Team and the Chief Finance Officer; and compliance with FPR 3.17 and 3.18
- 2.26 Virement is specifically not allowed where the transfer of resources is from budgets for capital charges, Council support functions or statutory taxes and levies.
- 2.27 All Virements will be recorded in a way prescribed by the Chief Finance Officer who will arrange for Virements to be incorporated into subsequent financial monitoring reported to the Cabinet.

### **Rollover**

- 2.28 Rollover is a mechanism for transferring budget provisions from one year to the next, or vice versa. Rollover arrangements apply equally to the General Fund and the Housing Revenue Account.
- 2.29 The Council, in determining the Revenue Budget for a particular year, will also determine the arrangements for rollover for that year. The Council will determine changes to the Council's Revenue Budget arising from the rollover process.
- 2.30 The Cabinet is responsible for recommending changes to the Revenue Budget arising from the rollover process.
- 2.31 In the rollover process, the overall level of resources available to support rollover proposals will not exceed any reported net underspend of the Council as a whole for that year. If the Council as a whole does not have a reported under spend, the presumption is that there will be no revenue rollover for that year.
- 2.32 Subject to the parameters set out in FPR 2.31 above, rollover proposals will be considered at Executive Team in the first instance.

## **Other**

- 2.33 Where during any year a material change of funding (and or any change associated activity) arises this must be reported to Cabinet along with a statement of any associated change in the risk profile (for example a change from outsourced to direct employment may have the same overall cost, but may significantly increase the Council's risk).
- 2.34 Where, ~~as a result of~~because of a mistake or error by an officer, the Council becomes liable for any charges, penalties or additional expenses, such costs will be met by the activity in which the error is made.
- 2.35 Whenever the Cabinet becomes aware –following a report from the Chief Finance Officer- that a reduction in resources during the current or future years may impact on the Council's financial position, it may take such steps as it considers necessary to reduce activity immediately or at a stage prior to the next budget Council to address this.
- 2.36 After the end of each financial year, the Chief Finance Officer will report to the Cabinet and the Council on the outturn financial performance and any proposed rollover, subject to the parameters set out in FPR 2.30 above.
- 2.37 The Chief Finance Officer is permitted to make variations to revenue funding as necessary in order to ensure that the council funding position is optimised, subject to reporting such actions to Cabinet and Council.

## **3. CAPITAL INVESTMENT PLAN PREPARATION AND MANAGEMENT**

### **General**

- 3.1 For the purposes of capital investment plan preparation and management, "capital" must meet the following criteria:
- (a) the definition for capital as set out in relevant CIPFA accounting guidance and accounting standards, and any other statutory Government guidance as set from time to time-;
  - (b) Subject to (a) above, a minimum threshold or 'de minimis' to be considered capital at an individual project level, as determined by the Chief Finance Officer. (The usual de minimis level is £10,000)\_
- 3.2 All capital investment must also be made in recognition of the CIPFA 'Prudential Code for Capital Expenditure with regard to prudence, affordability and sustainability, and any associated statutory Government guidance'. Council must approve an annual Capital Strategy and annual (Non-Treasury) Investment Strategy in line with the guidance.

### **Responsibilities**

- 3.3 The Council is responsible for determining the Council's Capital Investment Plan and approving the prudential indicators and limits that support the capital investment.
- 3.4 The Cabinet is responsible for recommending to the Council at the same time as the proposed Revenue Budget, a multi-year Capital Investment Plan and the prudential indicators and limits that support the recommended capital investment.
- 3.5 The Council will determine allocations of capital resources to programme areas, or to specified projects.
- 3.6 The Cabinet is responsible for implementing the Capital Plan approved by Council, in line with any stated Council policies, or strategies
- 3.7 The Chief Finance Officer will advise the Cabinet and the Council on the overall Capital Investment Plan and levels of funding available. The Chief Finance Officer must confirm the robustness of the capital plan and assess and report to Cabinet on the revenue effects of the capital plan (financing, additional running costs, savings and income) and indicating the prudential indicators and limits that support the capital investment.
- 3.8 Service Directors are responsible for managing programmes and projects, and must make appropriate arrangements to control projects and avoid overspending.

#### **Capital Investment Plan Preparation.**

- 3.9 The Chief Finance Officer will prepare draft investment plans in accordance (where set) with the planning totals. Service Directors are responsible for providing details of all programmes and projects in a format prescribed by the Chief Finance Officer.

#### **Plan Management**

- 3.10 Where the Council has allocated resources to a programme area, the Cabinet will determine the allocation of resources from the programme area to named projects.
- 3.11 The Cabinet is authorised to;
  - (1) Transfer resources within a programme area without restrictions.
  - (2) Transfer resources between any project or programme area up to a maximum of £2,000,000 in any financial year.
  - (3) Transfer resources within programme areas between any year within the approved capital plan, subject to compliance with 3.14 and 3.16



3.12 Service Directors are authorised to transfer resources ~~to or from any project, or to or from any unallocated resources~~ within a programme area up to a maximum of £1,000,000 in any financial year, subject to ~~the approval of the Chief Finance Officer and~~ notification to the relevant Cabinet Member and appropriate Wward Members.

~~3.123.13~~ The Cabinet may delegate its authority under Financial Procedure Rule 3.11 to Service Directors. This is to be agreed on an annual basis.

3.14 Transfers under 3.10 to 3.13 require the approval of the Chief Finance Officer who must be satisfied that there is no impact on capital funding stream ~~as a result of~~because of the changes.

3.15 The transfer of revenue resources in any one financial year from the revenue budget to add additional resources to the capital plan, will only be allowed if ;

(a) there is explicit prior year approval as part of the approved budget plans of the Council for the following financial year; or

(b) Service Directors can identify from the revenue budget (up to £500,000 cumulative virement in any one financial year) to add additional resources to the capital plan, in respect of approved programmes and projects, but subject to approval by the Executive Team and the Chief Finance Officer; and compliance with FPR 3.19 and 3.20.

3.16 Transfer of resources must recognise funding arrangements. Transfer is not permitted between HRA funded and General Fund funding streams, nor in respect of any project (or programme) to the extent that it is funded by a project (or programme) specific third party grant.

~~3.16 — The Cabinet may delegate its authority under Financial Procedure Rules 3.10 and 3.11 to Service Directors. This is to be agreed on an annual basis.~~

3.17 Any decision on resource allocation by Cabinet, Strategic and Service Directors (3.11 to 3.16) must recognise any policy decisions of Council. Any decision on resource allocation by Strategic and Service Directors (3.12-3.16) must recognise any policy decisions of Cabinet.

3.18 The Chief Finance Officer will report to the Cabinet on the overall management of the Capital Investment Plan on a quarterly basis through the year (including the reporting of virements in respect of transfer of resources within or between programmes. The Cabinet will provide summary monitoring information to the Council at least twice per year

### **Initiating and Progressing Investment Decisions**

3.19 The Chief Executive will establish arrangements, delegated as appropriate to a lead Strategic Director in conjunction with the Chief Finance Officer, to ensure effective deployment of capital resources, ensuring that these align

with council priorities and including the preparation of business cases and/or option appraisals designed to ensure project effectiveness and value for money, and to assist Council to determine its Capital Investment Plan, and assist Cabinet with the allocation of programme area budgets to specific projects. For these purposes the Strategic Director and Chief Finance Officer may approve the incurring of fees for option appraisal or initial design fees, subject to the identification of an appropriate funding source.

- 3.20 A capital outlay report will be prepared for each specific project. It will be signed off by the relevant programme manager and approved by the Chief Finance Officer, who will grant approval to each specific project when satisfied that:
- a) The project appears on a schedule of named projects (approved by Council or Cabinet, or by officers under a scheme approved by Cabinet).
  - b) That the total costs of a project are estimated to be less than or equal to the amount approved in the Capital Investment Plan;  
or  
Where the total costs of a project exceed the amount approved in the Capital Investment Plan the appropriate additional resources has been approved; (3.10 to 3.16),
  - c) Any necessary external approval have been obtained;
  - d) The project will not lead to any unexpected or unplanned costs, not envisaged by the business case or optional appraisal.
- 3.21 Service Directors have authority to progress projects once the approvals at 3.20 has been given. They must ensure compliance also with Contract Procedure Rules.
- 3.22 Strategic Directors are responsible for post implementation reviews of capital projects to assess the outcome of investment programmes and projects, and the extent to which these achieved the planned objectives set out in the business case, this assessment to be used in summary to Cabinet and Council as a part of the overall financial reporting and monitoring process.
- 3.23 The Chief Finance Officer is permitted to make variations to capital funding as necessary in order to ensure that the council funding position is optimised, subject to reporting such actions to Cabinet and Council.

### **Complex Projects**

- 3.24 Cabinet may delegate decisions in relation to complex projects or schemes of projects (which might relate to expenditure, income, the creation of subsidiary or related organisations) to the Chief Executive, who may further delegate

decisions to a Strategic Director, or as appropriate the Chief Finance Officer or Service Director Legal, Governance & Commissioning. The Chief Finance Officer and Service Director Legal, Governance & Commissioning must be consulted on any decision made by such a delegated party.

## **Leased Assets**

- 3.25 Accounting regulations (IFRS 16) makes an ongoing presumption that all leases (including those previously defined as operating leases) will need to be included on the Council's Balance Sheet. All lease arrangement need to follow the same procedures, and have the same authority and approval, as for other items of capital expenditure
- 3.26 In respect of any item acquired by lease (other than a Land Asset) the inventory must be marked with the name of the leasing company and the date of expiry of the lease agreement. When requested by the leasing company the item must be suitably marked as the property of that company.

## **4. FINANCIAL SYSTEMS- AND PROCEDURES AND GRANTS**

- 4.1 The Chief Finance Officer is responsible for the operation of the Council's accounting systems, the form of accounts and the supporting financial records. Any changes to the existing financial systems or the establishment of new systems must be approved by the Chief Finance Officer.
- 4.2 Any exceptions to financial procedures to meet the specific needs of a Service must be agreed between the Service Director and the Chief Finance Officer.
- 4.3 Service Directors must ensure that officers understand and are competent to undertake their financial responsibilities and receive relevant financial training that has been approved by the Chief Finance Officer.
- 4.4 Service Directors are responsible for the proper operation of financial processes in their own activity and must ensure that all financial, costing, and other statistical information is recorded fully and accurately.
- 4.5 Service Directors must ensure that financial documents are retained in accordance with the Council's approved retention schedule.
- 4.6 As far as practicable, Service Directors must make arrangements for the separation of duties between the carrying out of transactions and the examining and checking of transactions.

**Sections 4.7- 4.11 now form a part of section 22**

## **5. INTERNAL AUDIT**

### **Responsibility & Authority**

- 5.1 The Chief Executive, (in consultation with the Chief Finance Officer and

Monitoring Officer) must arrange a continuous internal audit, which is an independent review of the accounting, financial and other operations of the Council.

5.2 The Head of Audit & Risk will report directly to the Chief Executive, the Chair of the Corporate Governance & Audit Committee or the External Auditor in any circumstance where the functions and responsibilities of the Chief Finance Officer are being reviewed. (Other than routine reporting of work carried out)

5.3 The Head of Audit & Risk has authority to:-

(a) Enter at all times any Council premises or land or location from which Council services are provided;

(b) Have access to all property, records, documents and correspondence relating to all activities of the Council;

(c) Require and receive explanations concerning any matter; and

(d) Require any employee of the Council, without prior notice, to produce cash, stores or any other property for which they are responsible.

(e) Require any Director to ensure that within 5 working days notice (or such other time as might be agreed by the Head of Audit & Risk)

(i) to ensure sufficient resource by way of time or otherwise, that an adequate response by employees of the service to any request for information,

and, or

(ii) any employee attend a meeting at any location (within the Kirklees area,) chosen by the Head of Audit & Risk

and or

(iii) the provision of any information, data, records, currently held in any media format be provided that that format, or in any other reasonable format chosen by the Head of Audit & Risk, and as appropriate delivered to a location chosen by the Head of Audit & Risk

and

(iv) to be accountable to the Corporate Governance & Audit Committee should they fail to act

The Chief Finance Officer has the same authority for any accounting or associated purpose.

### **Planning & Reporting**

5.4 The Head of Audit & Risk must plan and report (in accordance with the approved Audit Strategy and Charter, Public Sector Internal Audit Standards and any instruction from the Councils Corporate Governance & Audit Committee) upon:-

- a) The risks inherent in and associated with each system;
- b) The soundness, adequacy and application of the financial and other management controls and systems within each Service;
- c) The extent of compliance with, and the financial effects of, established policies, plans and procedures;
- d) The extent to which the organisation's assets and interests are accounted for and safeguarded from losses of all kinds arising from fraud, other offences, waste, extravagance and inefficient administration, poor value for money and other cause;
- e) The suitability, accuracy and reliability of financial and other management data within the organisation; and
- f) Value for money aspects of service provision.

5.5 In respect of any audit report or communication issued, the Director must reply within 4 weeks indicating the action proposed or taken, by whom and including target dates. Where a draft report is issued for initial comments a reply must be made within 2 weeks of issue.

5.6 The Head of Audit & Risk will provide a written summary of the activities of the Internal Audit function to the Corporate Governance & Audit Committee at least four times per year and an Annual Report produced for consideration by, Cabinet and Corporate Governance & Audit Committee, including an audit opinion on the adequacy and effectiveness of the Council's risk management systems and internal control environment.

The Head of Audit & Risk will review the system of internal audit on an annual basis (and arrange for an external assessment once every 5 years) and report the outcome to the Corporate Governance & Audit Committee.

### **Investigations and Suspected Fraud, Corruption or Bribery.**

5.7 The Chief Executive and Directors must ensure that all Members and employees are:-

- a) Aware of the Council's Anti-Fraud, Anti-Corruption and Anti-Bribery Strategy;
- b) Aware of the Whistleblowing Strategy; and
- c) Operating in a way that maximises internal check against inappropriate behaviour.

The Head of Audit & Risk is responsible for the development and maintenance of the Anti-Fraud, Anti-Corruption and Anti Bribery Strategy and Whistleblowing Strategy and for directing the Council's efforts in fraud investigation.

- 5.8 It is the duty of any officer who suspects or becomes aware of any matter which may involve loss or irregularity concerning cash, stores or other property of the Council or any suspected irregularity in the operations or exercise of the functions of the Council to immediately advise the Director. The Director concerned must immediately notify the Head of Audit & Risk who may take action by way of investigation and report.
- 5.9 Where, following investigation, the Head of Audit & Risk considers that there are reasonable grounds for suspecting that a loss has occurred as a result of misappropriation, irregular expenditure or fraud, consultations will be held with the Director on the relevant courses of action, including the possibility of police involvement and the invoking of any internal disciplinary procedure in accordance with the relevant conditions of service.

(Claimant fraud in respect of Housing Benefit and Council Tax Reduction and Discounts (where the claimant is not an employee or Member of the Council) is currently investigated by the Revenues & Benefits Service).

## **6. INSURANCE**

- 6.1 The Service Director Legal, Governance & Commissioning, in consultation with Service Directors is responsible for assessing insurable risks and for arranging all insurance cover, including the management and control of the insurance fund. He/she will control all claims and maintain records of them. He/she has authority to receive or make claims on behalf of the council and negotiate and agree all settlements.
- 6.2 Service Directors must promptly notify the Service Director Legal, Governance & Commissioning in writing of all new risks or Assets to be insured and of any alterations affecting existing insurances. All insurances held must be reviewed on an annual basis.
- 6.3 In the event of any insurance claim or occurrence Service Directors must:-
- a) Not admit liability where this may prejudice the outcome of any settlement;
  - b) Promptly notify the Insurance Team, in writing, of any loss, liability, damage or any event likely to lead to a claim; and
  - c) Inform the Police in the case of loss or malicious damage to Council property.
- 6.4 Service Directors must consult the Service Director Legal Governance & Commissioning as to the terms of any indemnity the Council is required to give.
- 6.5 The Service Director in consultation with the Insurance Team will determine the extent of insurance cover which must be provided for in any external contract for the supply of goods, works or services. The Service Director, in

consultation with the Service Director Legal Governance & Commissioning, may reduce the cover requirements in respect of specific contracts.

## **7. ASSETS**

- 7.1 Service Directors are responsible for the care and custody of all current and Fixed Assets of the relevant service (including stocks, stores, inventory items and all other items used for the Council's purposes, including property). These items must only be used for the authorised purposes of the Council.
- 7.2 Service Directors must ensure that contingency plans exist for the security of Assets and the continuity of service in the event of any disaster, significant event, or system failure.

### **Disposal of Assets**

- 7.3 Surplus or obsolete goods, materials and inventory items are to be disposed of by agreed transfer to another Service, or disposal in accordance with Contract Procedure Rules, except when the Cabinet instructs otherwise.
- 7.4 Leased items (excluding Land Assets) should only be disposed of in accordance with instructions of the lessor.

### **Stores**

- 7.5 Service Directors must keep records of all stock held. The Chief Finance Officer will determine which items will be subject to stock accounting, the methods of recording and valuation. An appropriate system of continuous stock taking must be agreed with the Chief Finance Officer.
- 7.6 Service Directors must arrange periodical or continuous checks of stock. This should be by persons independent of the management of the stock. These arrangements must ensure that all items of stock are checked at least once per year. The Chief Finance Officer will be notified of any discrepancies revealed by periodic checks, and is authorised to amend records accordingly.
- 7.7 Stock holdings should be kept at minimum levels consistent with normal working practices

### **Inventories**

- 7.8 Service Directors are responsible for ensuring that detailed inventories of all land, buildings, equipment, specialist furniture, specialist fittings, vehicles, plant and machinery are compiled and kept up-to-date. New inventory items must be entered promptly and redundant items (other than Land Assets) deleted and disposed of in accordance with Financial Procedure Rule 7.3. The form of inventory and the type of Assets recorded thereon will be determined by the Chief Finance Officer after consultation with the appropriate Service Director.

7.9 The inventory should include:-

- a) The nature, type, model, serial number, location, quantity, value, date of acquisition;
- b) All items valued at, more than £100;
- c) Items of a lesser value which are portable and attractive; and
- d) Evidence to indicate an inspection has been carried out.



- 7.10 Service Directors are responsible for ensuring that inventory items owned by another Directorate, but used operationally by their service areas are subject to an appropriate risk based level of control. When Assets are loaned to employees, or other organisations, the Service Director must record the reason for the loan, date/periods and name of the receiver, and ensure recovery at the end of the period at which the purpose of the loan has expired.
- 7.11 Inventory items must (where appropriate) be security marked, stamped or engraved with the Council's name.
- 7.12 Each Service Director is responsible for ensuring risk based checking of the inventory and must notify the Chief Finance Officer, and the Head of Internal Audit & Risk of any discrepancies revealed by these checks.

### **Fixed Assets Register**

- 7.13 The Chief Finance Officer must ensure that a Register of Fixed Assets is maintained.

Each Service Director must notify the Chief Finance Officer of the:

- (1) Acquisition of any land, property or vehicle and any other assets having a value of more than £10,000.
- (2) Disposal (or transfer to another Service) of any Asset (or part of any Asset) which is included on the Register of Fixed Assets.

- 7.14 Leased assets must also be included in this record (see part 3.25, 3.26)

### **Land Assets**

- 7.15 The Service Director responsible must maintain a detailed record of all Land Assets owned by the Council (except dwellings provided for general letting) and is responsible for the security and custody of all title deeds and must maintain a suitable register of Land Assets.
- 7.16 The disposal of Land Assets is dealt with in the Contract Procedure Rules.

### **Information Assets**

- 7.17 Service Directors are responsible for ensuring that inventories of all information Assets are compiled and kept up-to-date. New inventory items must be entered promptly and redundant items deleted and disposed of in accordance with the Council's Information Security Policy Records Management Policy and the appropriate Retention and Disposal Schedule. The form of inventory and the type of Assets recorded thereon will be determined by the Chief Finance Officer

## **8. SECURITY**

### **Security of Assets**

- 8.1 Service Directors are responsible for maintaining proper security at all times for all buildings, stocks, stores, furniture, equipment, cash and any other assets for which they are responsible. The Chief Finance Officer must be consulted to establish adequate security arrangements except in relation to Land Assets that are the responsibility of the Service Director responsible for them, 8.2 All keys to safes and other places containing money, goods or other valuables are to be the responsibility of specified officers who must retain possession of such keys at all times.
- 8.2 A register of keys and their holders must be maintained by each Service Director. The loss of any key must be reported immediately to the Service Director who must record details of the circumstances of the loss, and take such action as is necessary to protect the property of the Council.
- 8.3 Maximum levels for cash holdings in each separate location are to be established in line with insurance limits.

### **Security of Information**

- 8.4 Service Directors must maintain proper security, privacy and use of data and information held in any media including, but not inclusively, documents, magnetic, digital,(such as laptop and tablet computers, mobile phones, memory cards)

Service Directors must ensure that:-

- a) All employees are aware of and comply with the Council's Information Security and Records Management policies;
- b) All sensitive information is protected from unauthorised disclosure;
- c) The accuracy and completeness of information and software is safeguarded;
- d) Software and other intellectual property is used only in accordance with licensing agreements;
- e) Data Protection legislation is complied with;
- f) The Freedom of Information Act is complied with;
- g) Proper controls to system and physical access are in place; and
- h) The Councils intellectual property rights are protected.

## **Security of Property Relating to Clients and Customers**

- 8.5 Service Directors must provide detailed written instructions on the collection, custody, investment, recording, safekeeping and disposal of customer/clients' property (including instructions on the disposal of property of deceased clients) for all staff whose duty is to administer, in any way, the property of clients. Due care should be exercised in the management of a customer or clients' money in order to maximise the benefits to the customer/client.
- 8.6 The Council is responsible for taking reasonable care of all items of property found by staff or members of the public on Council premises until the items are reclaimed or disposed of. Each Service Director must nominate officers who are responsible for the custody of lost property and keep a register of such property received, detailing the item, date, time, name and address of finder and how and to whom the property is returned or disposed of.
- 8.7 Service Directors may seek approval from the appropriate Strategic Director and Executive Member, on how lost property will be dealt with. Otherwise, if the lost property is not claimed within three months the Service Director will then determine if the item is of value for use by the Council, and arrange for its use for this purpose. All other items are to be disposed of in accordance with Contract Procedure Rules and the proceeds allocated to an appropriate council income code.

## **9. TREASURY MANAGEMENT**

### **Policies and Strategies**

- 9.1 The Council will adopt both the Code of Practice for Treasury Management in the Public Services, published by the Chartered Institute of Public Finance and Accountancy, and any associated statutory Government guidance, as issued from time to time.
- 9.2 The Council is responsible for adopting a treasury management policy statement, setting out the policies and objectives of its treasury management activities, and treasury management practices, setting out how those policies and objectives will be achieved and how treasury management will be managed and controlled.
- 9.3 The Cabinet is responsible for the implementation and monitoring of the treasury management policies, practices and performance. The Corporate Governance & Audit Committee has responsibility for scrutinizing treasury management policies and practices.
- 9.4 The Chief Finance Officer is responsible for the execution and administration of treasury management decisions in accordance with the policy statement and agreed practices.

- 9.5 The Chief Finance Officer will report to the Council (via the Corporate Governance & Audit Committee and Cabinet);
- (a) before each financial year recommending a treasury management strategy and plan;
  - (b) at least once in relation to treasury management activity during the year;
  - (c) by way of an annual report after the end of the year.

This will include the planned and actual performance in respect of the prudential indicators and limits

#### **Administration**

- 9.6 All money under the management of the Council is to be aggregated for the purposes of treasury management and will be controlled by the Chief Finance Officer
- 9.7 Investments other than bearer securities are to be in the name of the Council or nominee approved by the Cabinet. All borrowings are to be in the name of the Council.
- 9.8 The Chief Finance Officer will select the Council's Registrar of stocks, bonds and mortgages and must maintain records of all borrowings by the Council.
- 9.9 A suitable register must be maintained in respect of all investments, securities, bearer securities and borrowings.
- 9.10 The Chief Finance Officer will arrange all loans and leases including operating leases.(see also 3.25, 3.26)

#### **Trust Funds**

- 9.11 All trust funds are to be, wherever possible, in the name of the Council, except where charities legislation dictates otherwise. Officers acting as trustees by virtue of their official position must deposit all documents of title relating to the trust with the Service Director, Legal Governance & Commissioning (unless the Trust Deed otherwise directs) who must maintain a register of all such documents deposited.

## **10. BANKING ARRANGEMENTS, CHEQUE SIGNING AND IMPREST ACCOUNTS**

### **Banking Arrangements**

- 10.1 All of the Council's banking arrangements are to be approved by the Chief Finance Officer, who is authorised to operate such bank accounts as he or she considers appropriate.
- 10.2 Bank accounts must not be opened without the approval of the Chief Finance Officer. Where a bank account is opened, the account name must describe the purpose of the account. All new accounts should be named in the format 'KC, XYZ Unit Account'.

### **Cheques and Electronic Payments**

- 10.3 Payments to suppliers and employees will be made by electronic means unless there are good reasons to use a different method.
- 10.4 All cheques are to be ordered only in accordance with arrangements approved by the Chief Finance Officer, who is to ensure their safe custody. Cheques drawn on the Council's main bank accounts must either bear the facsimile signature of the Chief Finance Officer or be signed in manuscript by him/her or other authorised officers. All alterations and amendments are to be signed in manuscript by the Chief Finance Officer, or other authorised officers.
- 10.5 Banking arrangements made for authorisation of payments to be made or received under electronic transfer are to be in a form approved by the Chief Finance Officer. Checks will be carried out as determined by the Chief Finance Officer to verify the validity of counterparty bank accounts prior to the release of any payments.
- 10.6 Service Directors must maintain a register of all officers authorised to sign cheques and other bank documents together with specimen signatures

### **Imprest Accounts**

- 10.7 Service Directors will determine what amount is appropriate for an individual imprest account and keep a record of every imprest issued, including the name of the imprest holder, amount and location.
- 10.8 Any imprest holder (or sub imprest - see rule 10.10) must at any time account for the total imprest if requested to do so by the Chief Finance Officer and keep adequate records in a form approved by the Chief Finance Officer and supported by valid (VAT) receipts. On ceasing to be responsible for an imprest account the officer must account promptly to the Service Director for the amount advanced.

10.9 Payments from imprest accounts are to be limited to minor items of expenditure and to any other items approved by the Chief Finance Officer

10.10 Where deemed appropriate by an imprest account holder a sub- imprest may be provided to another officer for which the main imprest account holder must obtain and retain an acknowledgement. In all cases where this occurs the main imprest holder must notify the Service Director.

## **11. INCOME**

- 11.1 Fees and charges must be reviewed at least once per annum. Service Directors have authority to amend fees and charges to achieve budget targets and in line with the annual budget strategy, and are permitted to freeze or raise charges by an amount up to the equivalent to the consumer price index. Changes to charges may also reflect reasonable rounding to relevant prices which might in some cases mean that certain prices rise by more than the consumer price index or the budget strategy

Service Directors may make amendments to existing Fees and Charges - during the financial year to account for changes in legislation, market conditions or to support the local communities or local business following the completion of an appropriate business case, in consultation with the appropriate Strategic Director, relevant Portfolio Holder and Chief Finance Officer.

Any proposal to implement new fees or charges must be approved by Cabinet, (unless the making and quantum of the charge is a statutory obligation). See also Contract Procedure Rules.

- 11.2 The methods of collecting, recording and banking of all income due to the Council are to be approved by the Chief Finance Officer.
- 11.3 The Chief Finance Officer must be notified, in accordance with practices agreed with the relevant Service Director, of all income due to the Council and of contracts, leases and other agreements and arrangements entered into which involve the receipt of money by the Council.
- 11.4 Service Directors must ensure that to the maximum extent possible income is collected by electronic means. This means that positive action should be taken to promote the use of customer payment by direct debit at the point of order for one off items, and continuous debit arrangements for ongoing services provision. Service Directors must ensure full compliance with all practices necessary to fully protect the banking data of the council's customers.

### **Collection and Banking of Income**

- 11.5 Service Directors must make appropriate arrangements for the control and issue of all receipt forms, books, tickets, ticket machines or other acknowledgements for money.
- 11.6 All income received by the Council must be acknowledged by the issue of an official receipt or by another approved method indicating payment has been received. In order to ensure compliance with the Anti-Money Laundering Procedures, Service Directors must not accept any cash payment in excess of 15,000 euros (£11,700) in respect of one single transaction on one occasion. Any reasonable concerns about the source of monies to be paid to the Council should be raised in accordance with the Procedures. The exception to this rule is that in the case of a disposal of a Land Asset by way of public auction, or under auction conditions, the Council may accept a cash payment

in excess of 15,000 Euros where the Land Asset being disposed of exceeds a sale price of 150,000 Euros. If there is any doubt about whether or not to proceed with a transaction, a decision must not be taken until relevant approval has been obtained under the Procedures. Anti-money laundering compliance is the responsibility of the Service Director of Legal Governance & Commissioning who is entitled to issue instruction relating to this matter.

- 11.7 All money received by an officer on behalf of the Council must either be paid to the Chief Finance Officer or to the Council's bank account as the Chief Finance Officer may determine, at intervals taking account of the security of the premises. No deductions are to be made from such monies unless specifically authorised by the Chief Finance Officer. Personal cheques must not be cashed out of monies held on behalf of the Council. Refunds must be made through the payments system.
- 11.8 Arrangements for opening incoming mail must ensure that any money so received is immediately recorded.
- 11.9 Every transfer of official money from one officer to another must be evidenced in the records of the Services concerned by the signature of the receiving officer

#### **Cash discrepancies**

- 11.10 Each Director must maintain a detailed record of all cash surpluses and deficiencies in a manner approved by the Chief Finance Officer.
- 11.11 The Service Director must investigate any apparent patterns of discrepancies
- 11.12 Where such discrepancies are in excess of £100 individually, or in total within any period of 1 month, the Director concerned must immediately investigate and notify the Head of [Audit & Risk](#) who may undertake such investigations as he/she deems appropriate.

#### **Debtors**

- 11.13 Wherever possible, payment should be obtained in advance, or at the time of provision of a service, goods, letting or works. Where credit is given, Service Directors must ensure that the credit status of each customer is satisfactory. Service Directors are responsible for issuing debtor accounts in a form approved by the Chief Finance Officer immediately a debt falls due. Each Service Director, in conjunction with the Chief Finance Officer must maintain adequate records to ensure that all credit income due to the Council is promptly recovered.
- 11.14 The Chief Finance Officer must be satisfied that Service Directors are taking appropriate measures to recover credit income due in a timely and efficient manner. The Chief Finance Officer, will take appropriate steps to monitor and review the effectiveness of recovery procedures in services, and in conjunction with the relevant Service Director, implement any further actions as required to maximise income recovery within service to appropriate levels.



11.15 Where a Service Director agrees that a debtor may defer repayment, the Service Director must ensure that full records of the reason for agreeing repayment deferral are retained, and must have arrangements in place to formally reconsider the appropriateness of deferral at agreed regular intervals (that must not be longer than 12 months)

11.16 Service Directors must regularly review debts due and ensure adequate year end provisions for bad and doubtful debts. Service Directors are authorised to review and recommend for write off all individual bad debts in a timely manner, as a minimum every 6 months, and subject to the approval of the Chief Finance Officer. A report on the details of all debts written off under delegated authority must be prepared and formally noted by the Service Director in consultation with the Cabinet Member. The Chief Finance Officer must prepare an annual consolidated report of all debts written off for consideration by the Corporate Governance & Audit Committee. Service Directors must ensure that appropriate accounting entries are made following write off actions, in a manner prescribed by the Chief Finance Officer.

11.17 The 'writing off' of a debt does not absolve a Service Director of the responsibility to collect such debts, and the position in relation to such debtors is to be monitored by the Service Director.

## 12 **PAYMENT OF INVOICES**

All payments must be made through the councils accounting system (SAP) and should be made by electronic transfer except where there are substantial overriding reasons for alternative means of payment. Service Directors must ensure through a review, at least annually, that SAP authorisations are appropriate to the needs of the service, maintaining an adequate degree of separation of duties and internal control.

12.1 Service Directors must ensure that all valid invoices are paid within 30 days of receipt.

12.2 The Chief Finance Officer will determine the method and frequency of payment from one of the Council's main bank accounts, except for

- Petty cash and other imprest accounts
- Delegated bank accounts approved by the Chief Finance Officer

### **Automated Payments**

12.3 When an invoice is matched through the automated procurement and payment system, it will be paid in line with the councils determined procedures.

12.4 Any invoices rejected by the automated system must be subject to thorough checking by the Director to determine the reason for variance, and the cause recorded.

### **Certification & Processing (paper records)**

12.5 Service Directors must approve a schedule of officers authorised to certify

invoices, (names and specimen signatures). This must be supplied if requested to the Chief Finance Officer. The schedule must be reviewed at least once per year.

- 12.6 All invoices- that are not the subject of automated processing- must be certified in manuscript, unless an alternative method is expressly authorised by the Chief Finance Officer.

By certifying an account for payment the authorised officer indicates that satisfactory checks have been carried out to ensure that:-

- (a) The work, goods or services to which the account relates have been received or carried out, examined and approved;
  - (b) Prices, extensions, calculations, discounts, other allowances and tax are correct;
  - (c) There is a proper tax invoice when appropriate;
  - (d) The relevant expenditure has been properly incurred, is within budget, and is charged to the appropriate budget;
  - (e) Entries have been made in Asset registers, inventories, stores and other records as appropriate; and
  - (f) The account has not previously been paid.
- 12.7 Where errors on an invoice are detected manual adjustments which reduce the total payment are permissible, provided that this does not change the total amount of VAT payable. In all cases where the amount due increases, or changes the amount of VAT, a replacement invoice and/or credit note must be obtained before payment is made.
- 12.8 The Service Director must where possible achieve an adequate level of internal check. At least two officers should be involved. The officer certifying the account for payment should not be the same officer who received or checked the items, goods, works or services.
- No officer may certify any invoice involving payment to himself or herself.
- 12.9 All forms of account submitted for payment to a supplier or contractor other than on the original invoice must be accompanied by a special certification stating that the original invoice has not been paid and will not be paid subsequently. The certificate must be signed by an officer authorised to certify accounts for payment.
- 12.10 The Chief Finance Officer may withhold payment of any invoice where there is evidence to suggest that it is not in order. The Chief Finance Officer must

establish rules that control the use of pro-forma invoices, to ensure that these are not used to circumvent council procedures,

### **Advance Payments**

- 12.11 The council should not pay for any goods or service prior to receipt of the items, and not without an invoice. Where a supplier or contractor requires payment prior to the dispatch of goods or the provision of services, an official order signed by a duly authorised officer and clearly marked that payment is to be made before receipt of the goods or services must be completed. Whenever possible a supplier's invoice must be obtained, and payment made on this. In exceptional circumstances only, a pro forma invoice or supplier's order form detailing fully the goods/service to be obtained may be used. This must be approved by an officer authorised to certify invoices and retained as a record of the payment made, Where VAT features in the advance payment, the issuing officer must then obtain a VAT invoice or authenticated receipt for the transaction, and arrange for its processing to facilitate the recovery of VAT.

### **Discounts**

- 12.12 All discounts available from a supplier are to be taken as a deduction against the cost of goods purchased and must appear on the invoice. Where a supplier provides any free item, or discount is available in the form of goods these are the property of the Council, as are loyalty or bonus points or other rewards earned.

### **Payment by Direct Debit/Standing Order**

- 12.13 The Service Director (or Designated Finance Officer) must approve all requests for creation of a Direct Debit mandate, and supply to the Chief Finance Officer such information as is deemed necessary (e.g. codes, profit centre(s) to be charged, a copy of a recent bill, showing signatory certification, for the account in question). If the payment method is Standing Order, the requestor must also specify the frequency of payment and, where appropriate, a termination date.
- 12.14 The Service Director must inform the Chief Finance Officer when any payment is to be terminated.
- 12.15 Services must retain all statements relating to accounts paid by Direct Debit/Standing Order for current plus three previous financial years in order to substantiate the authority's claim for VAT on these accounts.

## **13. PURCHASING CARDS**

- 13.1 All arrangements regarding purchasing cards must be approved by the Chief Finance Officer.(as set out in the Purchasing Card Procedure Manual)
- 13.2 Service Directors in conjunction with the Chief Finance Officer will determine the monthly credit limit, any cash limits and permissible purchasing categories

for individual payment and procurement cards.

- 13.3 Each cardholder must ensure safe custody of the card at all times.
- 13.4 Cards may be used only in accordance with the approved scheme and for legitimate expenses incurred by the cardholder in the course of official Council business.

They must not be used:-

- a) To avoid any corporate rules on procurement and purchasing (for example to purchase only from approved suppliers)
  - b) To circumvent the procedures for the ordering of and payment for, goods and services under these regulations; or
  - c) To purchase items for the private or personal use of cardholders.
- 13.5 Each cardholder must ensure that all expenditure incurred using a purchasing card is supported by adequate records and a VAT receipt is obtained to support all expenditure.

#### 14. **SALARIES, WAGES AND PENSIONS**

Service Directors must ensure through a review, at least annually, that SAP authorisations are appropriate to the needs of the service, maintaining an adequate degree of separation of duties and internal control.

- 14.1 All payments of salaries, wages, pensions, compensations, gratuities, allowances and other emoluments to current or former employees are to be made by the Chief Finance Officer in accordance with information supplied by the Director. All payroll transactions must be processed through the Council's SAP payroll system.
- 14.2 Service Directors must ensure that appointments of all employees are in accordance with the appropriate Conditions of Service of the Council or any approved scheme of delegation, and within the approved budgets, grades and rates of pay. Any variations of terms and conditions must be in accordance with arrangements approved by the Cabinet.

#### **Records**

- 14.3 Service Directors must maintain adequate records to notify the Chief Finance Officer of all appointments, resignations, dismissals, and retirements together with changes in pay rates, bonuses due, overtime worked and other matters affecting remuneration, and provide all information to ensure that the correct adjustments are made in respect of absences, pensions, income tax, national insurance, sickness and maternity pay and any other additions to or deductions from pay. Service Directors must also advise the Chief Finance Officer of any employee benefit in kind to enable reporting for taxation purposes.

- 14.4 All officers must complete a time record of actual times of attendance at work, except where a time clock is used. Paper records should be signed by the officer as a correct statement. It is the responsibility of each manager to check time records of officers for which that manager has responsibility.
- 14.5 Time records and other pay documents must be maintained in a manner approved by the Chief Finance Officer and be certified by the Service Director or other authorised officers. The Service Director must maintain a list of officers who are permitted to certify payroll documentation –either electronically or by manuscript (and their specimen signatures) and these authorisations must be reviewed at least annually by the Service Director. Details of officers authorised must be supplied to the Chief Finance Officer

### **Overpayments**

- 14.6 The Service Director, in consultation with the Head of Human Resources, is entitled to write off any employee debt on compassionate grounds. All other overpayments of pay must be treated for the purposes of recovery and write off in accordance with Financial Procedure Rule 11.

## **15 TRAVEL, SUBSISTENCE AND OTHER ALLOWANCES**

- 15.1 Service Directors must ensure through a review, at least annually, that SAP authorisations are appropriate to the needs of the service, maintaining an adequate degree of separation of duties and internal control.
- 15.2 Payment of all claims must be in accordance with Schemes of Conditions of Service adopted in respect of the employee to which the payment relates, and will be paid through the councils SAP payroll system unless otherwise agreed by the Chief Finance Officer.
- 15.3 All claims for payment of car allowances, subsistence allowances, travelling and incidental expenses must be made electronically, or where authorised, on a paper form approved by Chief Finance Officer. The form must provide for certification in manuscript by the Service Director or other officer authorised ~~officer~~by the Director.
- 15.4 The Service Director must maintain a list of officers who are permitted to authorise expenses claims electronically and by paper (and their specimen signatures) and these authorisations must be reviewed at least annually by the Service Director. Details of officers authorised to sign such expense claims must be supplied to the Chief Finance Officer on request.
- 15.5 The certification (or electronic approval) of a claim by or on behalf of a Service Director is taken to mean that the certifying officer is satisfied that the journeys were authorised, the expenses properly and necessarily incurred and that the allowances are properly payable by the Council.

## 16 **TAXATION**

- 16.1 The Chief Finance Officer is responsible for advising the Council on all taxation issues that affect the Council.
- 16.2 Each Director must ensure taxation is treated correctly and consult with the Chief Finance Officer in the event of any uncertainty as to any taxation treatment.
- 16.3 The Chief Finance Officer will lead and co-ordinate discussion or negotiations with HM Revenue and Customs about any taxation matter.
- 16.4 The Chief Finance Officer will complete all of the Council's tax returns.

## 17. **GIFTS AND HOSPITALITY**

- 17.1 Officers must ensure that it is apparent that no cause could reasonably arise for adverse criticism or suggestion of improper influence about the acceptance of any gift or hospitality. They must ensure that in accepting any offer of gifts and or hospitality to do so would not infringe the requirements of the Bribery Act 2010.
- 17.2 Service Directors must maintain a central record of all gifts, invitations and hospitality offered and or received, including items of token value.
- 17.3 The receipt of personal gifts should be discouraged, but if an officer, during the course of, or as a result of, official duties, receives any personal gift, other than one of only token value (less than £25), the matter must be reported to the Service Director who will decide if the item is to be returned or forwarded to some charitable cause, and the Director must inform the donor what has happened to the gift and explain the reason why gifts should not be sent in the future.
- 17.4 Service Directors and other officers with the permission of the Service Director may accept invitations to events and associated hospitality of any value where this relates to a function promoted by an organisation with which the Council has formal links and attendance constitutes a demonstration of faith in that organisation. It will not normally be appropriate for attendance by a companion. A Service Director must agree that they are satisfied that attendance by the companion is appropriate.
- 17.5 Hospitality must only be given or accepted where it is on a scale appropriate to the circumstances, reasonably incidental to the occasion, not extravagant and justifiable as in the public interest. Particular care must be taken when this involves potential customers or suppliers. The circumstances and the type of hospitality are to be recorded in the central record maintained by the Service Director concerned.

## 18. **WORKING WITH CLIENTS AND CONTRACTORS**

- 18.1 Officers who, in accordance with their conditions of service, are permitted to carry out private or other paid work must not do so during Council time, and must not use any Council premises, resources or facilities for the execution of such work. No stationery or publicity material is to indicate that the person is a Council employee nor should the address or any telephone number of the Council be stated. Officers must declare to their Service Director in writing the nature and duration of such private work.
- 18.2 Officers must declare to their Service Director any circumstance where they believe that they may have a pecuniary or non-pecuniary interest in any proposed supply contract, grant award or other transaction for which they have involvement or responsibility.
- 18.3 Officers must not work for any current or prospective supplier or contractor to the Council.
- 18.4 All intellectual property created by employees in the course of their duties is, and remains, the property of the Council. The copyright or equivalent of any material cannot be sold without the permission of Cabinet. Any article, book or similar material for publication that is prepared in the Council's time is the property of the Council. Any disposal of intellectual property must be made in accordance with contract procedure rules. Any article, book or similar material for publication prepared by an employee (relating to their official duties) requires the written approval of the Service Director prior to publication.

## 19. **UNOFFICIAL AND VOLUNTARY FUNDS**

*These regulations relate to funds administered by officers of the Council, the accounts of which are not included in the Authority's accounts.*

- 19.1 Any proposed unofficial funds require the prior approval of the Director concerned who must maintain a record of all such funds and ensure that officers are appointed to administer each fund.
- 19.2 A separate bank account must be maintained for each fund (in the name of the fund) and fund monies must be kept separate from Council monies. Alternatively, the fund may be administered through the Council's bank account and general ledger but should be separately identifiable.
- 19.3 Where a separate bank account is maintained, directors must ensure that they receive a copy of the accounts of each fund and a certificate in the prescribed form from the auditors or independent examiners of each fund that has to be audited or independently examined. Such accounts are to be prepared annually, and at the completion of the purpose for which the fund was set up.
- 19.4 The Chief Finance Officer is to have access to any records relating to such funds, and be immediately informed of any irregularities which arise in connection with them.

## 20. **FINANCIAL CONTROL OF PARTNERSHIPS, JOINT VENTURES**

## **ASSOCIATED ORGANISATIONS AND SIMILAR ARRANGEMENTS**

### **Working in Partnership with Associated Organisations**

- 20.1 The Chief Finance Officer is responsible for promoting and maintaining the same high standards of financial administration in partnerships that apply throughout the Council, or advising the Cabinet where he/she is aware that arrangements within a partnership are in conflict or are uncertain compared with the practices adopted by the Council.
- 20.2 The Chief Finance Officer must ensure that the accounting arrangements to be adopted relating to partnerships and joint ventures are satisfactory, and must:-
- a) Consider the overall corporate governance arrangements and legal issues when arranging contracts with the partner/joint venture or associated organisation.
  - b) Ensure that the risks have been fully appraised before agreements are entered into with the partner/joint venture or associated organisation.
- 20.3 Service Directors must ensure that in all grant agreements, contribution to partnerships and where appropriate in agreed contracts for the supply of works, goods and services the Chief Finance Officer has access to the accounts, records and all other documentation, and is entitled to seek explanations from Officers of the funded organisation regarding the deployment of the Council's funding payment.

### **Working for Other Organisations**

- 20.4 Service Directors are responsible for ensuring that approval is obtained from the Chief Finance Officer and the Service Director Legal Governance & Commissioning before any negotiations commence in relation to the provision of new or additional works or services to other organisations expected to exceed £20,000 per annum.
- 20.5 The Cabinet is responsible for approving any arrangements for any new work for other organisations expected to exceed £100,000 per annum. The Chief Finance Officer may agree contractual arrangements below this level
- 20.6 Service Directors must ensure that any proposed arrangement to work for other organisations does not impact adversely upon the Services provided to or by the Council. All agreements, contracts or arrangements must be properly documented and appropriate information must be provided to the Chief Finance Officer to enable a note to be entered into the Council Statement of Accounts concerning material items.

### **Grants & Loans now forms a part of section 22 (previously 20.7-20.14)**

21. **PURCHASING OF WORKS, GOODS AND SERVICES**



Service Directors must ensure through a review, at least annually, that SAP authorisations are appropriate to the needs of the service, maintaining an adequate degree of separation of duties and internal control.

These procedure rules must be read alongside the Contract Procedure Rules which establish the framework that controls the Council's arrangements for procurement

### **Orders**

- 21.1 Before any official order is issued for works, goods or services, the Service Director or other authorised officer must ensure that:-
- (a) The works, goods or services, are necessary for the discharge of the responsibilities of the Council;
  - (b) Sufficient budget is available to fund the expenditure; and
  - (c) The Contract Procedure Rules (which apply to all purchasing decisions irrespective of value) have been complied with.
- 21.2 Service Directors are responsible for all orders issued. Order will be issued electronically using the SAP system. Paper orders must only be used when authorised by the Chief Finance Officer. Paper orders may only be issued and signed in manuscript by officers authorised by the Service Director. The items, quantities and prices must be accurately recorded.
- 21.3 Service Directors must keep a record of who is authorised to sign order documentation or issue orders electronically and the extent of this authority. This list must be reviewed at least once per year.
- 21.4 Except where there is a formal contract, in which case work instructions and orders must conform with the provisions of the contract, official orders will be issued for all works, goods or services to be supplied to the Council, except for supplies of continuous services (such as gas), and statutory taxes, for petty cash purchases and other exceptions as the Chief Finance Officer may approve. Orders must clearly indicate the nature and quantity of the work/services/items/supplies required, any related contract or agreed prices, discounts receivable and dates or periods of delivery, and where applicable make specific requirements to obligations placed on the contractor, such as those relating to Data Protection
- 21.5 Verbal orders must be kept to a minimum and be confirmed either the same- or next working day by written orders which must be marked as a confirmatory order.
- 21.6 Records of all non-computerised order books must be kept by the Director. Copies of orders must be retained. All copies of spoilt, incorrect or un-issued orders must be appropriately marked and retained in any order book.
- 21.7 The Service Director must record the receipt of the goods, works or services

electronically (or where applicable on paper) and (where applicable) all payments made in respect of an order. All Goods Received Notes must be retained.

### **Management of Suppliers**

- 21.8 In respect of each order or contract for construction work, and any order or contract for services exceeding £20,000 the Service Director responsible must appoint an authorised officer, directly employed or otherwise to carry out supervision of the contract. All orders must provide for the reasonable inspection of progression of activity at any stage that the council (acting reasonably) chooses.

### **Specification**

- 21.9 In respect of each order or contract exceeding £20,000 the Service Director must prepare or agree a written brief stating the objective of the order or contract and the sources of funding to meet the estimated cost of the project and appoint an authorised officer.
- 21.10 Unless otherwise agreed with the Chief Finance Officer, the authorised officer must prepare a specification which will indicate relevant issues for the supply, (for example type, quantity, quality, time, location, occasions), and the risks and obligations placed on the supplier including data protection and management where applicable. Specifications should set outcomes or outputs, and be prepared on a generic basis and not include named products except where this can be justified on technical grounds. They should be prepared in a way which is likely to bring about the most economically advantageous solution whilst ensuring compliance with minimum statutory requirements and Council policy. The specification may only be altered on the basis of written instructions from the Service Director, who must justify the reason for change and sources of funding.

### **Variations**

- 21.11 The authorised officer may, subject to the provisions of the contract, make any variations essential to the achievement of the objectives of the contract but must have regard to the budget provision. The authorised officer must not issue any variation likely to increase the approved cost of the project without the written agreement of the Service Director responsible, who must state the authority and source of financial provision for the additional expenditure.
- 21.12 Every variation must be immediately recorded in writing by the authorised officer.

### **Overspending**

- 21.13 Any variation – including an extension – to a contract which results or will result in additional costs being incurred on a contract of more than 5% of the contract

sum will be reported to the budget holding Service Director and Chief Finance Officer as soon as practicable.

### **Recording of Contractual Payments**

- 21.14 Service Directors must ensure that a Contract Register is kept which shows details of all payments made against any contract. Any payments on account to contractors against a formal contract are to be made only on an approved certificate issued and checked by the authorised officer which must be passed to the Chief Finance Officer.
- 21.15 The certificate authorising final financial settlement of any contract will not be issued until the appropriate officer has produced a detailed statement of account and all necessary supporting documents, and these have been checked in accordance with arrangements approved by the Chief Finance Officer and the final payment agreed by the budget holding Director.

### **Claims**

- 21.16 All claims (or prospective claims) from contractors for loss and expense will be considered by the authorised officer who will immediately advise the client Director and they will jointly consider methods for cost reduction to achieve the budgetary provision. The budget holding Director and the Chief Finance Officer must be consulted in respect of any claim (or prospective claim) from a contractor for loss and expense in excess of £10,000.
- 21.17 The Service Director will report in detail to the Cabinet if the financial consequences of the claim cannot be accommodated within the approved project budget.
- 21.18 Any claim from a contractor outside the terms of the contract, or under any statutory instrument or any claim for an ex-gratia payment will be jointly considered by the Service Director Legal Governance & Commissioning, Chief Finance Officer and Service Director(s) of the appropriate Service(s) before any recommendation is made to the Cabinet.

### **Codes of Practice**

- 21.19 All procurement must accord with the Contract Procedure Rules, Procurement Policy and all relevant Procedure Guidelines.

## **22. GRANTS TO AND FROM THE COUNCIL AND LOANS FROM THE COUNCIL**

### **This section is transferred from 4.8-4.11**

#### **Grants Receivable**

- 22.1 Service Directors in consultation with the appropriate Strategic Director and Cabinet Members may apply for grants from any funding body, providing the proposed activity is consistent with the approved service plan and Council policy- where the council is required to make no contribution, or where the

council contribution can be fully met from an existing budget for that purpose.

- 22.2 Service Directors must ensure a detailed business case is prepared, in consultation with the Chief Finance Officer, in respect of any grant offer sought under clause 22.1. Any application/expression of interest needs to assess
- (a) the alignment of the grant scheme with the current approved policies of the council
  - (b) the capacity impact on the Council for the deliverability of the project and expenditure,
  - (c) the parameters of the grant conditions and timescales requirements-

Any material grant conditions must be agreed with the Service Director Legal Governance & Commissioning

- 22.3 Where a proposed revenue or capital budget bid does not align with an existing council policy, or where there is not sufficient budget allocated appropriately to meet a required council grant contribution, the Service Director must consult with the Chief Finance Officer. After consideration of virement options, it may be necessary to seek authority from Cabinet, or Council (as applicable) to authorise funding to facilitate the grant application.

- 22.43 Subject to the identification of sufficient resources within existing approved budget, or the approval of cabinet, or Council as necessary Service Directors are authorised to accept any offer of grant and subsequent grant conditions up to a maximum of £~~5~~400,000.

Strategic Directors are authorised to accept a grant of up to

(a) 5% of the gross revenue budget of the Service to which the grant relates. ~~or~~

~~for~~

~~(a)(b) For capital projects, to 5% of the £1,000,000 activity capital plan where the grant funding is for capital purposes) for the activity. (This includes grants from government and third parties which do not involve an application process)~~

- ~~22.4~~ The Chief Finance Officer in consultation with the Service Director Legal Governance & Commissioning and the appropriate Strategic Director is authorised to ~~apply for, and or~~ approve the acceptance of grants in all other circumstances.

- 22.5 Service Directors are authorised to accept a grant offer of any value from the West Yorkshire Mayoral -Combined Authority and any government department, provided that
- (a) the grant is in fulfilment of a national or regional initiative or requirement, and
  - (b) the council is required to make no contribution, or the contribution can be fully met from an existing budget for that purpose.

The Service Director must advise the Chief Finance Officer of the relevant details and obligations of the grant as soon as possible on receiving notice of the grant offer, and on confirmation of the grant award.

22.6 Service Directors must ensure that any grant conditions or obligations are fulfilled, in respect of the allocations, timings, purposes of expenditure and outputs and outcomes. Service Directors must arrange for expenditure consistent with any specific type or purpose of grant, such as capital or revenue budget expenditure.

22.7 The values of all grants must be notified to the Chief Finance Officer, who will make arrangements as necessary to receive the grant and record the relevant expenditure. Any revenue or capital budget impacts will be incorporated into the quarterly financial monitoring update reports to Cabinet.

In respect of capital grants, any additional revenue expenditure requirement consequent from any capital grant award must be recognised by budget virements.

Any new capital programme/scheme, must have an appropriate business case and Cabinet approval as per Section 3 FPR requirement, prior to any grant acceptance.

22.8 Grant claims, financial returns and submissions must be completed by the relevant Service Director and the Chief Finance Officer for submission to the relevant government department, agency or organisation. The Service Director must provide such additional information as may be required by the Chief Finance Officer and or – when there is a requirement for internal audit authorisation- by the Head of Audit & Risk.

## **Grants and Loans to Other Organisations**

22.9 Before issuing any grant the Service Director must be satisfied that the proposed activity or project does not constitute a procurement (to which Contract Procedure Rules would apply).

22.10 Except where the Chief Finance Officer agrees otherwise:

(a) Competitive procurement aligning with the councils contract procedure rules must be used in respect of any goods, works or services obtained by another organisation, where the Council is providing a loan or making a grant contribution of £100,000 or more.

(b) The service director must carry out all appropriate due diligence on the proposed grant recipient entity, ensuring that it operates with appropriate financial and business controls, is not obtaining duplicate funding for the same project from the council, or any other funding body.

22.11 A Service Director may issue a:

- a) Grant or loan of any value provided that it is offered fully in accordance with a scheme of grants or loans that has been approved by the Cabinet.

Or

- b) A grant or grants of up to £10,000 cumulative in any one year for any single purpose

Subject to:

- c) There is sufficient budget provision.
- d) Grants awarded under clause (b) above in aggregate may not exceed £10,000 in any one financial year for the same beneficiary (either jointly or individually)

22.12 Where a Service Director proposes to offer a grant, or loan to any organisation which is not covered by 22.11 above he/she may do so only following:

- a) A full financial appraisal of the project to which the grant or loan relates, by the Chief Finance Officer;
- b) A full financial appraisal of the organisation to which the grant or loan is to be granted, by the Chief Finance Officer;
- c) The preparation of a written report that establishes the justification for the financial assistance.
- d) Consultation with the Executive Member responsible for the budget area which is to offer the grant or loan.

And

- e) Establishing that there is sufficient budget provision.

The total value of grants awarded to any single organisation under this clause may not exceed £100,000, cumulatively in any one year, or for any objective, purpose or scheme operating for more than one year

In carrying out the evaluation at (a) and (b) above the Chief Finance Officer will consider if any surety (legal charges on assets, bonds and or guarantees) is required of the applicant (see 22.15)

22.13 A ~~District Committee or other~~ Member lead forum- may issue a grant of any value provided that;

a) It accords with, or is not in contravention of, any general scheme rules which are established by the Cabinet;

And

b) There is sufficient budget provision.

22.14 The Service Director Legal Governance & Commissioning will execute any legal agreement in respect of any grant or loan exceeding £180,000.

22.15 –The Service Director who is offering the grant or loan may issue any grant or loan agreement below this value (using standard documents where required by the Service Director Legal Governance & Commissioning), except where the Chief Finance Officer considers that a loan or grant should be subject to a surety from the applicant. In such cases grants or loans must then be executed by the Service Director Legal Governance & Commissioning

The Service Director Legal Governance & Commissioning has discretion to delegate the right to enter into such agreements as they think appropriate.

22.16 A Service Director may also administer grants in accordance with a scheme fully supported by

(a) government grants, or

(b) grants from any other third party

provided that the methodology of grant distribution fully follows the obligations of the supported scheme, and where local discretion may apply this is subject to decision making as required by the eCouncil's eConstitution or the other requirements of fFinancial pProcedure rRule 22. A scheme that is only partially funded requires compliance with the eCouncil's eConstitution and fFinancial pProcedure rRules sections 2 and or 3 .

22.17 When offering grants or other forms of assistance to entities that operate on a commercial basis Service Directors must ensure that no assistance will infringe EU-applicable national or international Procurement or State Aid requirements. In the event of any doubt about the matter advice must be sought from the Service Director Legal Governance & Commissioning.

22.18 Service Directors must ensure that appropriate records are retained to publish details of grants in accordance with the Local Government Transparency Code.

22.19 In some cases, an agreed asset transfer, or the transfer of a right to a third party may constitute a form of grant, and these types of transactions must accord both with these FPRs and CPR 9 and 10.

*Approved by Annual Council  
May 20XXXXXX*

*MED*





**Name of meeting:** Annual Council (Reference from Corporate Governance and Audit Committee)

**Date:** 19<sup>th</sup> May 2021

**Title of report:** Proposed changes to the Council's Constitution

**Purpose of report:**

To set out proposed changes to the Council's constitution as described in paragraph 2 and as set out in more detail in the attached Appendices, which show some of the proposed amendments.

To also provide a summary of changes to the Constitution made by the Monitoring Officer using delegated powers.

<b>Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?</b>	NO
<b>Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)</u>?</b>	NO
<b>The Decision - Is it eligible for call in by Scrutiny?</b>	NO
<b>Date signed off by <u>Strategic Director</u> &amp; name</b>	Yes – Rachel Spencer-Henshall
<b>Is it also signed off by the Service Director for Finance?</b>	Yes – Eamonn Croston
<b>Is it also signed off by the Service Director for Legal Governance and Commissioning?</b>	Yes – Julie Muscroft
<b>Cabinet member <a href="#">portfolio</a></b>	

**Electoral wards affected:** All

**Ward councillors consulted:** NO

**Public or private:** Public

**Has GDPR been considered?** YES

## **1. Summary**

- 1.1 This report is ordinarily an annual report but, due to Annual Council not taking place in 2020, this report will cover any changes proposed as well as any amendments made to the Constitution since 2019.
- 1.2 There are a number of pieces of work ongoing presently which when concluded may impact upon parts of the Constitution. The outcome of those will be the subject of a further report(s) to Council as appropriate
- 1.3 The report will set out, in section 2, the proposed changes in relation to the different parts of the Constitution and to seek approval by members of those proposed changes. Each section of the Constitution is set out below with information setting out details of the proposed change for which approval and/or comment is sought.
- 1.4 There have been some changes using delegated authority granted to the Monitoring Officer by Council on 20<sup>th</sup> May 2015 to reflect changes to officer's titles, typing or grammatical errors, old references and new legislation. The list of changes made using the Monitoring Officer's delegation are set out in the attached Appendix 1 for information and members are asked to note those changes.
- 1.5 There will be some changes to the Constitution to reflect the introduction of the Mayoral Combined Authority for West Yorkshire.

## **2. Information required to take a decision**

### **PART 1 – SUMMARY AND EXPLANATION**

- 2.01 This provides a summary and explanation of what is included in the constitution. This has been reviewed and there are no current proposed changes.

### **PART 2 – ARTICLES**

- 2.02 There are 16 articles that set out how the council operates. There have been amendments made by the Monitoring Officer to correct minor errors and update job titles, and these are shown in Appendix 1.
- 2.03 There is a planned review of the references to legislation and any updates will be made using Monitoring Officer powers of delegation.
- 2.04 There are no current requests for approval of any changes but members should be aware that the Policy Framework in Article 4 is currently being reviewed and a report will be brought to a future meeting of the Corporate Governance and Audit Committee and then to Council to consider this.

### **PART 3 - RESPONSIBILITY FOR FUNCTIONS**

- 2.05 This part details the council's cabinet, committees' and other groups' responsibilities. This section also contains each individual Cabinet Member's specific responsibilities. There have been six amendments made by the Monitoring Officer to address changes required. These are detailed in Appendix 1 and include an amendment to the scheme of delegation to show the changes as a result of the appointment of two new strategic directors replacing the Strategic Director – Economy and Infrastructure.
- 2.06 Council is asked to note that there may be some proposed changes to the terms of reference of the Personnel Committee, which will be the subject of a further report in due course.
- 2.07 Amendments to the terms of reference for the Health and Wellbeing Board are being considered in a separate report to Council today.

### **PART 4 – RULES OF PROCEDURE**

- 2.08 This section contains eight documents which describe how Councillors and Officers conduct themselves in meetings and make decisions about certain matters. There have been seven amendments made by the Monitoring Officer to address changes required, and these are detailed in Appendix 1.

#### **Council Procedure Rules**

- 2.09 **CPR 51** has been added to permit the Council to hold meetings remotely, following the implementation of *The Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020*.
- 2.10 A new Protocol is referred to in CPR 51 and was included as a new protocol to the Constitution at the same time as the new CPR 51 (see paragraph 2.36). The ability to continue to hold remote meetings after May 6<sup>th</sup> 2021 remains uncertain.
- 2.11 There is a proposed amendment to **CPR 5** to add the words 'BY MEMBERS OF THE COUNCIL' at the heading of rule 5(I).
- 2.12 There is a proposed amendment to **CPR 10(1)** to add the words 'relevant to its terms of reference.'

The amended rule (changes in italics) would be:

(1) Deputations on issues on which the Council has powers or duties or which affect the area of Kirklees may be received at any meeting of the Council (except the meetings of Annual, Budget or Extra-Ordinary Council) or at any meeting of an appropriate

Committee, or Sub-Committee ***relevant to its terms of reference***. The Mayor/Chair in consultation with the Chief Executive shall have discretion to decide whether or not to hear the deputation.

- 2.13 There is a proposed amendment to **CPR 16(2)** to add the words ‘and Budget Council’.

The amend rule (changes in italics) would be:

(2) A meeting of the Council (except the Annual Meeting ***and Budget Council***) shall terminate at 9.00 p.m. unless a Member moves, prior to 9.00pm, a motion that the meeting shall continue until (i) a later time (to be specified in the motion) or (ii) the conclusion of the business of the meeting. If the motion is seconded it shall be put to the meeting without comment.

- 2.14 There is a proposed amendment to **CPR 38(6)** to add the words ‘and a Member of the Committee/Sub-Committee/ Panel which they are appointed to Chair’.

The amended rule (changes in italics) would be:

(6) The Chair and Deputy Chair of every Committee, Sub-Committee/ Panel and the Lead Member of each Scrutiny Panel shall be a Member of the Council ***and a Member of the Committee/Sub-Committee/ Panel which they are appointed to Chair***.

- 2.15 The Council’s petition scheme is being reviewed and any proposed changes will be the subject of a further report.
- 2.16 This report introduces Amendment CPR 5(6) following work led by the Corporate Governance and Audit Committee, in consultation with Overview and Management Scrutiny and members of all groups at a cross party workshop. It is important to note that this amendment is intended to set out the principle of an annual meeting within the constitution with a focus on local elected members holding the mayor to account and can be amended or clarified further in due course.

The Corporate Governance and Audit Committee considered this report on 22<sup>nd</sup> April 2021 and requested that the original wording proposed to reflect this principle in the report on 22<sup>nd</sup> April be amended. The wording set out below was circulated to the members of the committee as well as ex officio members as alternative drafting. There were no comments back to amend the revised drafting.

It is proposed that CPR 5 be amended by adding a new 5(6) as follows: ***“In addition to the Annual Meeting of the Council (CPR1) and the ordinary meetings (CPR5 (1)) there shall be [at least] one meeting of Council convened each Municipal Year at which the mayor from time to time of the West Yorkshire Combined Authority (WYCA) shall attend to meet with Kirklees Councillors as an opportunity to discuss and report back on their work programme and outcomes.*”**

***The meeting will cover procedural items at (a) - (c), as well as (e) and (j), listed at CPR 5 (1) and will set aside a period of at least 45 minutes for questions from members to the WYCA Mayor. Questions will be allocated on a proportional basis between groups, in a manner to be determined by the Kirklees Mayor and the Chief Executive. In addition any agenda items agreed by the Kirklees Mayor and Chief Executive of Kirklees Council with the Mayor of WYCA will be discussed."***

- 2.17 Members are asked to note that a number of typos and formatting errors have also been corrected in the Council Procedure Rules.

Access to Information Procedure Rules

- 2.18 There has been a minor amendment to the Rules, under the delegated powers of the Monitoring Officer, and this is detailed in Appendix 1.

2.19 Budget and Policy Framework Rules

There are no proposed amendments to these rules.

2.20 Executive Procedure Rules

There have been minor amendments to reflect changing job titles. These are listed in Appendix 1.

2.21 Financial Procedure Rules

There are proposed amendments and these will be the subject of a separate report to Council.

2.22 Contract Procedure Rules

There are proposed amendments and these will be the subject of a separate report to Council.

2.23 Overview and Scrutiny Procedure Rules

There are no proposed amendments to these rules.

2.24 Officer Employment Procedure Rules

There are no proposed amendments to these rules.

**PART 5 – CODES and PROTOCOLS**

- 2.25 This section sets out the Council's Codes and Protocols in relation to a number of areas.

2.26 Members Code of Conduct

There are presently no proposed amendments to the Code of Conduct, but there is some ongoing work resulting from the recent consultation

survey that was undertaken by the Monitoring Officer, as well as from the LGA's proposed model Code of Conduct.

An interim report was provided in March 2021 to the Standards Committee that made reference to the survey results and the LGA's Model Code of Conduct.

It is anticipated that a further report will be made to the Standards Committee over the summer, who will then make recommendations to Corporate Governance and Audit Committee on any proposed changes.

Any proposed changes agreed by Corporate Governance and Audit Committee will be brought before Council for consideration.

2.27 Monitoring Officer Protocol

There are no proposed amendments to this protocol.

2.28 Protocol for Planning Committees and Sub-Committees

There are no proposed amendments to this protocol.

2.29 Decision Making On Ward Issues – Procedural Advice to Cabinet Members

It is proposed that this will be reviewed and will be referred initially to the Standards Committee, with any proposed changes for consideration. Any changes recommended by the Standards Committee will be put before the Corporate Governance and Audit Committee and on to Council as appropriate.

2.30 Protocol on the role of Representatives and Key Outside Bodies in representing the interests of the Council

It is proposed that this will be reviewed and any recommended changes will then be presented in a further report to the Corporate Governance and Audit Committee and then to Council as appropriate.

2.31 Officers Code of Conduct

This will be subject to a review with a further report to be put before the Corporate Governance and Audit Committee and then to Council as appropriate.

2.32 Protocol for Public Speaking at Planning Committees and Sub-Committees

There are no proposed amendments to this protocol.

2.33 Licensing and Safety Committee Protocol

There are no proposed amendments to this protocol.

2.34 Councillors and Officers in Kirklees – A Protocol for Working Effectively

One of the areas that was featured in the recent standards survey concerned perceptions and experiences of officers in dealing with Councillors. When the survey responses are considered fully by the Standards Committee this protocol may need to be reviewed.

#### 2.35 Safeguarding Protocol

There are no proposed amendments to this protocol.

#### 2.36 Protocol for Online Meetings

This Protocol was added to the Constitution at the same time as the new Council Procedure Rule 51 (see 2.09-2.10 above) by the Monitoring Officer using delegated authority. There are no proposed amendments to this protocol, but members should be aware that changes may need to be made, depending on whether the powers to hold virtual meetings continue after May 6<sup>th</sup>.

#### 2.37 Concurrent Functions Protocol

This protocol is proposed to be added to the Constitution following the introduction of the Mayoral Combined Authority for West Yorkshire. This was considered here at the last meeting of this Committee and by Cabinet. When it is signed it will be added to the Constitution. The West Yorkshire Combined Authority will be considering the protocol on 22 April 2021.

At the meeting of WYCA on the 22 April 2021, it was resolved to adopt the protocol.

### **3. Implications for the Council**

It is essential the Council's Constitution is regularly reviewed and updated to ensure that it remains fit for purpose and to enable Council meetings to be conducted in a fair, business like and effective manner.

It is also essential that the Constitution complies with current legislation. Failure to do so could lead to challenges, unnecessary procedural delays and less transparency in the Council's democratic process.

#### **3.1 Working with people**

N/A

#### **3.2 Working with Partners**

N/A

#### **3.3 Place Based Working**

N/A

#### **3.4 Climate Change and Air Quality**

N/A

#### **3.5 Improving Outcomes for Children**

N/A

#### **3.6 Other implications (eg Legal/Financial or Human Resources)**

#### **4. Consultees and their opinions**

Various officers in Legal, Governance and Commissioning have been consulted.

Standards Committee has been briefed on the outcome of the consultation survey and understands that further work needs to be completed before any proposals for amendments to the Code of Conduct can be properly considered.

Corporate Governance and Audit Committee considered this report at its meeting on 22<sup>nd</sup> April 2021 and recommended it to Council subject to the further work to finalise the drafting of the proposed new CPR 5(6) set out above which was delegated to the Chair of the Committee to do.

#### **5. Next steps and timelines**

- 5.1 Any amendments agreed by Council will be made to the Constitution.

#### **6. Officer recommendations and reasons**

That Council:-

- a. Notes the changes made to the Constitution in 2019-2021 listed in Appendix 1 and note the addition to the constitution of the Concurrent powers protocol referred to in paragraph 2.37.
- b. Approve the proposed changes to the Councils constitution as set out in paragraphs 2.11, 2.12, 2.13, 2.14 and 2.16 and the accompanying relevant Appendices.
- c. Notes the further work being undertaken to keep the Council's Constitution under review.
- d. Notes the proposed further work in respect of standards and the Code of Conduct.
- e. Delegate authority to the Service Director – Legal, Governance and Commissioning to make appropriate amendments to the constitution which may be agreed by Council as well as any consequential amendments to the constitution to reflect the changes agreed.

#### **7. Cabinet portfolio holder recommendation**

N/A

#### **8. Contact officer**

Julie Muscroft – Service Director – Legal, Governance and



Commissioning  
01484 221 000  
[julie.muscroft@kirklees.gov.uk](mailto:julie.muscroft@kirklees.gov.uk)

## **9. Background Papers and History of Decisions**

Report to Corporate Governance and Audit Committee dated 22<sup>nd</sup> April 2021.

Report to Standards Committee dated 29<sup>th</sup> March 2021.

## **10. Service Director responsible**

Julie Muscroft – Service Director – Legal, Governance and  
Commissioning  
01484 221 000  
[julie.muscroft@kirklees.gov.uk](mailto:julie.muscroft@kirklees.gov.uk)

## **11. Appendices**

**Appendix 1 - Amendments 2019/2021 (Information only)**

**Appendix 2 – Track change copy of the Council Procedure Rules**

## APPENDIX 1

### Constitutional amendments 2019-2021

Changes made to the Constitution authorised by Council or by the Monitoring Officer under the delegation provided to her are set out in the table below.

Amendment	Authorisation	Date amended
<b>PART 3 Responsibility for Functions</b>		
Part 3 Section B amended	Monitoring Officer Delegation	12 <sup>th</sup> June 2019
Part 3.4 Section C amended – changes to portfolio responsibilities	Approved at Annual Council	7 <sup>th</sup> August 2019
Part 3.4 Section C amended – change to portfolio holder public health responsibilities	Monitoring Officer Delegation	16 <sup>th</sup> April 2020
Part 3.4 Section C amended – change to portfolio holder's responsibilities	Monitoring Officer Delegation	1 <sup>st</sup> May 2020
Part 3.4 Section C amended – changes to portfolio holders	Monitoring Officer Delegation	18 <sup>th</sup> January 2021
Part 3.4 Section F amended	Monitoring Officer Delegation	
<b>PART 4 Rules of Procedure</b>		
Part 4.1 Council Procedure Rules amended	Approved at Annual Council	22 <sup>nd</sup> May 2019
Part 4.1 Council Procedure Rules amended – virtual meetings	Monitoring Officer Delegation	1 <sup>st</sup> May 2020, - - 7 <sup>th</sup> July 2020
Part 4.2 Access to Information Procedure Rules	Approved at Annual Council	1 <sup>st</sup> April 2019
Part 4.4 Executive Procedure Rules amended	Monitoring Officer Delegation	7 <sup>th</sup> April 2021
Part 4.6 Financial Procedure Rules amended	Approved at Annual Council	11 <sup>th</sup> June 2019
Part 4.7 Contract Procedure Rules minor amendments	Monitoring Officer Delegation	11 <sup>th</sup> June 2019
<b>PART 5 Codes and Protocols</b>		
Part 5.1 Code of Conduct	Approved at Annual Council	30 <sup>th</sup> May 2019
Part 5.11 – protocol for online meetings introduced	Monitoring Officer Delegation	12 <sup>th</sup> May 2020
Part 5.11 – protocol for online meetings amended	Monitoring Officer Delegation	1 <sup>st</sup> June 2020

## **COUNCIL PROCEDURE RULES**

(Amended by Service Director, Legal Governance and  
Commissioning using delegated powers on 1<sup>st</sup>, 14<sup>th</sup>, 20<sup>th</sup>, 21<sup>st</sup>  
May, 7<sup>th</sup> July 2020)

Revised 8<sup>th</sup> April 2021

## Introduction

Following the introduction of *The Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020* meetings of the Council or any committees may now be held remotely.

Any references to being present at any meeting in these rules is now to be interpreted as including being in remote attendance at any meeting.

A new procedure rule, Rule 51, has been inserted and the existing rules should be interpreted in light of the provisions of that rule.

## (A) Meetings of the Council

### 1. Annual Meeting of the Council

#### (1) Timing and Business

In a year when there is an ordinary election of Councillors, the Annual Meeting will take place within 21 days of the retirement of the outgoing Councillors. In any other year, provide that the Annual Meeting will take place in May. Within those limits the date, time and venue may be proposed by the Corporate Governance and Audit Committee and will be determined by the Council.

At the Annual Meeting the agenda will be as follows:

#### **CIVIC AND PROCEDURAL**

- (a) choose a person to preside if the Mayor and Deputy Mayor are absent;
- (b) elect the Mayor;
- (c) appoint the Deputy Mayor;
- (d) approve as a correct record the Minutes of the previous meeting of the Council;
- (e) receive any announcements from the Mayor and the Chief Executive
- (f) following a local election (other than a by-election) receive the report of the Chief Executive upon:
  - a) The result of the elections of Councillors to the Wards of the Council
  - b) The acceptance of office of Councillors elected

#### **ELECTION OF THE EXECUTIVE**

- (g) elect the Leader of the Council and determine his/her term of office (as necessary);

## **CONSTITUTIONAL BUSINESS**

- (h) appoint, in accordance with paragraph (2) of this Rule the Overview and Scrutiny Management Committee, the Standards Committee and such other Committees as the Council considers appropriate to deal with matters which are neither reserved to the Council nor are executive functions (as set out in Part 3, of this Constitution);
- (i) agree the scheme of delegation or such part of it as the Constitution determines it is for the Council to agree (as set out in Part 3 of this Constitution);
- (j) approve a programme of ordinary meetings of the Council for the year;
- (k) consider any other business set out in the notice convening the meeting; and
- (l) no other business will be considered at the Annual Meeting other than in exceptional circumstances to be determined by the Mayor in consultation with the Chief Executive.

### **(2) Selection of Councillors on Committees**

At the Annual Meeting, the Council will:

- (i) decide the size and terms of reference for those Committees to be established for the municipal year;
- (ii) decide, when relevant, the allocation of seats and substitutes to political groups in accordance with the political balance rules;
- (iii) receive nominations from Group Business Managers of Councillors to serve on each Committee;
- (iv) determine representation on outside bodies and where appropriate political ratios;
- (v) elect Chairs and appoint Deputy Chairs (where appropriate) of the Council's Committees for the ensuing municipal year. If the Council does not make these appointments, Committees may appoint their own Chair and Deputy Chair (where appropriate) subject to confirmation at the next meeting of the Council;
- (vi) appoint to those Committees and outside bodies except where appointment to those bodies has been delegated by the Council or is exercisable only by the Cabinet.

## **2. Ordinary and Additional Meetings of Council, Notice of and Summons to Meetings**

### *Dates of Council Meetings*

- (1) All meetings of the Council, with the exception of:
  - any called as Extraordinary Meetings; or

- changes made to meeting dates as proposed by the Corporate Governance and Audit Committee and agreed by Council during the municipal year,

shall be held on dates fixed by the Council at the Annual Meeting on the recommendation of the Corporate Governance and Audit Committee.

#### *Extraordinary Meetings*

- (2) Those listed below may request the Service Director - Legal, Governance and Commissioning to call Council meetings in addition to ordinary meetings:
- (a) the Council by resolution;
  - (b) the Mayor, following consultation with the Chief Executive or following a written requisition signed by five Members of the Council;
  - (c) the Chief Executive, the monitoring officer and section 151 officer;  
or
  - (d) any five Members of the Council if they have signed a requisition presented to the Mayor and he/she has refused to call a meeting or has failed to call a meeting within seven days of the presentation of the requisition;

#### *Venue and Start Time*

- (3) All ordinary meetings of the Council will be held at 5.30 p.m. in the Town Hall, Huddersfield, or at such other times and places as may be agreed in respect of particular meetings by the Mayor and the Leader of the Council or on the recommendation of the Corporate Governance and Audit Committee.

#### *Council Summons*

- (4) The date, time and venue for each Council meeting will be shown in the summons for the meeting which will be issued by the Service Director - Legal, Governance and Commissioning at least 5 clear days before a meeting. The summons will specify the business to be transacted and will be accompanied by reports where appropriate.

#### *Chair of Meeting*

- (5) Any power or duty of the Mayor in relation to the conduct of a meeting shall be exercised by the Deputy Mayor or in their absence the person elected to preside at the meeting.

### **3. Budget Meeting**

The Council may specify that one meeting will solely be the Council's budget meeting. At that meeting the only items will be to agree the budget, the capital programme and the level of Council tax for the following financial year, together

with any issues connected with the budget and anything else which the Mayor in consultation with the Chief Executive considers appropriate for inclusion.

#### 4. Quorum of Council

- (1) The quorum for a Council meeting shall be one quarter of the total number of the Members of the Council, rounded up to the nearest whole number.
- (2) If there is not a quorum at a meeting, then consideration of any items of business not dealt with shall be adjourned to a date and time to be chosen by the Mayor at the time when the meeting is adjourned **or** to the next meeting of the Council.

#### 5. Order of Business

- (1) There shall be two types of ordinary meeting of the Council,
  - one which focuses on **Holding the Executive to Account** and
  - one which focuses on **Key Discussions**.

The designation of each Council meeting shall be set (and published) at the start of the municipal year by the Chief Executive in consultation with the Group Leaders, but may be changed during the course of the year by the Chief Executive in consultation with the Group Leaders if it is considered necessary for the efficient operation of the Council, provided that no less than four ordinary meetings are designated as **Holding the Executive to Account**.

The content and running order of the business to be transacted at each ordinary meeting of Council may be altered in advance by the Chief Executive in consultation with the Mayor and Group Leaders if it is considered necessary or appropriate for the efficient operation of the Council.

The following items shall be included in all ordinary meetings of the Council in the order shown:

#### PROCEDURAL

- a) To choose a person to preside if the Mayor and Deputy Mayor are absent.
- b) Announcements by the Mayor or the Chief Executive.
- c) To receive apologies.
- d) To approve as a correct record and sign the Minutes of the previous meeting of the Council.
- e) To receive declarations of interest.
- f) To receive petitions submitted by Members of the Council.
- g) To receive any petitions/hear depositions from Members of the Public.

- h) To receive any public questions.
- i) To receive and consider any petitions referred in accordance with the Council's Petitions Scheme.
- j) To receive Minutes of meetings of the West Yorkshire Combined Authority.

#### **DECISION MAKING**

- k) To deal with any matters expressly referred by Cabinet or a Committee to Council for approval.

#### **WRITTEN QUESTIONS BY MEMBERS OF THE COUNCIL**

- l) To deal with written questions by Members to the following under Rule 12:
  - The Leader, Deputy Leader and Cabinet Members
  - Chairs of Committees, Sub Committees and Panels
  - Spokespersons of Joint Authorities and External Bodies (as defined at Rule 13)

- (2) In addition to those items at (1) of this Rule, the following items shall be included in meetings designated as **Holding the Executive to Account** meetings (provided that there is sufficient such business at any one meeting), and such business shall follow on from that set out in (1) of this Rule as follows:

#### **CABINET AND COMMITTEES**

- m) To receive reports from Cabinet members in accordance with Rule 13.
- n) To deal with questions to Cabinet members generally, or in relation to minutes of Cabinet put to Council for information or to hear statements from Cabinet members in accordance with Rule 13.

#### **MEMBER MOTIONS**

- o) To receive responses to Motions agreed at previous meetings of Council
- p) To consider motions issued under Rule 14, if any, in the order which they have been received by the Service Director – Legal, Governance and Commissioning.

#### **GENERAL**

- q) Other matters for consideration by Council including reports back on resolutions from previous Council meetings.
  - r) To deal with any business expressly required by statute to be dealt with by the Council
- (3) In addition to those items at (1) of this Rule, the following items shall be included in meetings designated as **Key Discussion Meetings** (provided that there is



sufficient such business at any one meeting) and such business shall follow on from that set out in (1) of this Rule as follows:

#### KEY ISSUES

- s) To receive presentations and/or reports on key issues and debate the same in accordance with Rule 18 (23).

#### OVERVIEW & SCRUTINY

- t) To receive reports from Overview & Scrutiny Management Committee and its panels and to hold a debate in accordance with Rule (18 (22)) (Move before key discussion).

#### MEMBER MOTIONS

- u) To receive responses to Motions agreed at previous meetings of Council.
- v) To consider motions issued under Rule 14, if any, in the order which they have been received by the Service Director – Legal, Governance and Commissioning.

#### GENERAL

- w) Other matters for consideration by Council including reports back on resolutions from previous Council meetings.
  - x) To deal with any business expressly required by statute to be dealt with by the Council.
- (4) The order of items (k) to (m) or (o) (as appropriate) or (k) to (s) or (t) (as appropriate in the case of Key Discussion Meetings) may be changed or any of the items omitted at any one meeting:
- a) by the meeting by means of a motion passed without discussion;
  - b) by the Chief Executive in consultation with the Mayor.
- (5) Any discussion falling within (s) above shall begin no later than 7:00 pm irrespective of whether the business before it on the agenda has concluded, such other business shall resume once the key discussion issue is complete.

- (6) *"In addition to the Annual Meeting of the Council (CPR1) and the ordinary meetings (CPR5 (1)) there shall be [at least] one meeting of Council convened each Municipal Year at which the mayor from time to time of the West Yorkshire Combined Authority (WYCA) shall attend to meet with Kirklees Councillors as an opportunity to discuss and report back on their work programme and outcomes.*

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- *The meeting will cover procedural items at (a) - (c), as well as (e) and (j), listed at CPR 5 (1) and will set aside a period of at least 45 minutes for questions from members to the WYCA Mayor. Questions will be allocated on a proportional basis between groups, in a manner to be determined by*

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*the Kirklees Mayor and the Chief Executive. In addition any agenda items agreed by the Kirklees Mayor and Chief Executive of Kirklees Council with the Mayor of WYCA will be discussed.”*

#### **6. Limitation of Business**

The items of business for consideration at the Council meeting will be limited to those set out in the agenda for the meeting, with the exception of:-

- (a) items of business required by these Rules to be dealt with at the meeting or
- (b) items of urgent business for which the Mayor and Chief Executive shall have prior notice and which the Mayor in consultation with the Chief Executive considers appropriate for discussion at the meeting.

## **7. Minutes**

### *Correct Record*

- (1) The Mayor shall put the motion "that the Minutes of the previous meeting or meetings be approved and signed as a correct record."

### *Accuracy*

- (2) No discussion shall take place on those Minutes except on their accuracy, and any questions on their accuracy shall be raised by motion. After any questions on the Minutes have been dealt with the Mayor shall sign the Minutes.

### *Submission to Council*

- (3) The Minutes of each Council meeting (including Extraordinary Council meetings convened in accordance with paragraph 3 of Schedule 12 to the Local Government Act 1972) shall be presented to the next scheduled Council meeting for approval.

## **8. Announcements by the Mayor, Leader of the Council, Cabinet Members and Chief Executive**

- (1) No discussion shall take place on any announcement made by the Mayor or Chief Executive.
- (2) Any Member may propose that the subject matter of any announcement be referred to Cabinet or an appropriate Committee, Sub-Committee, or Panel and such a motion, on being seconded, shall be put to the vote immediately.

## **9. Presentation of Petitions by Members of the Council and Members of the Public**

### *Presentation of Petitions*

- (1) Petitions on issues on which the Council has powers or duties or which affect the area of Kirklees may be presented by a Member or any member of the public to any meeting of the Council (except the meetings of Annual, Budget or Extra-ordinary Council) or an appropriate Committee, Sub-Committee or Panel meeting.
- (2) When a petition is received at a Council meeting no discussion shall take place on the item.

### *Referral of Subject Matter*

- (3) The Mayor may direct that the subject matter of a petition be referred to an appropriate Service Director for investigation and report to Cabinet or an appropriate Committee.

## 9a. Council's Petition Scheme – Council Debates

In accordance with the Council's Petition Scheme (as approved by Cabinet on 6 June 2012), if a validated petition contains more than 3000 signatures, the Petition Organiser (or their representative) will be permitted five minutes to present their petition to Council and the subject matter will then be debated by Council for a maximum of 15 minutes.

## 10. Deputations

### *Receiving of Deputations*

- (1) Deputations on issues on which the Council has powers or duties or which affect the area of Kirklees may be received at any meeting of the Council (except the meetings of Annual, Budget or Extra-Ordinary Council) or at any meeting of an appropriate Committee, or Sub-Committee relevant to its terms of reference. The Mayor/Chair in consultation with the Chief Executive shall have discretion to decide whether or not to hear the deputation.
- (2) All deputations should be submitted at least 24 hours prior to the meeting.

### *Deputations which will not be received*

- (3) A deputation will not be received if the Chief Executive considers that it includes references to the following:
  - (a) Matters which in the opinion of the Chief Executive are likely to involve the disclosure of confidential or exempt information.
  - (b) Information relating to complaints made under statutory provisions which have not been finally dealt with.
  - (c) Information concerning the merit of applications or other matters currently before the Council, Cabinet or a Committee, Sub-committee, Panel or Officers for determination in respect of which the Council is under a duty to act quasi judicially.
  - (d) Information of a personal nature or which is defamatory, offensive, frivolous, repetitive or vexatious.
- (4) Deputations with the sole or predominant purpose of promoting any company's or individual's own business interests or financial position will not be permitted.

### *Size of Deputation and Speech*

- (5) The deputation shall not exceed five persons and the speech shall not exceed five minutes.
- (6) When a deputation is received at a Council meeting, no discussion shall take place on the item but the relevant Cabinet Member shall respond to the deputation. The Cabinet Member's response shall not exceed five minutes.
- (7) The Mayor shall have the discretion to allow any other Member of the Council to respond to a deputation in exceptional circumstances. For example, in order to respond to personal attacks made during the course of a deputation.

Such response shall not exceed five minutes.

*Referral of Subject Matter*

- (8) The Mayor may direct that the subject matter of a deputation be referred to an appropriate Service Director for investigation and report to Cabinet or an appropriate Committee.

**11. Questions by Members of the Public at Council, Committee, Sub-Committee and Panel meetings**

*Who Can Ask A Question?*

- (1) (a) Any Member of the public resident in Kirklees may, subject to the provisions of this Rule, ask the Leader, or any Members of Cabinet, a question on any issue which comes within that individual's area of responsibility and which affects the area of Kirklees.
- (b) The Cabinet Member may, subject to the provisions of this Rule, ask that a question be referred to the Mayor or any Chairs of a Committee or any joint authority spokesperson and the Mayor, Chair or joint authority spokesperson shall respond within a reasonable period of time to such question.
- (c) At any Committee, Sub-Committee or Panel meeting, any such Member of the public may similarly ask the Chair of that body a question on any issue over which that body has any powers or duties.

*When Questions Cannot Be Asked*

- (2) Questions may not be asked at:
- (a) the Annual Council Meeting;
- (b) the Budget Council Meeting;
- or during the period from:
- (c) the announcement of a General Election to polling day (inclusive); or
- (d) the publication of the notice of ordinary elections for the Council to polling day (inclusive).

*Questions Which Will Not Be Answered*

- (3) Questions will not be answered if the Chief Executive considers that they include references to the following:
- (a) Matters which in the opinion of the Chief Executive are likely to involve the disclosure of confidential or exempt information.
- (b) Questions relating to complaints made under statutory provisions which have not been finally dealt with.

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- (c) Questions about the merit of applications or other matters currently before the Council, Cabinet or a Committee, Sub-Committee, Panel or Officers for determination in respect of which the Council is under a duty to act quasi judicially.
- (d) Questions of a personal nature or which are defamatory, offensive, frivolous, repetitive or vexatious.

*Questions To Be Within Terms of Reference*

- (4) The Chair or Leader may decline to answer a proposed question if in his or her opinion it is not within the terms of reference of the Cabinet, Committee, Sub-Committee or Panel concerned or is not relevant to the functions of the Cabinet, Committee, Sub-Committee or Panel concerned.

*Time Allowed for Questions*

- (5) The period allowed for the asking and answering of public questions at any one meeting shall not exceed 15 minutes. Any Member of the Cabinet, Committee or Panel may move an extension of this period should there be questions unanswered. Such a motion shall be moved and seconded and be put without discussion. At any one meeting no person may ask more than two questions and no more than two questions may be asked on behalf of one organisation. A questioner may also put one supplementary question to the Member for each original question asked. Any question which cannot be dealt with during public question time will be dealt with by a written answer.

*Answers to Questions*

- (6) The Member to whom the question has been put may nominate another Member to answer (if that Member agrees).

The Member to whom the question has been put may not refuse to answer the question.

An answer may take the form of:

- (a) a direct oral answer; or
- (b) a reference to a publication, where the desired information is contained in a publication of the Council, the Cabinet or a Committee, Sub-Committee or Panel; or
- (c) a written answer where the reply to the question cannot conveniently be given orally.

*Recording in Minutes*

- (7) The Service Director - Legal, Governance and Commissioning shall record in the Minutes of the meeting the question(s) and the name of the respondent.

#### *Questions Ruled Out of Order*

- (8) If the Chair is of the opinion that the question is of a personal nature, or that in the interests of the Council it is undesirable or is otherwise out of order, he or she shall not allow the question to be put. This right of refusal also applies to the Chair at a Committee, Sub-Committee or Panel meeting.

#### *Referral of Subject Matter*

- (9) In the case of public questions presented to Council meetings, there shall be no discussion on the question or the answer but a Member may propose that the subject matter of the question be placed on the Agenda for the next ordinary meeting of Cabinet or an appropriate Committee, Sub-Committee or Panel. Such a motion shall be moved and seconded and put without discussion.

### **12. Written Questions by Members**

The exemptions as set out at CPR 11 (3) also apply to this Rule (CPR 12).

The following provisions shall apply to written questions by Members which must be received by the Service Director - Legal, Governance and Commissioning by 10.00 a.m. on the working day before the Council meeting.

- (1) Any member of Council may put a written question to the Leader of the Council, a member of the Cabinet, a Chair of a Committee, Sub-Committee or Panel or a spokesperson of a Joint Committee or External Body, as defined at Rule 13 (4). Subject to the Mayor's/Chair's discretion, a 3 minute time limit applies for individual questions to be put.
- (2) The Mayor/Chair in consultation with the Chief Executive may determine that a question shall not be put where the question appears defamatory, vexatious or requires the disclosure of confidential or exempt information.
- (3) Following consideration of any questions arising from Rule 12 (1) above, questions will be timetabled in the order which they are received by the Governance Team.
- (4) If a Member who has submitted a question in accordance with Rule 12 is not present at the meeting at the time when the question(s) submitted is/are due to be put to a Member the question(s) will fall.
- (5) The Member to whom the question has been put shall give an oral answer at the Council meeting or may nominate at any time before or during a meeting another Member to answer if that Member agrees.
- (6) An answer may take the form of:
  - (a) a direct oral answer; or
  - (b) a reference to a publication of the Council which contains the desired information; or
  - (c) a written answer circulated to all Members within 7 days of the Council meeting.

- (7) The period allowed for written questions at any one meeting shall not exceed 30 minutes. A 5 minute time limit shall apply for individual responses to questions. The same time limit shall also apply to individual responses to supplementary questions, subject in both cases to the Mayor's discretion to increase the time allowed for responses in appropriate circumstances.
- (8) Where written questions are not addressed within the above timescale, then the question will be answered by written reply, and the response shall be copied to all Members of the Council, unless the Member asking the question specifically requests (within 48 hours of the meeting concluding) that the question is referred to the next relevant Cabinet / Committee / Sub-Committee / Panel meeting for reply. Any such questions will only be responded to if the Member who put forward the original question to the Council meeting is in attendance at the Cabinet / Committee / Sub-Committee / Panel meeting to ask the question.

Written questions to spokespersons of Joint Authorities or any Other Bodies not dealt with within the above timescale will be answered by means of a written reply within 7 days of the meeting and be circulated to all Members of the Council.

- (9) When an answer to a written question has been given, the Member who asked it may ask one supplementary question relating to the same topic. Such a supplementary question must follow straight on from the Member's answer to the original question. In circumstances where the responding Councillor has elected, pursuant to Rule 12(6)(c), to provide a written answer to a question, no supplementary question will be permitted.
- (10) A written question, as well as any supplementary question, must be relevant to the Terms of Reference or powers or duties of Cabinet or of the relevant Committee / Sub-Committee / Panel / Joint Committee or External Body or affect the area of Kirklees and should not be of a personal nature or one which is defamatory, offensive, frivolous, repetitive or vexatious.
- (11) The Service Director - Legal, Governance and Commissioning shall record in the Minutes of the meeting the question(s) and the name of the respondent. This will not include any supplementary question and the answer thereto. Supplementary questions and answers will be recorded as part of the webcast and held on the public webcast facility for a period of 12 months.

### **13. Procedure at Council Meetings in Relation to Reports and/or Minutes of Cabinet and Committees**

- (1) *Minutes for Information / Reports which require approval*
  - (i) Any matter expressly referred to Council by Cabinet or a Committee for determination shall be dealt with first.



- (ii) Minutes of meetings of Cabinet, Committees, and WYCA meetings shall be presented to Council meetings for information and as a basis for questioning and comment. There shall be no need for any motion or vote to receive them. If the minutes are not considered due to lack of time they shall be deemed to have been presented to Council and will not, therefore, require resubmission.
- (2) *Questions / Comments on Cabinet Minutes*
- (i) The Minutes of Cabinet shall be submitted to Ordinary meetings of the Council followed by those of the Cabinet Committee – Local Issues.
  - (ii) Prior to the start of questions to Cabinet Members, Portfolio Holders may make a report, not exceeding 10 minutes in length per Cabinet Member, setting out his / her Portfolio Plan for the municipal year, or on progress against that Plan. Comments and statements by Cabinet Members shall not exceed 30 minutes in duration including any questions arising from the information provided. All Cabinet Members will be required to make Council aware of their Portfolio Plan at the start of the municipal year either verbally or in writing circulated to all Members and to report on progress against that Plan at least once in the municipal year.
  - (iii) Questions may be asked by any Member of any Cabinet Members whether on a specific matter contained within the minutes or any matter generally which is in the portfolio of the Cabinet Member. There is no restriction on the number of questions Members may ask within the allotted time but each individual Member is only permitted to ask one question together with one supplementary question at any one time.
  - (iv) Any member may, in addition to or instead of exercising their rights under Rule 13(2)(iii), comment once for a maximum of five minutes on any item within the Cabinet minutes presented to the meeting.
  - (v) The portfolios will appear in alphabetical order on the Agenda and questions will be addressed to the Cabinet Member whose portfolio is at the top of the list. Once questions to that Cabinet Member have been completed, his or her portfolio will fall to the bottom of the list and questions will be addressed to the second, third etc., until the allotted time is completed whereupon the portfolio of the Cabinet Member being questioned will fall to the bottom of the list. At the next meeting the list will begin where it ended at the previous meeting, subject to the portfolio which is the subject of a progress report to the meeting being at the top of the list. During this item Members will have the opportunity to question Cabinet Members (and other Members appearing on the schedule) on meetings/discussions with external organisations. Any such question will be answered immediately in the manner provided for in Rule 13(4).
  - (vi) Subject to 5(i) of this Rule, Cabinet members will have a right of reply before questions are put to the Cabinet member next in alphabetical order.

- (vii) In circumstances where the Leader has no specific portfolio allocated to him/her the Agenda will provide that he/she heads the list of Cabinet Members for the purposes of Rule 13(2)(v). Once questions to the Leader have been completed he/she will fall to the bottom of the list and questions to Cabinet Members with specific portfolios will proceed in accordance with the provisions of Rule 13(2)(v).

(3) *Questions / Comments on Committee Minutes*

- (i) The Minutes of other Committees submitted to Ordinary meetings of the Council shall be set out in alphabetical order.
- (ii) Any Member may comment on any item within the Committee minutes presented to the meeting
- (iii) Any Member may ask the chair of the relevant Committee a question upon any item within that Committee's Terms of Reference.
- (iv) The Chair of Cabinet, a Cabinet Committee or Cabinet Member or the Chair of a Committee may make a statement at the time that the report/Minutes is/are considered on any matter within the Terms of Reference or which is relevant to the function of Cabinet or that Committee, or which is a matter of importance.

(4) *Questions to Chairs of Committees/Sub-Committees/Panels, Lead Members of Standing Scrutiny Panels and Spokespersons of Joint Committees and External Bodies*

(The exemptions as set out at CPR 11 (3) also apply to this Rule (CPR 13)

- (i) Any Member may ask the Chair of the relevant Committee/Sub-Committee/Panel or the Lead Member of a Standing Scrutiny Panel a question upon any item within the Terms of Reference of that Committee/Sub-Committee/ Panel or Standing Scrutiny Panel (as applicable). Any Member may also ask a question of the relevant spokesperson for any of the Joint Committees or external bodies (including regional and sub-regional bodies) identified in Rule 13(4)(ii).
- (ii) For the purposes of this Rule, questions may be asked of spokespersons or representatives of the following Joint Committees or External Bodies:
  - (a) Kirklees Neighbourhood Housing
  - (b) Kirklees Active Leisure
  - (c) West Yorkshire Combined Authority (and its Committees)
  - (d) West Yorkshire Fire and Rescue Authority
  - (e) West Yorkshire Police and Crime Panel
  - (f) West Yorkshire Joint Services Committee

(The Council's Monitoring Officer may update the above list as appropriate).

- (iii) The list to persons (by virtue of position) to whom questions can be asked will be set out in the agenda in alphabetical order and questions

will be addressed in the order listed. At the next meeting, the list will begin where it ended at the previous meeting.

(5) *Time Permitted*

- (i) Subject to the time limit for speaking set out in CPR 13 (2) the maximum time permitted for consideration of matters in CPR (1) – (3) including comments and questions on Minutes to Cabinet Members shall be a maximum of 60 minutes, and the time permitted for comments and questions to Chairs of Committees/Sub Committees/Panels and representatives on outside bodies shall be a maximum of 30 minutes, provided that there is provision under Rule 5 for consideration of issues under CPR 13.

**14. Notices of Motion**

*Submission of Motions*

- (1) Every notice of motion (with the exception of those proposed in accordance with Rules 15, 18(16) and (19) shall be submitted in writing and delivered to the Service Director - Legal, Governance and Commissioning by 9.00 a.m. on the sixth working day before the date of the Council meeting. Each motion must be signed by not less than two Members of the Council. Motions (including re-submitted Motions pursuant to CPR 16(5)) will only be accepted for the next scheduled Council meeting (except Annual and Budget Councils) from 10.00am onwards following the day of the previous Council meeting. Any motions re-submitted will be listed on the agenda in the same order as they were listed for the previous meeting. Any further (new) motions will be listed after re-submitted Motions. The Chief Executive, in consultation with the Mayor, shall have authority to re-order the Motions as appropriate. The Chief Executive will inform Group Leaders when such action is being taken.

*Recording of Motions*

- (2) The Service Director - Legal, Governance and Commissioning will record the date and time of receipt of each motion in a register. This register shall be open to inspection to every Member of the Council.

*Motions Which Have Revenue Effects*

- (3) No Motion may have the effect of increasing the expenditure or reducing the revenue of the Council other than in the form of a reference to Cabinet or the appropriate Committee for consideration. (This provision does not apply for the setting of the Council Tax).

*Relevance*

- (4) Every motion shall be relevant to some matter in relation to which the Council has powers or duties and which affects the area of Kirklees.

*Motions Not Accepted*

- (5) If notice is given of any motion which, in the opinion of the Chief Executive is

out of order, illegal, irregular or improper, the Chief Executive in consultation with the Mayor shall determine whether to accept its inclusion on the agenda. If a motion is not deemed acceptable the Chief Executive shall inform the Members who gave notice of the item.

#### *Withdrawal*

- (6) A Member who has given notice of motion may subsequently withdraw by writing to the Service Director - Legal, Governance and Commissioning.

#### *Inclusion on Council Agenda*

- (7) The Service Director - Legal, Governance and Commissioning shall set out in the agenda for each Council meeting the motions received and accepted in the order they were received.

#### *Moving of Motions*

- (8) If a motion set out in the summons is not moved either by the Member who gave notice or by another Member on their behalf, it shall be treated as withdrawn and shall not be considered again without fresh notice. Alternatively, the Council may consent to postpone consideration of a motion to the next meeting.

#### *Receipt of Amendments*

- (9) Any proposed amendment to a motion which has been included in the summons for a meeting, shall be delivered to the Service Director - Legal, Governance and Commissioning in accordance with the following timescales:-
- (a) by 10.00am on the day of Council if the meeting is to start at 5.30 p.m. or
  - (b) by 2.00 p.m. on the day before a Council meeting which is to start in a morning.

#### *Motions on Identical Subjects*

- (10) Where motions have been submitted to Council by different groups which relate to the same subject matter, then the movers shall be given the opportunity to agree a composite motion by 2.00 p.m. on the second working day before the date of the Council meeting. Notice of the composite shall be given to the Service Director - Legal, Governance and Commissioning who will notify the groups or individual Members to allow them to consider the proposals.

#### *Alterations to Motions and Amendments*

- (11) Alterations to the wording of any motion or amendment (made in accordance with Council Procedure Rule 18(11) may be made with the agreement of the Members moving and seconding the motion or amendment and with the meeting's consent' provided that when such alterations are agreed copies

shall be made available. Only alterations which could be made as an amendment may be made.

*Need for Debate*

- (12) With the exception of those moved under (5) of this Rule, no motion made under this Rule may be voted on unless there has been a debate.

**15. Motions and Amendments Which May Be Moved Without Notice**

The following motions and amendments may be moved without notice:

- (1) Appointment of a Chair of the meeting at which the motion is moved.
- (2) Questioning the accuracy of the Minutes of the previous meeting of the Council.
- (3) To change the order of business in the Agenda.
- (4) Reference to Cabinet a Committee, Sub-Committee or Panel for further consideration.
- (5) Appointment of Leader or a Committee, or Member thereof arising from an item on the Agenda for the meeting.
- (6) That leave be given to withdraw a motion.
- (7) Motions and amendments in respect of urgent business under Rule 6.
- (8) That the Council proceed to the next business.
- (9) Motions under Rule 11(5) and 11(9) as to questions by electors at Council Meetings.
- (10) Amendments to any motion to approve the recommendations of Cabinet or a Committee.
- (11) That the question be now put.
- (12) Amendments to any Motion except amendments to notices of motion pursuant to Rule 14(8) and (9) or where notice is required under Rule 19.
- (13) That the debate be now adjourned.
- (14) That the Council do now adjourn.
- (15) Adoption of reports of Officers and any consequent resolutions.
- (16) Suspending these Rules in accordance with Rule 47.
- (17) Motion to exclude the public.
- (18) That a Member named under Rule 21 be not heard further or leave the

meeting.

- (19) Giving consent or leave of the Council where the consent or leave of the Council is required by these Rules.
- (20) That the subject matter of an announcement made by the Mayor, or the Chief Executive under Rule 8 be referred to Cabinet or the appropriate Committee, Sub-Committee or Panel.
- (21) Motions under Rule 16 to continue a Council meeting after 9.00 p.m.
- (22) That the Council do now adjourn for discussion in smaller groups or in some other form.

#### **16. Termination and Adjournment of Meeting**

- (1) The Mayor, in consultation with the Chief Executive, may adjourn the meeting at any time and may incorporate a scheduled break of the meeting as required.
- (2) A meeting of the Council (except the Annual Meeting and Budget Council) shall terminate at 9.00 p.m. unless a Member moves, prior to 9.00pm, a motion that the meeting shall continue until (i) a later time (to be specified in the motion) or (ii) the conclusion of the business of the meeting. If the motion is seconded it shall be put to the meeting without comment.
- (3) If the motion is passed the meeting shall continue until the time specified or until the conclusion of the business of the meeting.
- (4) At 9.00 p.m. or such later time as the Council has agreed, the Mayor shall have discretion to grant an additional period of time to allow the item under consideration at that time to be concluded. Otherwise, the Mayor shall
  - a) allow no further points of order to be raised by any other Member.
  - b) interrupt the discussion of the item being considered by the meeting.
  - c) allow the proposer of the motion then under consideration a maximum of five minutes to reply to the debate unless he/she seeks leave to withdraw the motion.
  - d) put (without discussion) all of the questions necessary to dispose of that motion, unless the motion is withdrawn.
  - e) put (without discussion) all of the questions necessary to complete the consideration of any reports of Cabinet or any Committee, which are on the Agenda for the meeting, unless the Member appointed to preside in any such body (or a person on his/her behalf) indicates a wish to the contrary.
- (5) Any Motion given under Rule 14 not considered at an Ordinary Council meeting due to time constraints shall automatically be deemed withdrawn unless the Service Director – Legal, Governance and Commissioning

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receives written confirmation (from the Member or Group who submitted the Motion) by 5pm on the fifth working day following that Council meeting that the Motion should be rolled over for consideration at the next Ordinary Council meeting.

- (6) Where proceedings are in progress either at 9.00 p.m. or at a later time (specifically agreed by the Council in accordance with a motion to that effect), the provisions of Rule 24(3) as to recorded votes shall not apply.
- (7) Following any processes outlined above, the Mayor shall finally close the meeting.

#### **17. Opposition Priority Business**

- (1) This Rule applies where there is a majority group of members of the Council.
- (2) A minority group may require that any one item of business placed on the Agenda for any Council meeting be treated as opposition priority business. Such a requirement will only be considered if the Leader of that Group has submitted it in writing to the Chief Executive at least seven days before the Council meeting.
- (3) Where the Chief Executive receives more than one such request for a meeting, he/she shall decide which shall be selected so as to ensure that as far as is possible each minority group's share of opposition priority business reflects the relative size of those groups in the period from the last Annual Meeting of the Council.
- (4) Service Director - Legal, Governance and Commissioning shall indicate on the Agenda which item of business (if any) is to be treated as opposition priority business.
- (5) If consideration of an item of opposition priority business has not begun two hours after the start of the meeting it will be brought forward and considered immediately after the conclusion of the item of business then under discussion.

#### **18. Rules of Debate**

##### *Motions and Amendments*

- (1) A motion or amendment shall not be discussed unless it has been proposed and seconded. Unless notice of the motion or amendment has already been submitted in accordance with Rules 14 and 19, the Mayor may also require that it be written out and handed to him/her before it is discussed further or put to the meeting.
- (2) Members when seconding a motion or amendment may, if they then declare their intention to do so, reserve their right to speak until a later period in the debate on the motion or any amendment.

#### *Seconders Speech*

- (3) The Member seconding the motion or amendment and reserving the right to speak shall further indicate to the Mayor during the debate when he/she wishes to speak.

#### *List of Names of Those Wishing to Speak*

- (4) During the debate Members should indicate their wish to speak by use of their individual voting console. The Mayor shall have absolute discretion to alter the order of those wishing to speak and may close the list at any time. This provision does not apply to:
- the Mayor
  - the mover of the original motion
  - the seconder of the original motion who has reserved the right to speak
  - Members rising on a point of order or to provide a personal explanation
  - persons moving motions and amendments under Rule 15

#### *Addressing the Mayor*

- (5) Unless the Mayor indicates otherwise, a Member must stand and address the Mayor while speaking. If two or more Members rise, the Mayor shall request one to speak and the other(s) to be seated. While a Member is speaking the other Members shall remain seated and be silent, unless rising on a point of order or in personal explanation.

#### *Content and Length of Speeches*

- (6) No speech of a Member of the Council in moving a motion to adopt the report of Cabinet or a Committee, or a motion under Rule 14 shall exceed 10 minutes and no other speech on any item before Council shall exceed 5 minutes, except:-
- (a) by consent of the Council, or
  - (b) The Leader, or the Leader's nominee's annual budget speech on the setting of the amounts of Council Tax.

#### *Additional Time For Speeches*

- (7) If the Mayor is of the opinion that the subject matter is of special importance or the Member requests additional time, the Mayor may permit the Member to continue for as long as he/she allows.

#### *When a Member May Speak Again - Adoption of Reports*

- (8) On a motion to adopt the report of Cabinet or a Committee, a Member may speak once in general regarding the report. In addition, he/she may move or



second or speak to one amendment only on each item in the report requiring a decision by the Council.

*When a Member May Speak Again - Other Motions*

- (9) On any other motion a Member shall speak only once whilst the motion is the subject of debate. A Member may also move, second or speak to one amendment. If consideration of an amendment begins before a Member has had the opportunity to speak on the motion he/she may still exercise the right to speak on the motion.

*Exceptions to Speaking Only Once*

- (10) The requirement that a Member shall only speak once shall not prevent a Member from speaking:
- (i) in exercise of a right to reply,
  - (ii) on a point of order,
  - (iii) by way of personal explanation.

*Amendments to Motions*

- (11) An amendment shall be relevant to the motion and shall be either:
- (a) to refer a subject of debate to Cabinet or a Committee, for consideration or reconsideration;
  - (b) to leave out words;
  - (c) to leave out words and insert or add others;
  - (d) to insert or add words;

The omission, insertion or addition of words must not have the effect of blocking the motion which is under consideration by the Council. In addition the amendment must not have the effect of increasing the expenditure or reducing the revenue of the Council other than in the form of a referral to Cabinet or the relevant Committee for consideration. (This provision does not apply for the setting of the Council Tax).

*Discussion of Amendments*

- (12) Except where the voting procedure in Rule 24(6) applies only one amendment may be moved and discussed at a time and no further amendment shall be moved until the amendment under discussion has been disposed of. However, the Mayor may permit two or more amendments to be discussed together if he/she considers that this would be helpful in the circumstances. Where two or more amendments are discussed together they shall be voted upon in the order in which they were moved.

#### *Motion as Amended*

- (13) If an amendment is lost, other amendments may be moved on the original motion. If an amendment is carried, the motion as amended shall take the place of the original motion and shall become the motion upon which any further amendment may be moved.

#### *Withdrawal of Motion/Amendment*

- (14) A motion or an amendment may be withdrawn by the proposer with the consent of the seconder and of the Council (which shall be decided upon without debate). No Member may speak on it after the proposer has been granted permission for its withdrawal.

#### *Right of Reply*

- (15) The proposer of a motion shall have the right to reply at the close of the debate on the motion, immediately before it is put to the vote. If an amendment is moved, the proposer of the original motion shall have also the right to reply at the close of such debate on the amendment and shall not otherwise speak on the amendment. Such a reply shall be confined to matters raised in the debate on the motion or amendment, as the case may be. The proposer of an amendment shall have no right to reply to the debate on the amendment. However where an amendment is carried the proposer of that amendment (now the substantive motion) shall have a right of reply at the close of the debate and on any subsequent amendment.

#### *Motions which may be moved during debate*

- (16) When a motion is under debate no other motion shall be moved except the following:-
- (a) to amend or withdraw the motion;
  - (b) to adjourn the meeting;
  - (c) to adjourn the debate;
  - (d) to proceed to the next business
  - (e) that the question be now put;
  - (f) that a Member be not further heard on the item of business before the Council;
  - (g) by the Mayor under Rule 21(2);
  - (h) to exclude the public;
  - (i) to withdraw or amend proceedings in accordance with paragraph (14) of this Rule.

#### *Closure Motion*

- (17) A Member may move without comment at the conclusion of a speech of another Member "That the question be now put", "That the debate be now adjourned" or "That the Council do now adjourn". If such a motion is seconded, the Mayor shall proceed as follows:-
- (a) On a motion "that the question be now put", the Mayor shall put this motion to the vote, unless he or she is of the opinion that the matter

before the meeting has not been discussed sufficiently. If the motion 'that the question be now put' is voted on and carried, the Mayor will allow the proposer of the original motion the right to reply under paragraph (15) above before putting the motion under discussion to the vote.

- (b) On a motion "to adjourn the debate or meeting". The Mayor shall put the motion for an adjournment to the vote without giving the mover of the original motion the right to reply, if he or she is of the opinion that the matter before the meeting has not been sufficiently discussed and cannot reasonably be discussed at that meeting.

#### *Point of Order and Personal Explanation*

- (18) A Member may rise on a point of order or in personal explanation and shall be entitled to be heard immediately. A point of order shall relate only to an alleged breach of one of these Rules or the law and the Member shall specify the Rule or the law and the way in which it has been broken. A personal explanation shall be confined to some material part of a speech by the Member in the Council meeting which may appear to have been misunderstood in the present debate. A personal explanation may not be made in any other circumstances and in particular reference in a speech to another Member does not give that Member any right of personal explanation except in circumstances specified above.
- (19) The ruling of the Mayor on a point of order or on the admissibility of a personal explanation will be final.

#### *Relaxation by the Mayor of Rules of Debate*

- (20) Before the start of the debate on any item or motion the Mayor may determine, after consultation with the Chief Executive, that any of the requirements of this Rule shall be relaxed or waived during the debate on that item or motion.

#### *Mayor's Authority*

- (21) Whenever the Mayor rises during a debate, a Member who is standing and speaking shall sit down and the Council shall be silent. Afterwards, a Member may continue his or her speech unless the Mayor determines otherwise.
- (22) The time permitted for consideration of scrutiny reports under Rule 5 (3) (n) shall be a maximum of 60 minutes.
- (23) The time permitted for consideration of key issues shall be a maximum of 60 minutes
- (24) The time permitted under (22) and (23) of this Rule may be extended at the discretion of the Mayor in consultation with the Chief Executive.

#### *Mayor's Casting Vote*

- (25) The Mayor, or in their absence, the person presiding at the Council meeting,

shall have a second or casting vote in the case of an equality of votes.

#### **19. Motion to Make Statutory Calculations and to set Amounts of Council Tax**

##### *Motion referred from Cabinet*

- (1) At least 14 days before the date fixed for calculating the amounts required under Sections 32 to 36 of the Local Government Finance Act, 1992, and setting the amounts of Council Tax, the Chief Executive shall distribute to all Members of the Council the motion which has been proposed by the Cabinet for the Council, together with the draft Revenue Budget.

##### *Motion distributed by the Leader*

- (2) If the Cabinet is not able to recommend a motion to the Council meeting, the Leader shall distribute to all Members of the Council at least 14 days in advance a motion to be presented to the Council meeting together with the draft Revenue Budget. This motion must be presented in the names of the Chair of the Cabinet and be supported by at least two other Members of the Council.

##### *Amendments*

- (3) An amendment to a motion proposed under paragraph (1) above, cannot be moved unless it has been submitted and deemed to be financially sound and sustainable by the Chief Executive no later than 7 days prior to the date of the Budget Meeting. The Notice of such an amendment must be delivered to the Chief Executive and must specify the terms of the proposed amendment and the effect which it will have on the draft Revenue Budget.

Amendments to the Budget Motion cannot be accepted unless the Chief Executive is satisfied, upon the advice of the Service Director - Finance, that the proposed amendment is financially sound and sustainable.

##### *Notification of Amendments*

- (4) The Chief Executive shall inform all Members of the Council of any amendments received and the order of receipt. The amendments shall be considered at the meeting in the same order that they have been received by the Chief Executive.

##### *Debating the Motion and Amendments*

- (5) (i) The Mover of any Amendment to the Budget Motion shall speak for no longer than ten minutes, or for the same time taken by the Mover of the Budget Motion, whichever is the longer.  
  
(ii) If a motion or an amendment described in paragraph (1), (2) and (3) above is not carried at the Council meeting, further motions and amendments may be moved and seconded without notice for consideration and determination. Copies of these additional motions or amendments must be made available to each Member of the Council by the mover or seconder before any debate begins.

(iii) In the event that an amendment is approved, thereby becoming the substantive motion, this resolution shall become the Council's budget and no further amendments shall be debated or voted upon.

(iv) In order to engage in a full debate about the Budget and amendments these rules will allow reference to be made by a member in the Budget debate, to the Budget Motion and / or any amendments submitted by a political group related to the budget during their contribution to the debate whether that be when speaking on the Budget Motion or an amendment to it.

#### *Limitations*

- (6) The introduction of a new motion or amendment on the day of the Council meeting by a Political Group represented on the Council will not be permitted unless it gave notice of a motion or an amendment under paragraph (1), (2) and (3) above for inclusion on the summons for the meeting.

#### *Recording of Votes relating to Council Tax etc.*

- (7) Immediately after any vote is taken at a Budget Decision Meeting of the Council on any decision or amendment relating to the approval of the General Fund, or the statutory calculation for the setting of the Council Tax, there must be recorded in the minutes of the proceedings of that meeting the names of the persons who cast a vote for the decision or against the decision or who abstained from voting,
- (8) For the purposes of Rule 19 (7)
- a) "Budget Decision" means a meeting of the Council at which it:
- (i) makes a calculation (whether originally or by way of substitute) in accordance with any of sections 31A, 31B, 34 to 36A, 42A, 42B, 45 to 49, 52ZF, 52ZJ of the Local Government Finance Act 1992(b); or
  - (ii) issues a precept under Chapter 4 of the Part 1 of that Act;
- and includes a meeting where making the calculation or issuing the precept as the case may be was included as an item of business on the agenda for that meeting.

## **20. Motion affecting persons employed by the Council**

If a question arises at a meeting of the Council on the appointment, promotion, dismissal, salary, superannuation or conditions of service, or as to the conduct of any person employed by the Council, it shall not be considered until the Council has determined whether or not the public and press shall be excluded.

## **21. Members Conduct**

### *Member not be heard*

- (1) If at a Council meeting any Member of the Council (in the opinion of the

Mayor) persistently disregards the ruling of the Mayor or behaves irregularly, improperly, offensively or obstructs the business of the Council, the Mayor or any other Member may move "That the Member named be not heard further on the item of business before the Council". If the motion is seconded it shall be determined without discussion.

*Member to leave the meeting*

- (2) If the named Member continues his or her misconduct after a motion under paragraph (1) above has been carried, the Mayor shall either move "That the Member named do leave the meeting" (in which case the motion shall be put and determined without seconding or discussion), or adjourn the meeting for as long as he/she considers necessary.

*General Disturbance*

- (3) In the event of general disturbance which in the opinion of the Mayor renders the despatch of business impossible, the Mayor may decide to adjourn the meeting of the Council for as long as he/she considers necessary.

*Mayor's Power to Adjourn*

- (4) The provisions of this Rule do not limit the Mayor's power to adjourn the meeting at any time under Rule 16(1).

**22. Disturbance by the Public**

If members of the public interrupt the proceedings of any meeting, the Mayor shall warn them regarding their conduct. If they continue the interruption, the Mayor shall order their removal from the room. In case of general disturbance in any part of the room open to the public, the Mayor shall order that part to be cleared.

**23. Previous Decisions and Motions**

*Motion to rescind a previous decision*

- (1) No motion to rescind any decision taken within the preceding six months, and no motion or amendment with the same effect as one which has been rejected within the preceding six months, shall be proposed unless notice has been given in accordance with Rule 14 and has been signed by at least 10 Members of the Council. When any such motion or amendment has been disposed of by the Council, no similar motion may be proposed within a further period of six months.

*Motion similar to the one previously rejected - exceptions*

- (2) This Rule shall not apply to motions moved on a recommendation of Cabinet or a Committee, or to motions or amendments moved in accordance with Rule 19.

## **24. Voting**

### *Show of Hands / Voting Equipment*

- (1) Voting at Council meetings shall be as directed by the Mayor, either by a show of hands or by use of the electronic voting equipment unless a Ballot is agreed under paragraph (4).

### *Casting Vote*

- (2) The Mayor, or in his/her absence the Deputy Mayor or the Chair at the time the vote is taken shall have a second or casting vote.

### *Recorded Vote*

- (3) Any Member of the Council may request that a recorded vote be taken on an item to record how each Member present at the meeting intended or decided to vote. This request for a recorded vote will only be acceptable if it is supported by at least five other Members and is made before the Mayor has announced the result of the vote.

### *Ballots*

- (4) The vote will take place by ballot at the request of any Member supported by at least five other Members. The Mayor/Chair will announce the numerical result of the ballot immediately the result is known.

### *Right to require individual votes to be recorded*

- (5) At any meeting of the Council a Member may require that his or her decision in voting for or against or abstaining on an item on the Agenda be recorded in the Minutes of the meeting. This will be effective only if it is proposed by the Member before the Mayor has announced the result of the vote.

### *Voting on Appointments (including Mayor and Leader)*

- (6) If there are more than two persons nominated for any appointment to be filled by the Council (including the election of the Mayor and the Leader) and there is no overall majority vote in favour of one person, the following procedure will apply. The name of the person who has received the least number of votes will be struck off the list of nominations and a fresh vote will be taken. This procedure will continue until a majority vote is given in support of one of the persons nominated.

## **25. Failure to Attend Meetings**

- (1) In accordance with the Local Government Act 1972, if a Councillor attends no meetings of the Authority for six months the Chief Executive will tell the Council (unless the Member has been granted leave of absence by the Council). The Council will consider whether the absence was caused by some reason approved by them. If they are not satisfied about the cause of the failure, the Member will cease to be a Member of the Council.

- (2) For the purpose of this Rule a meeting of the Authority shall include:-
- \* the Council, Cabinet or any Committee, Sub-Committee or Panel; or
  - \* any Joint Committee or Joint Board which has Council functions delegated to it;
  - \* any other body at which the Member represents the Council.

**26. Interests of Members which are not Disclosable Pecuniary Interests**

- (1) Any Member who has an interest which is not a Disclosable Pecuniary Interest as described in paragraph 5 of the Council's Code of Conduct in any matter shall comply with the requirements of that Code in respect of that interest ("Other Interest").
- (2) A Member of the Council may declare in a register kept by the Service Director - Legal, Governance and Commissioning his/her membership of any organisation which requires details of its aims, duties or membership to be kept secret. This register will be open to public inspection during office hours.

**27. Interpretation of these Rules**

The ruling of the Mayor, after consultation with the Chief Executive, as to the construction or application of any of these Rules, or as to any proceedings of the Council shall be final.

**(B) Members and Officers Etc**

**28. Leaders and Business Managers**

- (1) Members of the Council may be chosen by each of the political groups on the Council to act as the Leaders, Deputy Leaders and Business Managers of those groups.
- (2) The names of the Leader, Deputy Leader and Business Manager appointed by a political group represented on the Council shall be notified to the Chief Executive. The powers of a Leader shall also be exercisable by the Deputy Leader in the absence of the Leader.

**29. Inspection of Documents**

- (1) A Member of the Council may, for the purposes of his/her duty as a Councillor, on application to the Service Director – Legal, Governance and Commissioning, inspect any document which has been considered by the following subject to the provisions of the Local Government (Access to Information) Act 1985 and the Local Government Act 2000 being met in relation to items determined as exempt information:

Council  
a Committee  
a Sub-Committee  
a Panel



For the same purposes a Member may also request that he or she be supplied with a copy of the document. This will be provided if it is practicable.

- (2) Members should not inspect or request a copy of any document relating to a matter in which they have an interest, either a disclosable pecuniary interest or an other interest, as defined in the Council's Code of Conduct. The Service Director – Legal, Governance and Commissioning may decline an application from a Member to inspect a document which would be protected by privilege arising from the relationship of solicitor and client in the event of legal proceedings.
- (3) Copies of all reports and minutes associated with the following meetings shall be kept by the Service Director – Legal, Governance and Commissioning in accordance with the requirements of the Local Government (Access to Information) Act 1985 and the Local Government Act 2000.

Council  
a Committee  
a Sub-Committee  
a Panel

These shall be open to inspection by any Member of the Council during office hours subject to the provisions of those Acts being met in relation to items determined as exempt information.

- (4) The Chief Executive or any Officer of the Council may decline a Member's request to inspect a document, if it contains confidential information. The only exceptions to this rule will be if the Member has a legal right to inspect a document or has obtained the consent of the Cabinet (for matters within its remit) or the Corporate Governance & Audit Committee (for all other matters).
- (5) All requests to inspect documents will be dealt with in accordance with the Council's Access to Information Procedure Rules. Rights in respect of documents under the control of the Cabinet are set out in Rules 26 and 27 of the rules.

### **30. Orders Regarding Works; Inspection of Lands, Premises, etc.**

A Member of the Council shall not issue any order regarding any works which are being carried out by or on behalf of the Council. In addition he or she shall not claim, by virtue of being a Member of the Council, any right to inspect or to enter upon any land or premises which the Council has the power or duty to inspect or enter.

### **31. Representation of the Council on Other Bodies**

If any Member of the Council is nominated or appointed by or on behalf of the Council to serve as a Member of another body, the appointment shall stand until the next Annual Meeting of the Council or until the first meeting thereafter of the Cabinet or Committee making the nomination or appointment unless:

- (a) the constitution of the other body makes different provisions

- (b) the Council (or the Cabinet, in the case of nominations made by it) at any other time resolves otherwise
- (c) the Member resigns from the outside body by the method required by the body or, if none, by notifying in writing to the Service Director - Legal, Governance and Commissioning.

### **32. Interest of Officers in Contracts**

The Service Director - Legal, Governance and Commissioning shall keep a register to record the details of any Officer of the Council who has given notice of a pecuniary interest in a contract as described by Section 117 of the Local Government Act 1972. This register shall be open to inspection by any Member of the Council during office hours.

### **33. Third Party Recording<sup>1</sup> of Committees, Boards and Panels**

- (1) The Council wants to be open and transparent in the way in which it conducts its decision-making.
- (2) Recording is allowed at all meetings of the authority<sup>2</sup> to enable those not present to see or hear the proceedings either as they take place (or later) and to enable the reporting of those proceedings.
- (3) Filming or other recording of all meetings of the authority, whilst those meetings are to the public, is permitted<sup>3 4</sup>.
- (4) Those wishing to record proceedings should, as a courtesy, inform the chair (or clerk) of the meeting of their intentions to record prior to the commencement of the meeting.
- (5) Recordings may only be taken overtly from the area designated for the public and;
  - a. Recording devices must be in silent mode;
  - b. No flash or additional lighting is permitted;
  - c. Recordings must be taken from one fixed position and must not obstruct others from observing proceedings.
- (6) The Chair of a meeting has the authority to instruct that recordings be stopped where<sup>5</sup>:
  - a. The press and public have been excluded from the meeting due to the nature of (exempt or confidential) business being discussed;
  - b. There is public disturbance or a suspension/ adjournment of a meeting;

<sup>1</sup> This includes both video and audio recording.

<sup>2</sup> Including full Council, committees (boards and panels) established by full Council.

<sup>3</sup> In accordance with any regulations relating to such matters.

<sup>4</sup> All agendas will indicate that records may be made at the meeting by third parties; signage will also be displayed indicating this.

<sup>5</sup> In all cases recording equipment must be switched off.

- c. The recording has become disruptive or distracting to the good order and conduct of the meeting;
- d. Continued recording is against the wishes of an individual<sup>6</sup>.

#### **34. Confidentiality of Meetings and Recording of Proceedings**

- (1) The Council's meetings will be held in public unless the Access to Information Procedure Rules permit the public to be excluded. Those Rules also deal with public access to documents.
- (2) Any person attending a meeting may take written notes of the proceedings.
- (3) A Member or employee of the Council shall not disclose to any person any document or any matter contained in any document which is marked "Confidential" or "not for publication" except with the permission of the Council, Cabinet, Committee, Sub-Committee, or Panel which considered the item, or if the person concerned has a legal right to inspect the document.

### **(C) Relating To Committees, Sub-Committees, Panels Etc**

#### **35. Appointment of Committees, Sub-Committees and Panels**

- (1) The Council at its Annual Meeting will establish those Committees which are required by law and such other Committees as are deemed necessary to carry out the work of the Council during the municipal year. The Council may at any other time establish new Committees, which are considered necessary to carry out the work of the Council.
- (2) The Council subject to any statutory provision:-
  - (i) shall not appoint any Member of a Committee so as to hold office later than the next Annual Meeting of the Council;
  - (ii) may at any time dissolve a Committee or alter its membership.
  - (iii) shall not appoint the Leader, the Deputy Leader of the Council or any Members of the Cabinet as members of the Overview and Scrutiny Committee or its Panels;
  - (iv) shall require the post holders of Scrutiny Lead Panel Members – Children (including those who attend Children Homes Regulations 44 visits) and Adoption Panel Members to undertake an Enhanced DBS check in accordance with the DBS policy. The Monitoring Officer may require other members to undertake an enhanced DBS check at her discretion where such checks are justified.

#### Appointment of Sub-Committees, Panels etc.

- (3) At the first meeting of a Committee in the municipal year, it shall establish such Sub-Committees or Panels as are considered necessary. Committees subject to any statutory provision,

<sup>6</sup> Where members of the public raise an objection to being recorded, then those individuals will not be filmed. However continued audio recording will be permitted where the contributions are material to the resolutions to be made.

- (i) shall not appoint any Member of a Sub-Committee or Panel so as to hold office later than the next Annual Meeting of the Council.
  - (ii) may at any time dissolve a Sub-Committee or Panel or alter its membership, and
  - (iii) may delegate to any such Sub-Committee or Panel any power or duty delegated to the Committee by the Council.
- (4) A Committee may establish other Sub-Committees or Panels at any time in the municipal year.

Membership of Committees, Sub-Committees, Panels etc.

- (5) The Members on each Committee, or Sub-Committee or Panel shall (so far as legally required) be selected by each of the Political Groups represented on the Council, on the basis of their proportional representation at the date that the Committee, or Sub-Committee, or Panel is appointed.

Proportional Representation of Political Groups not to apply

- (6) The Council may determine that proportional representation shall not apply to any Committee, and any Committee may make a similar determination with respect to any of its Sub-Committees or Panels subject to compliance with the requirements of Section 17 of the Local Government and Housing Act 1989.

Substitute Members

- (7) At the Annual Meeting of the Council a panel of substitute Members will be established, to be available to replace Members of Committees, and Sub-Committees, (except the Health and Well-being Board, the Overview and Scrutiny Management Committee, the Overview and Scrutiny Panels, District Committees, Employee Relations Sub Committee, the Standards Committee and the Standards Sub-Committee) at each meeting during the municipal year as and when required. The names of those Members included on the panel of substitutes will be placed on every notice for a relevant Committee, or Sub-Committee meeting.

Changes to the Substitute Panel and Members of a Committee, Sub-Committee and Panel

- (8) The Business Manager to each Group shall provide the Service Director - Legal, Governance and Commissioning within six clear working days of notice of
- (i) any change(s) to the names of those Members included on the panel of substitutes approved by the Annual Council Meeting; and
  - (ii) any change(s) to the membership of a Committee or Sub-Committee, which do not involve a member of that substitutes panel.

Notice of Substitutes cannot be revoked

- (9) After notice of a substitution has been given for a Committee, Sub-Committee, or Panel meeting it cannot be revoked. Once a Committee or Sub-Committee, or Panel has begun the Member who has been substituted will only be entitled to attend the meeting as an observer. If a Committee or Sub-Committee or Panel meeting is adjourned the change(s) in membership will stand for the purpose of the reconvened meeting.

Membership of a Sub-Committee or Panel to include persons who are not Members of the parent Committee

- (10) The membership of a Sub-Committee or Panel may include persons who are not Members of the Committee by which the Sub-Committee or Panel was appointed.
- (11) Except in cases required by law or permitted by law and agreed by the appointing body, no co-opted member of any Committee, Sub-Committee or Panel shall be entitled to vote on any matter considered by it.

**36. Observer Attendance by Councillors at Committees, Sub-Committees or Panels**

- (1) Subject to paragraphs (2) and (3) below a Councillor who is not a Member of a Committee, Sub-Committee, or Panel may attend any meeting as an observer. That Councillor shall have the same speaking rights as any Member of that Committee Sub-Committee or Panel. The Councillor cannot:-
- (a) attend for any item of business in which he or she has a disclosable pecuniary interest as defined in the Council's Code of Conduct for Members and Co-optees ("the Code") unless an appropriate dispensation has been granted by the Council's Monitoring Officer.
  - (b) attend for any item of business on the Committee, Sub-Committee or Panel agenda which personally relates to them as a Councillor or otherwise.
  - (c) remain in a meeting of the Committee, Sub-Committee, or Panel after receiving advice from the Service Director – Legal, Governance and Commissioning that he or she should be excluded from the meeting.
  - (d) remain with a Committee, Sub-Committee, or Panel which is exercising a quasi- judicial or administrative function when it is considering its decision.
- (2) Any Member attending a meeting of a Committee, Sub-Committee, or Panel shall under the Agenda Item of "Membership of Committees, Sub-Committees etc." identify themselves as an observer.
- (3) Councillors who attend a Planning Committee or Sub-Committee but who:
- (a) Are not members of that Planning Committee or Sub-Committee; or

- (b) Are members of that Planning Committee or Sub-Committee but who have indicated that they will not be voting on an application because, for example, they believe that they may have predetermined it or wish to make representations rather than participate in the determination of the application, shall be entitled to speak once for a maximum of five minutes.

### **37. Attendance by Members of the Public - Permission to Speak**

Any member of the public attending a Committee, Sub-Committee, or Panel (or any other meeting open to the public) may, with the permission of the Mayor/Chair, speak on any item of business to be transacted at that meeting. This speaking right shall not apply to persons who have presented a deputation on the same subject matter at the same meeting. That person shall not be permitted to remain in the meeting when consideration is being given to confidential or exempt information.

### **38. Appointment of Chairs and Deputy Chairs of Committees and Sub-Committees/Panels, Lead Members of Scrutiny Panels and Appointments to Outside Bodies, etc.**

- (1) At the Annual Meeting of the Council, the Council will -
  - (i) appoint the Chairs and if considered appropriate the Deputy Chairs of Committees. In default of such appointments by the Council, every Committee, shall be empowered to make the appointment subject to confirmation by the Council;
  - (ii) appoint the Lead Members of the Standing Overview and Scrutiny Panels;
  - (iii) appoint the Members of Outside Bodies, except where membership is appointable by the Cabinet or a Committee, and
  - (iv) agree the dates of meetings of Committees, Sub-Committees, and Panels for the Municipal Year. The alteration of a date may be determined by the respective Chair in consultation with the Service Director - Legal, Governance and Commissioning provided that 7 days' notice of the revised date can be given.
- (2) The Council may at any other time appoint the Chairs (and if considered appropriate the Deputy Chairs of Committees) and the Lead Members of Scrutiny Panels, for the remainder of the Municipal Year.
- (3) At the first meeting of each Committee in the Municipal Year the Chair and if considered appropriate Deputy Chair of its Sub-Committees or Panels shall be appointed for that year.
- (4) Any Committee shall when appointing a Sub-Committee, or Panel under Rule 35(4), also appoint a Chair and if considered necessary a Deputy Chair.
- (5) In the absence of the Chair and Deputy Chair or Lead Member of the Scrutiny Panel ( where appropriate) from a meeting, a Chair shall be chosen for the duration of that particular meeting or until the official Chair, Deputy or

Lead Member (as recognised by Council) arrives. The Chair, Deputy Chair or Lead Member (as appropriate) will then assume the Chair when the item under discussion at the time of his or her arrival has been dealt with.

- (6) The Chair and Deputy Chair of every Committee, Sub-Committee/ Panel and the Lead Member of each Scrutiny Panel shall be a Member of the Council and a Member of the Committee/ Sub-Committee/ Panel which they are appointed to Chair.
- (7) A Member of a Committee, Sub-Committee, or Panel may resign membership and the Chair or Deputy Chair of a Committee, Sub-Committee/Panel/ Sub-Group or the Lead Member of a Scrutiny Panel, may resign office by giving notice in writing to the Chief Executive. Any such resignation shall take effect on the date of receipt of the notice.
- (8) The removal from office of the Chair or Deputy Chair of a Committee may only be agreed by the Council on the recommendation of the Corporate Governance & Audit Committee. The removal from office of the Chair of the Overview and Scrutiny Management Committee or any Lead Member of a Scrutiny Panel may only be agreed by Council on the recommendation of that Committee. Only the Committee which appointed a Sub-Committee or Panel may remove the Chair or Deputy Chair of that Sub-Committee or Panel from office.
- (9) No Member of the Cabinet may be appointed as Chair of Appeals Panel, Corporate Governance and Audit Committee, Licensing and Safety Committee, a Planning Area Sub-Committee, Overview and Scrutiny Management Committee (or as the Chair of Health Scrutiny Panel), or Standards Committee.

### **39. Quorum of Committees, Management Boards, Sub-Committees and Panels**

- (1) Except where ordered by the Council, or authorised by statute, business shall not be transacted at a meeting of any Committee, unless at least one third of the number of the body are present, rounded up to the next whole number if one third is not a whole number.
- (2) Except where ordered by the Council, or authorised by statute or by the Committee which has appointed it, business shall not be transacted at a meeting of any Sub-Committee or Panel unless at least one third of the number of the Sub-Committee or Panel are present, rounded up to the next whole number if one third is not a whole number.
- (3) If the Chair declares there is not a quorum present then the meeting will adjourn immediately. Remaining business will be considered at a date and time fixed by the Chair. If no such date is fixed the business will be considered at the next meeting.
- (4) Where a Committee or Sub-Committee sits to consider an appeal, only those Members who are eligible to attend shall count for the purpose of a quorum.
- (5) No quorum may be less than two Members.

- (6) Committees, Sub-Committees and Panels shall be entitled to meet and transact business prior to the appointment of Co-opted Members.
- (7) Co-opted Members of a Committee, Sub-Committee or Panel shall only be counted for the purposes of a quorum at a meeting, if the Committee, Sub-Committee or Panel has no delegated powers and can only make recommendations.

#### **40. Meetings of Committees, Sub-Committees and Panels and Agenda Papers**

- (1) Every scheduled meeting of a Committee, Sub-Committee or Panel shall be summoned by the Service Director - Legal, Governance and Commissioning through the issue of a notice for the meeting where practical at least 7 days prior to the meeting. The items of business for consideration at the meeting will be set out in the Agenda for the meeting. No additional items of business will be allowed unless the Chair for the meeting determines that they are urgent.
- (2) The Leader of each Political Group (or in his/her absence the Deputy Leader of each Group) shall notify the Chief Executive, in writing, not less than 14 days before the date of the intended meeting to which the item should be submitted of any item(s) which he/she wishes to include on the Agenda of any Committee, Sub-Committee or Panel of the Council. The notification shall include such information as is necessary to enable the Chief Executive to determine whether the subject matter requires consideration by the Committee, Sub-Committee or Panel. The Chief Executive shall determine the appropriate body to which the item shall be referred and notify the Group Leader (Deputy Group Leader) of the date of that meeting which shall be the next available meeting. Any item which, in the opinion of the Chief Executive is out of order, illegal, irregular or improper shall not be accepted and the decision of the Chief Executive shall be final.
- (3) The Chair (or Deputy Chair) of a Committee, Sub-Committee or Panel with the approval of the Leader of the Council, may instruct the Service Director – Legal, Governance and Commissioning to call a meeting of a Committee, Sub-Committee or Panel at any time.
- (4) An additional meeting of a Committee, Sub-Committee or Panel can be summoned if the Service Director - Legal, Governance and Commissioning receives a request in writing from at least half of the Members of the Committee, Sub-Committee or Panel to do so. Service Director - Legal, Governance and Commissioning in consultation with the Chair may also summon a special meeting upon any emergency. The summons for such meetings shall set out the business for the meeting and no other items of business will be considered.

#### **41. Procedure Rules to apply to Committees, Sub-Committees and Panels**

Procedure Rules 9, 10, 11, 15 and 18 (except those parts which relate to speaking more than once) 20, 21, 22, 25, 26, 27 and 34 shall, with any necessary modifications, apply to meetings of Committees, Sub-Committees and Panels.



#### **42. Voting in Committees, Sub-Committees or Panels**

- (1) Voting at a meeting of a Committee, Sub-Committee or Panel shall be by a show of hands or by use of the electronic voting equipment, where appropriate. Alternatively the Committee, Sub-Committee or Panel may require the Service Director - Legal, Governance and Commissioning to take a vote by ballot on any motion or amendment which is put to the meeting.
- (2) The Chair at a Committee, Sub-Committee or Panel meeting shall have a second or casting vote in the case of an equality of votes.
- (3) If there are more than two persons nominated for any appointment to be filled and there is no overall majority in favour of one person the following procedure will apply. The name of the person (or persons, if equal) who has received the least number of votes will be struck off the list of nominations and a fresh vote will be taken. This procedure will continue until a majority vote is given in support of one of the persons nominated.
- (4) At any meeting of a Committee, Sub-Committee or Panel (including joint bodies etc.) a Member may require that his or her decision in voting for or against a question (or his or her abstention from voting) be recorded in the Minutes of the meeting. Such a requirement must be proposed by the Member immediately after the vote is taken.
- (5) At any meeting of a Strategic Planning Committee or a Planning Sub-Committee a recorded vote of decisions on Planning Applications shall take place.

#### **43. Working Parties, etc**

- (1) Any Committee, Sub-Committee or Panel may establish a Working Party or Sub-Group (which may include Officers) to undertake a detailed study of any matter(s). A Working Party or Sub-Group shall not have delegated powers and shall not constitute a formal Sub-Committee.
- (2) The terms of reference for any Working Party or Sub-Group shall state their objectives and dates etc. for reporting on their findings.
- (3) The Chair (and Deputy Chair) of a Committee, Sub-Committee or Panel responsible for establishing a Working Party or Sub-Group if not appointed in their own right, shall be entitled to attend and speak at all meetings.

#### **44. Reports to Council**

- (1) The Chair may request the Service Director - Legal, Governance and Commissioning to include in the report of a Committee, a reference to any particular matters which were considered in the meeting.
- (2) In accordance with the Agenda for the Council meeting.
  - (a) The Chair of a Committee, (or in their absence any other Member of the Committee) shall propose a motion to seek the Council's approval on

any matter expressly referred by the Committee, to Council for determination.

- (b) The Mayor (or in his/her absence the Deputy Mayor or any other Member of the Council) shall propose a motion to seek the Council's approval to the receipt of any report of a meeting of Cabinet or any Committee.

#### **45. Reports of Sub-Committees and Panels**

A report of the proceedings of a Sub-Committee or Panel meeting shall be presented to the next convenient meeting of the parent Committee or Cabinet.

### **(D) Miscellaneous**

#### **46. Variation and Revocation of Procedure Rules**

- (1) Any motion to add to, vary or revoke these or any other Procedure Rules shall, when proposed and seconded, stand adjourned without discussion to the next ordinary meeting of the Council.
- (2) Paragraph (1) of this Rule shall not apply to any review of Procedure Rules proposed at the Annual Meeting of the Council or to a specific recommendation by the Cabinet or a Committee to the Council to add to, vary or revoke any Procedure Rules.

#### **47. Suspension of Procedure Rules**

- (1) At any meeting a motion may be moved to suspend the use of any of the preceding Procedure Rules for any item(s) of business included on the Agenda for that meeting. The Motion should specify the relevant Council Procedure Rule/s to be suspended.
- (2) A motion to suspend Procedure Rules shall not be moved without notice unless at least one half of the Members of the Council, Committee, Sub-Committee or Panel are present.

#### **48. Standards of Conduct in Public when representing the Council**

Members have an obligation when taking part in Council and Committee meetings etc, held in public to comply with the protocol on standards of conduct in public approved by the Standards Committee and incorporated in Part 5 of the Constitution.

#### **49. Appointment of Leader or Mayor Other than at Annual Meetings of Council**

- (1) In the event that the Leader of the Council resigns part way through his or her term of office, or is incapacitated (to such an extent that they are no longer able to reasonably carry out their duties and responsibilities as Leader) or otherwise dies in office the Deputy Leader will act as Leader of the Council in accordance with Article 7 of the Council Constitution until such time as an election takes place and a new Leader of the Council is successfully elected. Such an election shall take

place at the next possible meeting of Council or at an Extraordinary Meeting of Council convened for such a purpose.

- (2) In the event that the Mayor resigns from office part way through his or her term of office or otherwise dies in office the Deputy Mayor shall act in his or her place for the remainder of the Mayors term of office.

## **50. Relevant Definitions**

“Municipal Year” defines the period between each meeting of Annual Council, which normally take place during May.

“Group Leaders” is the term referring to the Leader of each political group which is represented on the Council.

“Key Discussion” is the description of format for a presentation followed by a discussion and debate which takes place over 60 minutes at ordinary meetings of the Council which are designated as Key Discussion meetings.

“Council Petitions Debate” is the description of part of the Council’s Petition Scheme as referred to in CPR 9a, which provides that if a petition is validated to be containing 3000 signatures (or more) from different persons on a subject matter that is relevant to the powers and duties of Kirklees, a debate on the matter shall be scheduled at a meeting of Council.

## **51. Remote Meetings**

- (1) *The Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020* make provision for remote attendance at, and remote access to, council meetings held on or before 7 May 2021.
- (2) The regulations enable the council to hold meetings without all, or any, of the members being physically present in a room. This allows for remote meetings through electronic, digital, virtual locations, live webcast, live interactive streaming, video and telephone conferencing.
- (3) The ‘place’ at which the meeting is held may be a council building, or where the organiser of the meeting is located, or an electronic, digital or virtual location, a web address, or a conference call telephone number.
- (4) In order for members to be able to attend meetings of the council remotely, they need not be physically present, provided they are able to hear and be heard (and, where possible, see and be seen by) other councillors and members of the public attending remotely or in person.
- (5) The procedure rules in this constitution apply to remote meetings in the same way as they do for other meetings of the council except where they conflict, in which case this procedure rule takes precedence over other procedure rules in relation to the governance of remote meetings.

## **Process**

- (6) The council facilitates remote attendance and access to its meetings through the medium of Microsoft Teams Live, which enables the following to take place:
  - (a) Contributions to be received from people using a wide variety of devices, not all of whom are on the council network;
  - (b) Being accessible to both participants and members of the public who are not taking an active role but just observing;
  - (c) Presentations and documents (maps, plans, etc) to be displayed and spoken to.
- (7) Before the meeting, any document to be referred to during the meeting should be shared with participants and published (where appropriate) in advance on the council's website, and those presenting should ensure that every page and slide is numbered, wherever possible.
- (8) All participants should refer separately to Part 5.11 of the Constitution, the Protocol for Online Meetings.
- (9) The Chair and the Officer of the Council assisting the meeting may:
  - (a) Pause (adjourn) the meeting by taking down the stream (live feed) from public viewing and then resuming it when needed.
  - (b) Mute someone speaking at any time.
  - (c) Mute everyone speaking except themselves at any time.
  - (d) Allocate different levels of access to people logging in
  - (e) Allow the committee to deliberate in private by using available technology to ensure only those members and officers can participate. The live stream can then be resumed when needed.

## **Questions by members of the public**

- (10) Only written questions from the public may be considered at remote meetings. Any questions should be submitted in accordance with the deadline as set out on the published agenda. The Leader or relevant Cabinet Member will provide an oral response to any questions received, or if they are not able to do so, a written response will be provided. A maximum of 4 written questions may be submitted per person.

## **Voting**

- (11) To undertake a vote at a remote meeting, the Chair, or an Officer of the Council supporting the meeting, will perform a roll-call of all Members present and ask them to state their voting intention (For, Against or Abstain). At the conclusion of the vote the Chair or Officer shall announce whether the motion has been passed or lost.

## **Access to information**

- (13) The requirement to ensure meetings are open to the public includes access by remote means, including video conferencing, live webcast

and live interactive streaming. Where a meeting is accessible to the public through such remote means, the meeting is open to the public whether or not members of the public are able to attend the meeting in person.

- (14) Any requirements for the authority to ensure publication, posting or making available a document for inspection at offices of the council include publication on the council's website.

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**Name of meeting:** Corporate Governance & Audit (CGA) and Council

**Date:** 22 April 2021 (CGA) & 19 May 2021 (Council)

**Title of report:** Proposed revisions to the terms of reference for the Health & Wellbeing Board

**Purpose of report:** The purpose of this report is to seek approval for the proposed revisions to the Terms of Reference for the Health and Wellbeing Board

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	No
Key Decision - Is it in the <a href="#">Council's Forward Plan (key decisions and private reports?)</a>	No
The Decision - Is it eligible for call in by Scrutiny?	N/A
Date signed off by <u>Strategic Director</u> & name	Rachel Spencer-Henshall – 31.03.21
Is it also signed off by the Service Director (Finance)?	Eamonn Croston – 31.03.21
Is it also signed off by the Service Director for Legal Governance and Commissioning?	Julie Muscroft – 12.04.21
Cabinet member <a href="#">portfolio</a>	Give name of Portfolio Holder Cllr Musarrat Khan - Health and Social Care

**Electoral wards affected:** N/A

**Ward councillors consulted:** N/A

**Public or private:** PUBLIC

**(Have you considered GDPR?)**

**This report contains no information that falls within the scope of the General Data Protection Regulation**

## 1. Summary

In accordance with The Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013, if the Council wishes to alter the voting rights and membership the board must first be consulted on any proposed amendments.

On the 25 March 2021 the Health and Wellbeing Board, considered and approved the amendments to the terms of reference.

## 2. Information required to take a decision

2.1 The national, regional and local context the Board is operating within has undergone significant changes over the past 12-18 months, including:

- Response to the Covid-19 pandemic.
- The West Yorkshire Health and Care Partnership is established as the 'Integrated Care System' and the new Partnership Board has been meeting formally since June 2019
- Further development of the West Yorkshire Joint Committee of Clinical Commissioning Groups, the West Yorkshire Association of Acute Trusts and the West Yorkshire Mental Health Services Collaborative
- Greater Huddersfield CCG and North Kirklees CCG have agreed to formally merge on 1<sup>st</sup> April to form Kirklees CCG
- The 9 Primary Care Networks in Kirklees are now well established.
- The Kirklees Integrated Commissioning Board and the Kirklees Integrated Provider Board has evolved into the Kirklees Integrated Health and Care Leadership Board.
- The Children and Young People's Partnership has been re-established and developed a new Children and Young People's Plan. The Health and Wellbeing Board has taken on formal oversight of this work.

2.2 The current membership as set out in the Terms of Reference was amended in May 2019 for the first time since the Board was established in April 2013. The membership reflected the requirement as set out in the Health and Social Care Act 2012. Core membership that health and wellbeing boards must include:

- at least one councillor from the relevant council
- the director of adult social services
- the director of children's services
- the director of public health
- a representative of the local Healthwatch organisation
- a representative of each relevant clinical commissioning group (CCG)
- any other members considered appropriate by the council'

In addition, there are 'invited observers' from all the key local health and care provider organisations in Kirklees.

2.4 The changes to membership have reflected the shift to a much more collaborative culture which is at the core of both the Kirklees Health and Wellbeing Plan and the NHS Long Term Plan.

2.5 The Government published the White Paper 'Working together to improve health and social care for all' on the 11<sup>th</sup> February 2021. The White paper reinforces several concepts that have been an important aspects of our approach in Kirklees and West Yorkshire for several years, including

- 'primacy of place' which the White Paper recognises 'is most usually aligned with either CCG or local authority boundaries'
- 'working together to integrate care'. The proposal is to legislate, in this calendar year, to embed 2 forms of integration
  - within the NHS to remove some of the cumbersome boundaries to collaboration and to make working together an organising principle



- greater collaboration between the NHS and local government, as well as wider delivery partners, to deliver improved outcomes to health and wellbeing for local people.

The Government intends to legislate to create a statutory basis for integrated care system (ICSs), including an ICS Health and Care Partnership and an ICS NHS Body. On current timeframes, and subject to Parliamentary business, the government's plan is that the legislative proposals outlined in this White Paper will begin to be implemented in 2022. Therefore as the ICS NHS Body will take on many of the functions of CCGs, it is expected that CCGs will be dissolved in March 2022.

Health and Wellbeing Boards will remain in place and will continue to have an important responsibility at a local/place-based level to bring local partners together, as well as developing the Joint Strategic Needs Assessment and Joint Health and Wellbeing Strategy, which both HWBs and ICSs will have to have regard to. The Government expects to produce further guidance following legislation being passed on how these bodies can work together closely to complement each other's roles, and to share learning and expertise.

### **3. Proposals**

- 3.1 Corporate Governance and Audit Committee and Council are asked to consider and agree the proposed revision to the Terms of Reference for 2021-22, specifically; (See appendix 1)
  - Amending the membership of the Board to
    - reflect the creation of a single CCG for Kirklees. The 3 representatives in 2021/22 will be the Clinical Chair, Accountable Officer and the Lay Member: Patient & Public Involvement
    - include a nominated representative of the Kirklees Integrated Health and Care Leadership Board to replace the representative from the now defunct Integrated Provider Board
  - Include representation of the Primary Care Networks in recognition of their role in establishing the new model of integrated care and as system and clinical leaders
  - Reflecting the Board's role in providing oversight of the Children and Young People's Partnership and the Children and Young People's Plan, and recognising the Director of Children's Services role as including representing the Children & Young Peoples Partnership.
- 3.2 The Health and Wellbeing Board recognises that the proposed legislation and national guidance will necessitate changes to the local governance arrangements for health and social care being established by April 2022. A period of running in shadow form might be required prior to the changeover. Any substantive changes to the Board's Terms of Reference will be discussed at the relevant Board meeting, and proposals presented to the Corporate Governance and Audit Committee for approval at the earliest possible opportunity.

### **4. Implications for the Council**

#### **4.1 Working with People**

**4.2 Working with Partners**

The existing partnership arrangements between the council and partners will be strengthened

**4.3 Place Based Working**

The addition of a member to represent the Primary Care Networks will strengthen the link between the Board and the emerging place-based working arrangements.

**4.4 Improving outcomes for children**

The introduction of the specific responsibility for the Board to provide oversight of the Children and Young Peoples partnership will increase the focus of the Board on improving outcomes for children.

**4.5 Other (eg Legal/Financial or Human Resources)**

**5. Consultees and their opinions**

The Health and Wellbeing Board approved the revisions to the terms of reference

**6. Next steps and timelines**

Following consideration by Corporate Governance and Audit Committee, on the 22<sup>nd</sup> April 2021, the report will progress to Annual Council on 19<sup>th</sup> May 2021 for approval.

**7. Officer recommendations and reasons**

That the revised Terms of Reference of the Health and Wellbeing Board be approved.

**9. Cabinet portfolio holder's recommendations**

Not applicable

**10. Contact officer(s)**

Phil Longworth, Senior Manager – Integrated Support Kirklees Council

[Phil.longworth@kirklees.gov.uk](mailto:Phil.longworth@kirklees.gov.uk)

Tel : 01484 221000

Jenny Bryce-Chan, Principal Governance Officer

[Jenny.bryce-chan@kirklees.gov.uk](mailto:Jenny.bryce-chan@kirklees.gov.uk)

Tel: 01484 221000

**11. Background Papers and History of Decisions**

**12. Service Director responsible**

Julie Muscroft, Service Director, Legal Governance and Commissioning

## Health and Wellbeing Board Membership and Terms of Reference

Proposed additions are shown in red and deletions are shown as crossed-out.

### Membership

Membership of the Board includes voting and non-voting members as set out below:

#### Voting members

- Three Members of Kirklees Council's Cabinet, one of whom may be the Leader
- One Senior Councillor from the main opposition group
- One Councillor from a political group other than the administration and main opposition group
- Director for Children Services (including as representative of the Children & Young Peoples Partnership)
- Director for Public Health
- Director of Adult Social Care
- One representative of local Kirklees Healthwatch
- ~~Three representatives of North Kirklees Clinical Commissioning Group~~
- ~~Three representatives of Greater Huddersfield Clinical Commissioning Group~~
- Three representatives of Kirklees Clinical Commissioning Group
- ~~One representative of Kirklees Integrated Provider Board~~
- One representative of Kirklees Integrated Health and Care Leadership Board

#### Non-voting members

- Chief Executive Kirklees Council
- Representative of NHS England (Statutory requirement: to participate in the Board's preparation of JSNA / JHWS and if requested to participate in exercise of the commissioning functions of the Board in relation to the Kirklees HWB Area)

Nominated representatives of significant partners:

- Kirklees Primary Care Networks, which could come from one of the GP Federations acting on their behalf
- Mid Yorkshire Hospitals Trust
- Calderdale and Huddersfield Foundation Trust
- South West Yorkshire Partnership Foundation Trust
- Current community health provider
- West Yorkshire Police

Representative of Kirklees Council Overview and Scrutiny

### Terms of Reference

The Health and Wellbeing Board is a statutory Committee of the Council bringing together the NHS, the Council and partners to:

- a) Improve the health and wellbeing of the people in their area, reduce health inequalities and promote the integration of services.
- b) Develop, publish and own the Joint Strategic Needs Assessment for Kirklees (JSNA) (which is known locally as the Kirklees Joint Strategic Assessment (KJSA)) to inform local planning, commissioning and delivery of services and meet the legal responsibilities of Kirklees Council and the Clinical Commissioning Groups.
- c) Publish and maintain a statement of needs for pharmaceutical services across the Kirklees area.
- d) Develop, publish and own the Joint Health and Wellbeing Strategy for Kirklees, based on the JSNA and other local intelligence, to provide the overarching framework for planning, commissioning and delivery of services.
- e) Provide the structure for overseeing local and regional planning and accountabilities for health and wellbeing related services and interventions and the development of sustainable integrated health and social care systems **including children and young people's partnership arrangements**.
- f) Promote integration and partnership working with the NHS, social care, public health and other bodies in the planning, commissioning and delivery of services to improve the wellbeing of the whole population of Kirklees, including as part of regional working.
- g) Ensure the involvement and engagement of service users, patients and the wider public in planning, commissioning and delivery of services to improve the wellbeing of the whole population of Kirklees.
- h) Provide leadership and oversight of key strategic programmes, such as the Kirklees Health and Wellbeing Plan, Better Care Fund, and **Children & Young Peoples Plan** and to encourage use of associated pooled fund arrangements where appropriate.
- i) Provide assurance that the commissioning and delivery of plans of partners have taken sufficient account of the Joint Health and Wellbeing Strategy and the Joint Strategic Needs Assessment.
- j) Ensure that the Council's statutory duties in relation to health protection arrangements and plans are delivered through the work of its sub-committee, the Kirklees Health Protection Board.
- k) Exercise any other functions of the Council delegated to the Board by the Council.

**Voting Rights**

See membership list

In accordance with The Local Authority (Public Health, Health and Wellbeing boards and Health Scrutiny) Regulations 2013, if the Council's wishes to alter the voting rights and membership the board must first be consulted on any proposed amendments.

**Substitute Members**

Voting Board Members can send a substitute to represent them should they be unable to attend and if appropriate cast their vote.

**Quorum**

The quorum for the board will be attendance by 50% of the accountable bodies and 50% of the membership.

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**Name of meeting: Annual Council**

**Date: 19 May 2021**

**Title of report: Dates of Council Meetings – 2021 to 2022 Municipal Year**

**Purpose of report: (Reference from Corporate Governance and Audit Committee)**

**To determine dates and times for meetings of Council for the 2021-2022 municipal year**

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not applicable
Key Decision - Is it in the <a href="#">Council's Forward Plan (key decisions and private reports)?</a>	No
The Decision - Is it eligible for "call in" by Scrutiny?	No
Signed off by Director	N/A
Is it also signed off by the Service Director for Financial Management, IT, Risk and Performance?	N/A
Is it also signed off by the Service Director - Legal Governance and Commissioning	25.02.21
Cabinet Member portfolio	Not applicable

**Electoral wards affected: Not applicable**

**Ward councillors consulted: Not applicable**

**Public or Private Status: Public**

**GDPR Implications: Not applicable**

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## 1. Summary

Council Procedure Rule 2 (1) advises that the dates of ordinary Council Meetings in each Municipal Year will be determined by the Council following recommendations made by the Corporate Governance and Audit Committee.

Council Procedure Rule 5(1) states that there shall be two types of Ordinary meeting of the Council, one which focuses on Holding the Executive to Account, and the other for Key Discussions. No less than four ordinary meetings must be designated as Holding the Executive to Account.

The following dates/times are proposed, all meetings to be held at 5.30pm.

The meeting of Annual Council, scheduled for 18 May 2022, will commence (with a civic ceremony) at 12.30pm.

Date	Council Meeting
2021	
Wednesday 14 <sup>th</sup> July	Holding Executive to Account
Wednesday 8 <sup>th</sup> September	Key Discussion
Wednesday 13 <sup>th</sup> October	Holding Executive to Account
Wednesday 17 <sup>th</sup> November	Key Discussion
Wednesday 8 <sup>th</sup> December	Holding Executive to Account
2022	
Wednesday 19 <sup>th</sup> January	Key Discussion
Wednesday 16 <sup>th</sup> February	Budget Council
Wednesday 16 <sup>th</sup> March	Holding Executive to Account
Wednesday 18 <sup>th</sup> May	Annual Council

## 2. Information required to take a decision

Not applicable

## 3. Implications for the Council

### 3.1 Early Intervention and Prevention (EIP)

Not applicable



### **3.2 Economic Resilience (ER)**

Not applicable

### **3.3 Improving Outcomes for Children**

Not applicable

### **3.4 Reducing demand of services**

Not applicable

## **4. Consultees**

- Leading Members have been advised of the proposed dates.
- Corporate Governance and Audit Committee - 9 March 2021 (recommended that the proposed dates be approved).

## **5. Next steps**

That, subject to approval, the dates be confirmed for 2021-2022.

## **6. Officer recommendation**

That the schedule of Council meetings for the 2021-2022 municipal year be approved.

## **7. Cabinet portfolio holder recommendation**

Not applicable.

## **8. Contact officer**

Samantha Lawton, Head of Governance

## **9. Background Papers and History of Decisions**

Not applicable.

## **10. Service Director responsible**

Julie Muscroft, Service Director - Legal, Governance and Commissioning

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**Name of meeting: Annual Council**

**Date: 19 May 2021**

**Title of report: Appointment of Committees, Boards and Panels**

To determine the appointment of the Committees, Boards and Panels of Council for the 2021/2022 Municipal Year.

<b>Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?</b>	N/A
<b>Key Decision - Is it in the <a href="#">Council's Forward Plan (key decisions and private reports?)</a></b>	No
<b>The Decision - Is it eligible for call in by Scrutiny?</b>	No
<b>Date signed off by <a href="#">Strategic Director</a> &amp; name</b>	N/A
<b>Is it also signed off by the Service Director for Finance IT and Transactional Services?</b>	N/A
<b>Is it also signed off by the Service Director for Legal, Governance and Commissioning Support?</b>	Julie Muscroft – 10.05.21
<b>Cabinet member <a href="#">portfolio</a></b>	N/A

**Electoral wards affected: Not applicable**

**Ward councillors consulted: Not applicable**

**Public or private: Public**

**(Have you considered GDPR?)**

Yes – no individuals have been identified in this report.

## 1. **Summary**

Council is asked to determine the appointment of the Committees, Boards and Panels of Council for the 2021/2022 Municipal Year.

## 2. **Information required to take a decision**

Council Procedure Rule 35 (1) requires the Council to establish Regulatory Committees and any other Committees which it considers to be necessary in order to discharge the functions of the Local Authority.

The structure proposed for the 2021/2022 Municipal Year is in line with the Council/Executive/Overview and Scrutiny Arrangements, as described within the Council's Constitution.

All Committees, the Appeals Panel and Health and Wellbeing Board Council require approval by Council in accordance with Council Procedure Rule 35 (1).

Any Sub-Committees of these Committees and Panels are established/approved by their parent Committee or Panel.

Appeals Panel  
Corporate Governance & Audit Committee  
Corporate Parenting Board  
Health & Wellbeing Board  
Licensing & Safety Committee  
Overview & Scrutiny Management Committee  
Personnel Committee  
Standards Committee  
Strategic Planning Committee  
Statutory Officer Disciplinary Committee

## 3. **Implications for the Council**

### 3.1 **Early Intervention and Prevention (EIP)**

Not applicable

### 3.2 **Economic Resilience (ER)**

Not applicable

### 3.3 **Improving Outcomes for Children**

Not applicable

### 3.4 **Reducing demand of services**

Not applicable

### **3.5 Other (eg Legal/Financial or Human Resources)**

Not applicable

#### **4. Consultees and their opinions**

Not applicable

#### **5. Next steps**

The Committees, Boards and Panels will be established in accordance with the decision of Council.

#### **6. Officer recommendations and reasons**

That approval be given to the establishment of the Committees, Boards and Panels as listed within this report, in accordance with the Council's Constitution.

#### **7. Cabinet portfolio holder's recommendation**

Not applicable

#### **8. Contact officer**

Samantha Lawton, Head of Governance

#### **9. Background Papers and History of Decisions**

Not applicable

#### **10. Service Director responsible**

Julie Muscroft – Legal, Governance and Commissioning

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# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Wednesday	19 May 2021	12.30 pm	Council - ANNUAL MEETING
Thursday	20 May 2021		
Friday	21 May 2021		
Monday	24 May 2021		
Tuesday	25 May 2021		
Wednesday	26 May 2021		
Thursday	27 May 2021		
Friday	28 May 2021		
Monday	31 May 2021		<b>BANK HOLIDAY</b>
Tuesday	01 June 2021	3.00pm	Cabinet
Wednesday	02 June 2021	10:00am	Licensing Panel
Thursday	03 June 2021	1.00pm	Strategic Planning Committee
Friday	04 June 2021		
Monday	07 June 2021		
Tuesday	08 June 2021	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Wednesday	09 June 2021		
Thursday	10 June 2021	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	11 June 2021		
Monday	14 June 2021		
Tuesday	15 June 2021	10.00am	Overview & Scrutiny Management Committee
Wednesday	16 June 2021		
Thursday	17 June 2021	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	18 June 2021		
Monday	21 June 2021		
Tuesday	22 June 2021	3.00pm	Cabinet
Wednesday	23 June 2021	10.00am	Cabinet Committee Local Issues
Thursday	24 June 2021	1.00pm	Health and Wellbeing Board
Friday	25 June 2021		
Monday	28 June 2021		
Tuesday	29 June 2021	2.00pm	Corporate Parenting Board
Wednesday	30 June 2021		

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Thursday	01 July 2021	1.00pm	Strategic Planning Committee
Friday	02 July 2021		
Monday	05 July 2021	10.00am	Corporate Scrutiny Panel
Tuesday	06 July 2021		
Wednesday	07 July 2021	10.00am	Licensing Panel
Thursday	08 July 2021	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	09 July 2021		
Monday	12 July 2021		
Tuesday	13 July 2021	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Wednesday	14 July 2021	5.30pm	Council
Thursday	15 July 2021	2.00pm	Health and Wellbeing Board
Friday	16 July 2021		
Monday	19 July 2021		
Tuesday	20 July 2021		
Wednesday	21 July 2021	10.00am	Cabinet Committee Local Issues
Thursday	22 July 2021	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	23 July 2021	10.00am	Corporate Governance and Audit Committee
Monday	26 July 2021		
Tuesday	27 July 2021	3.00pm	Cabinet
Wednesday	28 July 2021	2.15pm	Children's Scrutiny Panel
Thursday	29 July 2021	1.00pm	Strategic Planning Committee
Friday	30 July 2021		
Monday	02 August 2021		
Tuesday	03 August 2021	10.00am	Overview & Scrutiny Management Committee
Wednesday	04 August 2021	10.00am	Licensing Panel
Thursday	05 August 2021	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	06 August 2021		
Monday	09 August 2021		
Tuesday	10 August 2021		
Wednesday	11 August 2021		
Thursday	12 August 2021		



# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Friday	13 August 2021	10.00am	Corporate Governance and Audit Committee
Monday	16 August 2021	10.00am	Corporate Scrutiny Panel
Tuesday	17 August 2021	10.00am	Corporate Parenting Board
Wednesday	18 August 2021		
Thursday	19 August 2021	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	20 August 2021		
Monday	23 August 2021		
Tuesday	24 August 2021	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Wednesday	25 August 2021		
Thursday	26 August 2021	1.00pm	Strategic Planning Committee
Friday	27 August 2021		
Monday	30 August 2021		<b>BANK HOLIDAY</b>
Tuesday	31 August 2021	3.00pm	Cabinet
Wednesday	01 September 2021	10.00am	Licensing Panel
Thursday	02 September 2021	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	03 September 2021		
Monday	06 September 2021		
Tuesday	07 September 2021		
Wednesday	08 September 2021	5.30pm	Council
Thursday	09 September 2021		
Friday	10 September 2021		
Monday	13 September 2021		
Tuesday	14 September 2021	10.00am	Standards Committee
Wednesday	15 September 2021	2.15pm	Children's Scrutiny Panel
Thursday	16 September 2021	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	17 September 2021		
Monday	20 September 2021		
Tuesday	21 September 2021	3.00pm	Cabinet
Wednesday	22 September 2021		
Thursday	23 September 2021	1.00pm	Strategic Planning Committee
Friday	24 September 2021	10.00am	Corporate Governance and Audit Committee

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Monday	27 September 2021	10.00am	Corporate Scrutiny Panel
Tuesday	28 September 2021	10.00am	Overview & Scrutiny Management Committee
Wednesday	29 September 2021	10.00am	Cabinet Committee Local Issues
Thursday	30 September 2021	2.00pm	Health and Wellbeing Board
Friday	01 October 2021		
Monday	04 October 2021		
Tuesday	05 October 2021	10.00am	Corporate Parenting Board
Wednesday	06 October 2021	10.00am	Licensing Panel
Thursday	07 October 2021	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	08 October 2021		
Monday	11 October 2021		
Tuesday	12 October 2021	3.00pm	Cabinet
Wednesday	13 October 2021	5.30pm	Council
Thursday	14 October 2021	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	15 October 2021		
Monday	18 October 2021		
Tuesday	19 October 2021	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Wednesday	20 October 2021	2.15pm	Children's Scrutiny Panel
Thursday	21 October 2021	1.00pm	Strategic Planning Committee
Friday	22 October 2021		
Monday	25 October 2021		
Tuesday	26 October 2021		
Wednesday	27 October 2021		
Thursday	28 October 2021	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	29 October 2021		
Monday	01 November 2021		
Tuesday	02 November 2021		
Wednesday	03 November 2021	10.00am	Licensing Panel
Thursday	04 November 2021		
Friday	05 November 2021		

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Monday	08 November 2021	10.00am	Corporate Scrutiny Panel
Tuesday	09 November 2021	10.00am	Overview & Scrutiny Management Committee
Wednesday	10 November 2021		
Thursday	11 November 2021	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	12 November 2021		
Monday	15 November 2021		
Tuesday	16 November 2021	3.00pm	Cabinet
Wednesday	17 November 2021	5.30pm	Council
Thursday	18 November 2021	1:00 PM	Strategic Planning Committee
Friday	19 November 2021		
Monday	22 November 2021		
Tuesday	23 November 2021	10.00am	Corporate Parenting Board
Wednesday	24 November 2021	10.00am	Cabinet Committee Local Issues
Thursday	25 November 2021	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	26 November 2021	10.00am	Corporate Governance and Audit Committee
Monday	29 November 2021		
Tuesday	30 November 2021	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Wednesday	01 December 2021	10.00am	Licensing Panel
Wednesday	01 December 2021	2:30pm	Children's Scrutiny Panel
Thursday	02 December 2021	2.00pm	Health and Wellbeing Board
Friday	03 December 2021		
Monday	06 December 2021		
Tuesday	07 December 2021	2.00pm	Health & Adult Social Care Scrutiny Panel
Wednesday	08 December 2021	5.30pm	Council
Thursday	09 December 2021	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	10 December 2021		
Monday	13 December 2021	10.00am	Corporate Scrutiny Panel
Tuesday	14 December 2021	3.00pm	Cabinet
Wednesday	15 December 2021		
Thursday	16 December 2021	1.00pm	Strategic Planning Committee
Friday	17 December 2021		

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Monday	20 December 2021		
Tuesday	21 December 2021	10.00am	Overview & Scrutiny Management Committee
Wednesday	22 December 2021		
Thursday	23 December 2021		
Friday	24 December 2021		
Monday	27 December 2021		CHRISTMAS DAY
Tuesday	28 December 2021		BOXING DAY
Wednesday	29 December 2021		
Thursday	30 December 2021		
Friday	31 December 2021		
Monday	03 January 2022		NEW YEARS DAY
Tuesday	04 January 2022		
Wednesday	05 January 2022	2.15pm	Children's Scrutiny Panel
Thursday	06 January 2022	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Friday	07 January 2022		
Monday	10 January 2022		
Tuesday	11 January 2022	10.00am	Corporate Parenting Board
Wednesday	12 January 2022	10.00am	Licensing Panel
Thursday	13 January 2022		
Friday	14 January 2022		
Monday	17 January 2022		
Tuesday	18 January 2022	3.00pm	Cabinet
Wednesday	19 January 2022	5.30pm	Council
Thursday	20 January 2022	1.00pm	Health and Wellbeing Board
Friday	21 January 2022	10.00am	Corporate Governance and Audit Committee
Monday	24 January 2022		
Tuesday	25 January 2022	10.00am	Overview & Scrutiny Management Committee
Wednesday	26 January 2022	10.00am	Cabinet Committee Local Issues
Thursday	27 January 2022	1.00pm	Strategic Planning Committee
Friday	28 January 2022		
Monday	31 January 2022		

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Tuesday	01 February 2022	3.00pm	Cabinet (Budget)
Wednesday	02 February 2022	10.00am	Licensing Panel
Thursday	03 February 2022	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	04 February 2022		
Monday	07 February 2022	10.00am	Corporate Scrutiny Panel
Tuesday	08 February 2022	3.00pm	Cabinet
Wednesday	09 February 2022	2.00pm	Health & Adult Social Care Scrutiny Panel
Thursday	10 February 2022	1.00pm	Planning Sub Committee (Huddersfield Area)
Friday	11 February 2022	10.00am	Corporate Governance and Audit Committee
Monday	14 February 2022		
Tuesday	15 February 2022	10.00am	Corporate Parenting Board
Wednesday	16 February 2022	5.30pm	Council (Budget)
Thursday	17 February 2022	2.00pm	Health and Wellbeing Board
Friday	18 February 2022		
Monday	21 February 2022		
Tuesday	22 February 2022		
Wednesday	23 February 2022		
Thursday	24 February 2022	1.00pm	Strategic Planning Committee
Friday	25 February 2022		
Monday	28 February 2022		
Tuesday	01 March 2022	10.00am	Standards Committee
Wednesday	02 March 2022	10.00am	Licensing Panel
Thursday	03 March 2022	1.00pm	Economy and Neighbourhoods Scrutiny Panel
Friday	04 March 2022		
Monday	07 March 2022		
Tuesday	08 March 2022	3.00pm	Cabinet
Wednesday	09 March 2022		
Thursday	10 March 2022	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	11 March 2022	10.00am	Corporate Governance and Audit Committee
Monday	14 March 2022		
Tuesday	15 March 2022	10.00am	Overview & Scrutiny Management Committee

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Wednesday	16 March 2022	5.30pm	Council
Thursday	17 March 2022	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	18 March 2022		
Monday	21 March 2022	10.00am	Corporate Scrutiny Panel
Tuesday	22 March 2022		
Wednesday	23 March 2022	10.00am	Cabinet Committee Local Issues
Thursday	24 March 2022	1.00pm	Strategic Planning Committee
Friday	25 March 2022		
Monday	28 March 2022		
Tuesday	29 March 2022	10.00am	Corporate Parenting Board
Wednesday	30 March 2022	2.15pm	Children's Scrutiny Panel
Thursday	31 March 2022	1.00pm	Planning Sub Committee (Huddersfield Area)
Thursday	31 March 2022	2.00pm	Health and Wellbeing Board
Friday	01 April 2022		
Monday	04 April 2022		
Tuesday	05 April 2022	3.00pm	Cabinet
Wednesday	06 April 2022	10.00am	Licensing Panel
Thursday	07 April 2022	1.00pm	Economy & Neighbourhoods Scrutiny Panel
Friday	08 April 2022		
Monday	11 April 2022		
Tuesday	12 April 2022		
Wednesday	13 April 2022		
Thursday	14 April 2022	2.00pm	Health & Adult Social Care Scrutiny Panel
Friday	15 April 2022		<b>GOOD FRIDAY</b>
Monday	18 April 2022		<b>EASTER MONDAY</b>
Tuesday	19 April 2022	10.00am	Overview & Scrutiny Management Committee
Wednesday	20 April 2022		
Thursday	21 April 2022	1.00pm	Strategic Planning Committee
Friday	22 April 2022	10.00am	Corporate Governance and Audit Committee
Monday	25 April 2022		
Tuesday	26 April 2022		

# KIRKLEES COUNCIL

## CALENDAR OF MEETINGS 2021/2022

DAY	DATE	TIME	MEETING
Wednesday	27 April 2022		
Thursday	28 April 2022	1.00pm	Planning Sub Committee (Heavy Woollen Area)
Friday	29 April 2022		
Monday	02 May 2022		<b>BANK HOLIDAY</b>
Tuesday	03 May 2022		
Wednesday	04 May 2022	10.00am	Licensing Panel
Thursday	05 May 2022		<b>ELECTIONS</b>
Friday	06 May 2022		
Monday	09 May 2022		
Tuesday	10 May 2022		
Wednesday	11 May 2022		
Thursday	12 May 2022		
Friday	13 May 2022		
Monday	16 May 2022		
Tuesday	17 May 2022		
Wednesday	18 May 2022	12.30pm	<b>ANNUAL COUNCIL</b>
Thursday	19 May 2022		
Friday	20 May 2022		

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**Name of meeting:** Annual Council

**Date:** 19 May 2021

**Title of report:** To determine the Appointment of Members to Joint Authorities for the 2021-22 Municipal Year

**Purpose of report:**

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not applicable
Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)?</u>	No
The Decision - Is it eligible for call in by Scrutiny?	No
Date signed off by <u>Strategic Director</u> & name  Is it also signed off by the Service Director for Finance?  Is it also signed off by the Service Director for Legal Governance and Commissioning?	Not applicable  Not applicable  Julie Muscroft – 11 May 2021
Cabinet member <a href="#">portfolio</a>	Not applicable

**Electoral wards affected:** Not applicable

**Ward councillors consulted:** Not applicable

**Public or private:** Public

**Has GDPR been considered?**

Yes – no individuals have been identified in this report.

## **1. Summary**

To consider and determine the membership of Joint Authorities in 2021/2022.

## **2. Information required to take a decision**

### **West Yorkshire Fire & Rescue Authority**

The Council is entitled to appoint four Members on a ratio of 2:1:1 under the Local Government Act 1985 to West Yorkshire Fire and Rescue Authority.

In making the appointments the Council is reminded of the provisions of Section 15 of the Local Government and Housing Act 1989, which sets out the principles for ensuring that the majority of the seats on this body are allocated to a particular political group if the number of persons belonging to that group is a majority of the authority's membership. Council is asked to delegate authority to Group Business Managers to determine the appointments in accordance with these principles.

### **West Yorkshire Combined Authority**

The Elected Members of the West Yorkshire Combined Authority (WYCA) are drawn from the five district authorities. Under the current legislation appointments have to reflect the balance of parties for the time being prevailing among the Members of the relevant Councils taken as a whole.

Council is asked to:

- (1) Appoint the Leader as Kirklees Council's Elected Member for the WYCA, with the Deputy Leader as the WYCA Substitute Member.
- (2) Delegate authority to the Chief Executive, in consultation with Group Leaders, WYCA's Managing Director and other West Yorkshire Chief Executives, to appoint 3 additional Members to WYCA and their substitutes, so that the 8 constituent council members taken as a whole reflect the balance of political parties for the time being among members of WYCA's constituent councils so far as reasonably practicable.
- (3) Delegate authority to Group Business Managers to nominate members to WYCA's Transport Committee (3 members) on a ratio of 2:1.
- (4) Delegate authority to Group Business Managers to nominate three members to each of the three WYCA Scrutiny Committees; Corporate Overview and Scrutiny Committee, Economy Overview and Scrutiny Committee and Transport Overview and Scrutiny Committee. (Any nominated member may act as a substitute for another member on any of the three committees).
- (5) Note that the West Yorkshire and York Investment Committee is no longer established and spending decisions will be made by the relevant thematic committee or the Finances, Resources and Corporate Committee.

(6) Note that the WYCA Member appointed by this Council (the Leader) will be appointed (in their capacity as WYCA Member), to WYCA's Leeds City Region Partnership Committee and delegate authority to the Chief Executive to appoint a Substitute Member.

(7) Delegate authority to the Chief Executive to nominate one member of the WYCA's Business Investment Panel.

(8) delegate authority to the Chief Executive, in consultation with Group Business Managers to:

- confirm any relevant Portfolio Holder to be appointed, or
- make any additional nomination

to any WYCA Committee or Panel, as requested by WYCA after this Council's annual meeting. Kirklees has 1 place (for the Portfolio Holder or most appropriate Member) on each of the five WYCA Committees:

- (i) Finance, Resources and Corporate Committee
- (ii) Business, Economy and Innovation Committee
- (iii) Climate, Energy and Environment Committee
- (iv) Place, Regeneration and Housing Committee
- (v) Employment and Skills Committee

### **Leeds City Region Enterprise Partnership (LEP) Board**

Council is asked to delegate authority to the Chief Executive to appoint a local authority representative and substitute to the LEP Board.

### **West Yorkshire Police and Crime Panel**

The 12 Elected Members of the West Yorkshire Police and Crime Panel are drawn from the five district authorities. Under the current legislation appointments have to reflect the balance of parties, for the time being prevailing, among the Members of the relevant Councils taken as a whole.

Council is asked to delegate authority to Group Business Managers to determine the appointments once the Authority has received notice of the number of places available, having regard to the need to reflect overall political balance.

### **Other Joint Authorities**

Council is asked to delegate authority to Group Business Managers to determine the appointment of Elected Members/Representatives to the remaining Joint Authorities not otherwise set out in this report.

## **3. Implications for the Council**

### **3.1 Working with People** Not applicable

**3.2 Working with Partners**

Not applicable

**3.3 Place Based Working**

Not applicable

**3.4 Climate Change and Air Quality**

Not applicable

**3.5 Improving outcomes for children**

Not applicable

**3.6 Other (eg Legal/Financial or Human Resources) Consultees and their opinions**

Not applicable

**4. Next steps and timelines**

Subject to approval, the relevant organisations will be informed of the appointments.

**5. Officer recommendations and reasons**

That;

- (1) In order to fill places on (i) West Yorkshire Fire and Rescue Authority, and its Committees and (ii) West Yorkshire Police and Crime Panel, Council is asked to delegate authority to Group Business Managers for determination.
- (2) Council appoint the Leader as Kirklees Council's Elected Member for the WYCA, with the Deputy Leader as the WYCA Substitute Member.
- (3) Council delegate authority to the Chief Executive, in consultation with Group Leaders, WYCA's Managing Director and other West Yorkshire Chief Executives, to appoint the 3 additional Members to WYCA and their substitutes, so that the 8 constituent council members taken as a whole reflect the balance of political parties for the time being among members of WYCA's constituent councils, so far as reasonably practicable.
- (4) Council delegate authority to Group Business Managers to nominate members to WYCA's Transport Committee (3 members) on ration 2:1.
- (5) Council note that the WYCA Member appointed by this Council (the Leader) will be appointed (in their capacity as WYCA Member), to WYCA's Leeds City Region Partnership Committee and delegate authority to Chief Executive to appoint a substitute member.
- (6) Delegate authority to the Chief Executive to nominate one member of the WYCA's Business Investment Panel.

- (7) Council delegate authority to Group Business Managers to nominate three members to each of the three WYCA Scrutiny Committees; Corporate Overview and Scrutiny Committee, Economy Overview and Scrutiny Committee and Transport Overview and Scrutiny Committee. (Any nominated member may act as a substitute for another member on any of the three committees).
- (8) Council delegate authority to the Leader and Chief Executive, in consultation with Group Business Managers to:
- Confirm any relevant Portfolio Holder to be appointed, or
  - Make any additional nomination
- to any WYCA Committee or Panel, as requested by WYCA, after this Council's annual meeting.
- (9) Council delegate authority to the Chief Executive to appoint a local authority representative and substitute to the LEP Board.
- (10) Council delegate authority to Group Business Managers to determine the appointment of Elected Members/Representatives to the remaining Joint Authorities not otherwise set out in this report.

## **6. Cabinet Portfolio Holder's recommendations**

Not applicable

## **7. Contact officer**

Samantha Lawton, Head of Governance

## **8. Background Papers and History of Decisions**

Not applicable

## **9. Service Director responsible**

Julie Muscroft – Legal, Governance and Commissioning

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**Name of meeting:** Annual Council

**Date:** 19 May 2021

**Title of report:** Appointment to Outside Bodies/Other Committees

**Purpose of report:** To determine the appointment of representatives to Outside Bodies/Other Committees for the 2021/2022 Municipal Year.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	Not Applicable
Key Decision - Is it in the <u>Council's Forward Plan (key decisions and private reports)?</u>	No
The Decision - Is it eligible for call in by Scrutiny?	No
Date signed off by <u>Strategic Director</u> & name  Is it also signed off by the Service Director for Finance?  Is it also signed off by the Service Director for Legal Governance and Commissioning?	Not applicable  Not applicable  Julie Muscroft, Service Director – Legal, Governance and Commissioning – 8 May 2021
Cabinet member <a href="#">portfolio</a>	Not applicable

**Electoral wards affected:** Not applicable

**Ward councillors consulted:** Not applicable

**Public or private:** Public

**Has GDPR been considered?**

Yes – no individuals have been identified in this report.





## 1. Summary

To consider and determine the allocation of places/ratios, as appropriate, to the Outside Bodies as detailed within this report, for the 2021/2022 municipal year.

## 2. Information required to take a decision

The Council's representation on the various **Outside Bodies/other Committees** is comprised of three elements:

- (i) Representation on Joint Authorities and Major Bodies (see previous report)
- (ii) Representation on Other Outside Bodies and
- (iii) Representation on Charities.

This report relates to (ii) and (iii) above, representation on outside bodies and charities.

The Council is responsible for overall allocations/ratios, as appropriate, for bodies within its remit, and Cabinet is responsible for nominations to bodies concerned with executive functions. The Service Director – Legal, Governance and Commissioning has delegated authority, in consultation with Group Business Managers, to receive and process nominations. The attached schedule sets out the list of bodies to which the Council is asked to make nominations.

In relation to the **Yorkshire Purchasing Organisation Management Committee**, the Council (along with the other constituent Authorities) is requested to pass a resolution to waive the applicability of political balance rules, and the Leader will nominate two nominees (and their subs if agreed) to the YPO Management Committee (in consultation with Group Business Managers) and determine which of the Council's two nominees will have the one vote or whether the vote will be transferable between the two nominees (or their sub) on behalf of the Authority.

In relation to **Kirklees Active Leisure**, Council is asked to delegate to Group Business Managers to determine which of the two appointed Members will be authorised for the purposes of Member meetings (distinct from Director meetings) to exercise a vote, pursuant to Section 323 of the Companies Act 2006.

Since the last such report to Council, in May 2019, no senior Council officers are now acting as Company Secretary in relation to **Kirklees Stadium Development Ltd** and **Kirklees Theatre Trust**.

## 3. Implications for the Council

### 3.1 Working with People

Not applicable.

### **3.2 Working with Partners**

Not applicable.

### **3.3 Place Based Working**

Not applicable.

### **3.4 Climate Change and Air Quality**

Not applicable

### **3.5 Improving outcomes for children**

Not applicable

### **3.6 Other (eg Legal/Financial or Human Resources) Consultees and their opinions**

Not applicable.

## **4. Next steps and timelines**

Subject to approval, the relevant organisations will be informed of the appointments

## **5. Officer recommendations and reasons**

- 1) That Council notes that Group Business Managers will put forward nominations to the Service Director – Legal, Governance and Commissioning to fill places or make any adjustments to nominees, as appropriate, following Council.
- 2) That those bodies with executive functions be referred to the Leader of the Council to put forward nominations.
- 3) That, in relation to Yorkshire Purchasing Organisation Management Committee, Council agrees to waive political balance rules which apply in accordance with Section 17 of the Local Government and Housing Act 1989 (this requires approval with no Member voting against); and notes that the Leader of the Council, in determining the nominees (in consultation with the Group Business Managers), will resolve which Member will have the one vote on behalf of the Council, or whether the vote will be transferable between the nominees (and their sub).
- 4) That one of the Council Trustees on Kirklees Active Leisure, to be determined by the Group Business Managers, be authorised to represent the Council for the purposes of Member meetings pursuant to Section 323 of the Companies Act 2006, to exercise a vote.

## **6. Cabinet Portfolio Holder's recommendations**

Not applicable

## **7. Contact officer**

Samantha Lawton, Head of Governance

**8. Background Papers and History of Decisions**

Not applicable

**9. Service Director responsible**

Julie Muscroft – Legal, Governance and Commissioning

<b>Body</b>	<b>Number of nominees</b>
Parking and Traffic Regulations Outside London Adjudication Joint Committee	1
Yorkshire and Humber Strategic Migration Group	1
West Yorkshire Adoption Joint Committee ('WYAJC') 'ONE ADOPTION'	1
West Yorkshire Joint Services Committee	3
Yorkshire Purchasing Organisation Management Committee	2
YPO Procurement Holdings Limited Company Board	2
Yorkshire and Humber (Local Government) Employers Association	1
Migration Yorkshire Board	1
C&K Careers Limited Holding Company	3
Calderdale and Kirklees Careers Limited	3
Calderdale and Huddersfield NHS Trust - Membership Council	1
Children's Partnership Board (formerly Children's Trust)	4
Environment Agency - Yorkshire Regional Flood and Coastal Committee	1
Kirklees Neighbourhood Housing Limited	5
Kirklees Community Partnership Board (formerly Community Safety Partnership Executive)	4
Kirklees Theatre Trust (Lawrence Batley Theatre)	3
Leeds Bradford International Airport: Consultative Committee	1
Peak District National Park Authority	1
Pennine Prospects (formerly South Pennine Rural Regeneration Company)	1
QED (KMC) Limited (Special Schools)	1
SUEZ (Kirklees) Limited	1
Special Interest Group of Metropolitan Authorities (SIGOMA)	1
Trans Pennine Trail Members' Steering Group	2
South West Yorkshire Partnership NHS Foundation Trust - Members' Council	1
West Yorkshire One Adoption - Adoption Panels	2
West Yorkshire Pension Fund Advisory Group - Joint Advisory Group	3
West Yorkshire Pension Fund Advisory Group - Pension Fund Investment Panel	2

Creative Media Centres Limited	1
Dewsbury Endowed Schools Foundation Trust Committee	8
Dewsbury Learning Trust	1
Globe Innovation Centre Ltd (previously Globe Environmental Business Centre Ltd)	1
Huddersfield Industrial Advisory Panel	1
Huddersfield Partnership (formerly known as Town Centre Partnership Limited)	2
Kirklees Active Leisure	2
Kirklees Community Association	6
Kirklees Community Fund Grants Panel	2
Kirklees Historic Buildings Trust Ltd	6
Kirklees Schools Services Ltd	1
Kirklees Stadium Development Ltd	2
Locala Community Partnership Members' Council	2
Musica Kirklees (formerly Kirklees Music School)	2
National Association of British Market Authorities	3
National Coal Mining Museum for England Trust Ltd - Liaison Committee	2
Reserve Forces and Cadets Association for Yorkshire and the Humber	1
Rural Action Yorkshire	1
School Organisation Advisory Group	6
Spenborough Co-operative Trust	1
Kirklees Henry Boot Partnership	1
Standing Advisory Council for Religious Education	4
Batley Cricket, Athletic and Football Club (formerly Batley Bulldogs)	3
Batley Girls (Rae, Taylor, Hirst and Talbot) Leaving Scholarship Fund	1
Batley Grammar School Trustees	1
Charles Brook Convalescent Fund	1
Dewsbury Guild of Help / Whittuck Charity	2
Fletcher Charity	6
George Beaumont Foundation	1

Golcar Township Lands Charity	2
Hall Education Charity	2
Holly Bank Trust	1
Huddersfield Education Trust	2
Longwood Grammar School Foundation	1
Mirfield Educational Charity	3
R J Whitehead Almshouses	1
Roebuck Memorial Homes	2
The Thurstonland School with Ludlum and Horsfall Foundation	1
Thornhill Poors Estate Charity	5
Walker and Greenwood Educational Charity	6
Whitcliffe Mount School Scholarship Trust	1
William Greenwood Homes	2
Fostering Panel	6
New Homes Bonus Reference Group	5
Community Learning Trust	1
Adult Learning Advisory Board	1
Armed Forces Covenant Board	1

**Name of meeting:** Annual Council

**Date:** 19 May 2021

**Title of report:** Spokespersons of Joint Committees and External Bodies

To appoint spokespersons of Joint Committees and External Bodies for the 2021/22 Municipal Year.

Key Decision - Is it likely to result in spending or saving £250k or more, or to have a significant effect on two or more electoral wards?	N/A
Key Decision - Is it in the <a href="#">Council's Forward Plan (key decisions and private reports?)</a>	No
The Decision - Is it eligible for call in by Scrutiny?	No
Date signed off by <a href="#">Strategic Director</a> & name	N/A
Is it also signed off by the Service Director for Finance IT and Transactional Services?	N/A
Is it also signed off by the Service Director for Legal, Governance and Commissioning Support?	Julie Muscroft - 8 May 2021
Cabinet member <a href="#">portfolio</a>	N/A

**Electoral wards affected:** Not applicable

**Ward councillors consulted:** Not applicable

**Public or private:** Public

**(Have you considered GDPR?)**

Yes – no individuals have been identified in this report.

## 1. **Summary**

To consider the appointment of spokespersons for Joint Committees/External Bodies for the 2021/2022 Municipal Year.

## 2. **Information required to take a decision**

In accordance with Council Procedure Rules, oral questions may be asked at Council meetings of Council Members appointed to the undermentioned Joint Committees/External Bodies, namely;

- Kirklees Neighbourhood Housing
- Kirklees Active Leisure
- West Yorkshire Adoption Joint Committee (One Adoption)
- West Yorkshire Combined Authority (and its Committees)
- West Yorkshire Fire and Rescue Authority
- West Yorkshire Police and Crime Panel
- West Yorkshire Joint Services Committee

For this purpose, spokespersons are appointed to respond to oral questions on behalf of each body.

## 3. **Implications for the Council**

### 3.1 **Working with People**

Not applicable

### 3.2 **Working with Partners**

Not applicable

### 3.3 **Place Based Working**

Not applicable

### 3.4 **Climate Change and Air Quality**

Not applicable

### 3.5 **Improving outcomes for children**

Not applicable

### 3.6 **Other (eg Legal/Financial or Human Resources) Consultees and their opinions**

Not applicable

## 4. **Next steps**

The appointed spokespersons will act as the organisations' representatives in terms of responding to questions at meetings of Council throughout the municipal year.

## 5. **Officer recommendations and reasons**

- 1) That the nomination of spokespersons to reply to oral questions at Council meetings for the following organisations; Kirklees Active Leisure, Kirklees Neighbourhood Housing and West Yorkshire Police and Crime Panel, be delegated to Group Business Managers for determination.



- 2) That it be noted that the spokespersons for the West Yorkshire Combined Authority (and its Committees); West Yorkshire Fire and Rescue Authority, and West Yorkshire Joint Services Committee will be notified by those bodies.
- 3) That the appointed spokesperson for the West Yorkshire Joint Adoption Committee be the relevant Cabinet Portfolio Holder.
7. **Cabinet portfolio holder's recommendation**  
Not applicable
8. **Contact officer**  
Samantha Lawton, Head of Governance
9. **Background Papers and History of Decisions**  
Not applicable
10. **Service Director responsible**  
Julie Muscroft – Legal, Governance and Commissioning

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